

Scintillating sampling ECAL technology for the LHCb PicoCal

Philipp Roloff (CERN)

on behalf of the LHCb ECAL Upgrade II R&D group



LHCb in collaboration with Crystal Clear,
supported by EP R&D (WP 3.2.0 and 3.2.1)



**Technology and Instrumentation
in Particle Physics (TIPP 2023)**
Cape Town, 04/09/2023

TIPP2023

**TECHNOLOGY IN INSTRUMENTATION &
PARTICLE PHYSICS CONFERENCE**

4 - 8 SEPTEMBER 2023

Topics

Accelerator-based particle physics
Non-accelerator particle physics and particle astrophysics
Experiments with synchrotron radiation and neutrons
Nuclear physics
Cosmology
Instrumentation and monitoring of particle and photon beams
Applications in photon science, biology, medicine, and engineering

**The conference aims to provide a stimulating
atmosphere for science and engineering for future
experiments and social applications.**

International Advisory Committee

Elisabetta Barberio	Manfred Kramer
Ties Behnke	Gobinda Majumder
Sara Bolognesi	Ana Amelia Machado
Shikma Bressler	Petra Merkel
Florenca Canelli	Joachim Mnich
Cinzia Da Via	Nadia Pastrono
Marcel Demarteau	Fabrice Retiere
Maria Teresa Dova	Yuri Tikhonov
Latifa Elouadrhri	Maksym Titov
Antonio Ferrer	Grigori Trubnikov
Francesco Forti	Niels van Bakel
Ingrid-Maria Gregor	Zebnon Vilakazi
Borys Grynyov	Jianchun Wang
Kazunori Hanagaki	Yifang Wang
Karl Jakobs	Marc Winter
Antoine Kouchner	

Local Organizing Committee

Shimaa AbuZeid	Oscar Kureba
Imane Azzouzi	Bruce Mellado (Chair)
Raja Cherkaoui El Moursli	Peane Maleka
Sergine Bira Gueye	Amr Radi
Peter Jones	Yahya Tayalati
Naima El Khayati	Michelle Bark
Betty Kibirige	Sahal Yacoub



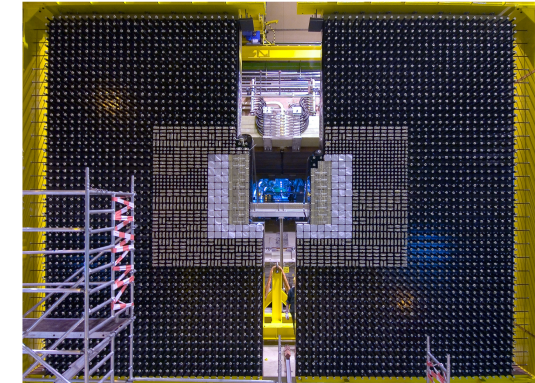
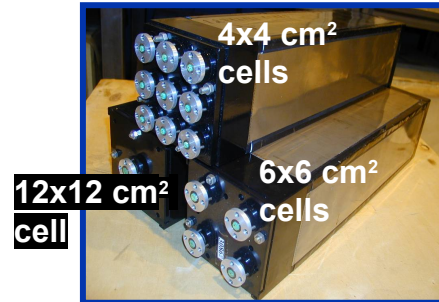
website: <https://indico.tlabs.ac.za/event/112/>



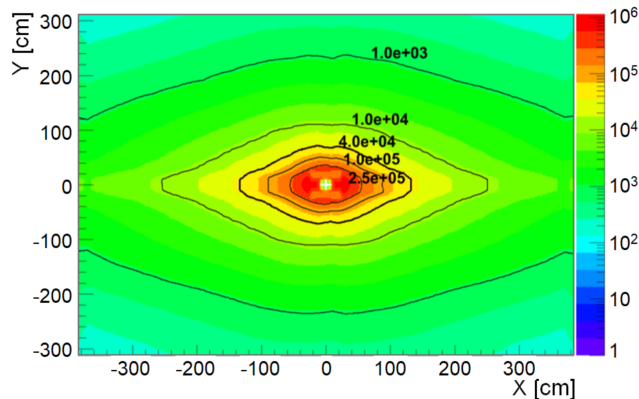
The current ECAL and motivation to upgrade

Current LHCb ECAL:

- Optimised for π^0 and γ identification in the few GeV to 100 GeV region at $2 \times 10^{32} \text{ cm}^{-2}\text{s}^{-1}$
- Shashlik technology with 4x4, 6x6 and 12x12 cm² cell size
- Radiation hard up to **40 kGy**
- Energy resolution: $\sigma(E)/E \approx 10\%/\sqrt{E} \oplus 1\%$
- Large array of $\approx 50 \text{ m}^2$ with 3312 modules and 6016 channels



Accumulated radiation dose [Gy] after 300 fb⁻¹

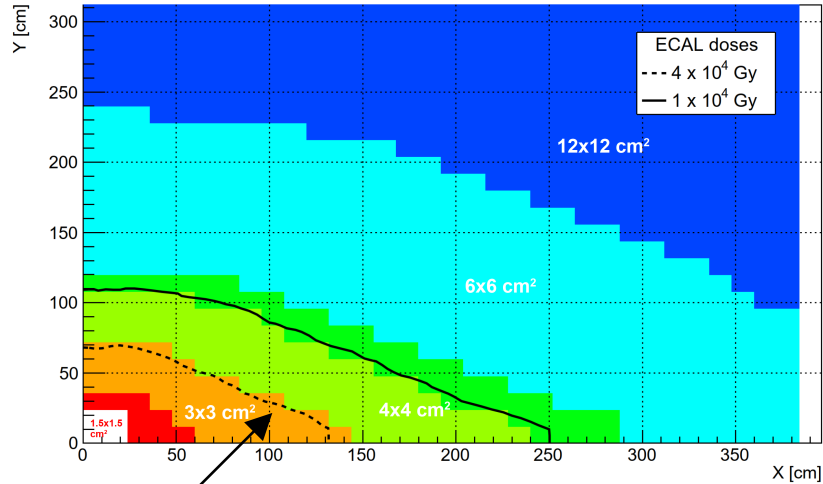


Requirements for the Upgrade II (operation at up to $1.5 \times 10^{34} \text{ cm}^{-2}\text{s}^{-1}$):

- Sustain radiation doses up to **1 MGy** and $\leq 6 \times 10^{15} \text{ 1 MeV neq / cm}^2$ in the centre
- Keep **current energy resolution** of $\sigma(E)/E \approx 10\%/\sqrt{E} \oplus 1\%$
- Pile-up mitigation crucial
 - Timing capabilities with **O(10) ps precision**, preferably directly in the calorimeter modules
 - Increased granularity in the central region with denser absorber
- Better time resolution, less impact of radiation damage, more information for event reconstruction and particle identification from **longitudinal segmentation**

LHCb-TDR-023

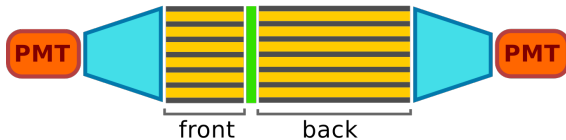
Technologies for the Upgrade II



Radiation limit of current Shashlik technology

SpaCal

- scintillator
- absorber
- mirror
- light guide



→ Beam direction

SpaCal technology for inner region:

- Innermost modules with scintillating crystal fibres and W absorber

→ Development of **radiation-hard scintillating crystals**

→ **1.5x1.5 cm²** cell size

- 40-200 kGy region with scintillating plastic fibres and Pb absorber

→ Need radiation-tolerant organic scintillators

→ **3x3 cm²** cell size

Shashlik technology:

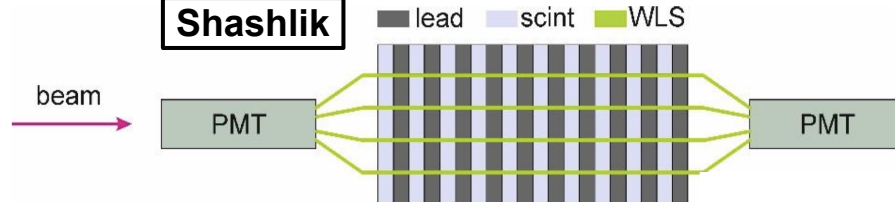
- About 3300 new Shashlik modules with improved **timing capability** and **double-sided readout**

→ Possible cost optimisation by refurbishing ≈ 2000 existing modules with fast new WLS fibres, adding ≈ 1300 new modules with required cell sizes

- **LS3 enhancement:** W absorber for innermost modules equipped with scintillating plastic fibres for **2x2 cm²** cell size, single-sided readout

- All SpaCal modules tiled by $3^\circ+3^\circ$ → [see later](#)

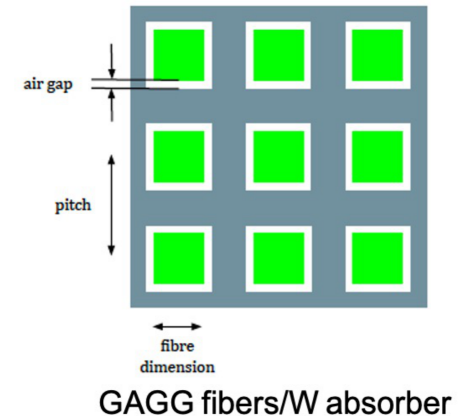
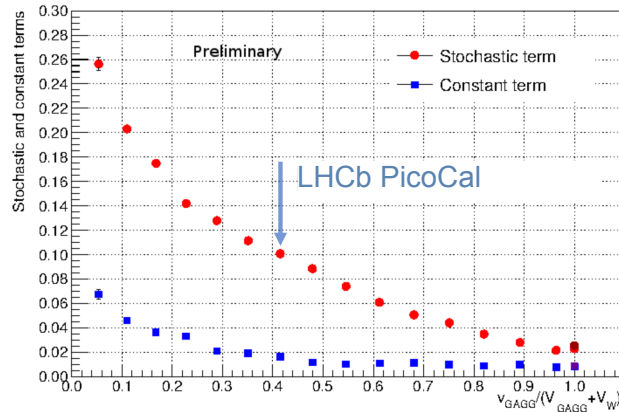
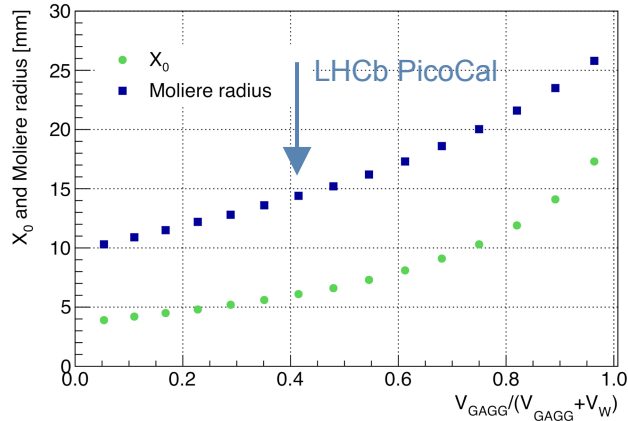
Shashlik



LHCb-TDR-023

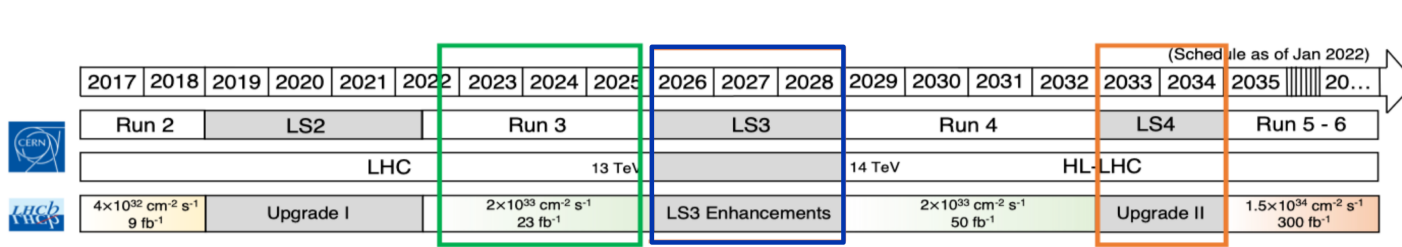
SpaCal: tuning of X_0 , R_M and energy resolution

Example: Variation of fibre size with constant pitch in SpaCal-W/GAGG



- Similar variations also possible for polystyrene fibres or Shashlik modules!
- **Very flexible technology**, also relevant for Higgs factories, FCC-hh, fixed-target experiments at the intensity frontier, ...

LHCb ECAL upgrade strategy



Run 3 in 2022-2025:

Run with unmodified ECAL Shashlik modules at $L = 2 \times 10^{33} \text{ cm}^{-2} \text{ s}^{-1}$ (new 40 MHz readout)

LS3 enhancement in 2026-2028:

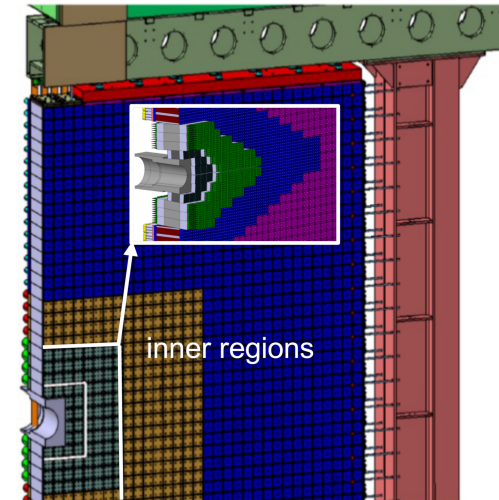
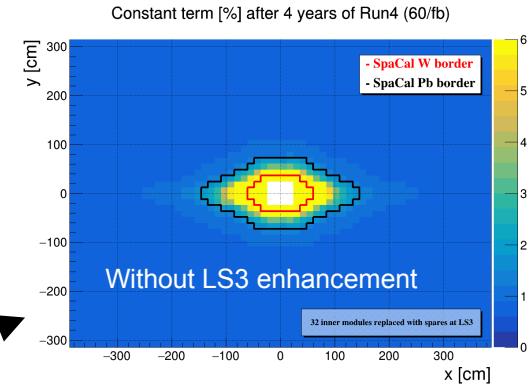
Introduce **single-section rad. tolerant SpaCal** (2x2 and 3x3 cm² cells) in inner regions and rebuilt ECAL in **rhombic shape** to improve performance at $L = 2(4) \times 10^{33} \text{ cm}^{-2} \text{ s}^{-1}$

- 32 SpaCal-W & 144 SpaCal-Pb modules with plastic fibres **compliant with Upgrade II** conditions
- Option to include timing information with single-sided readout to inner regions

LS4 Upgrade II in 2033/2034:

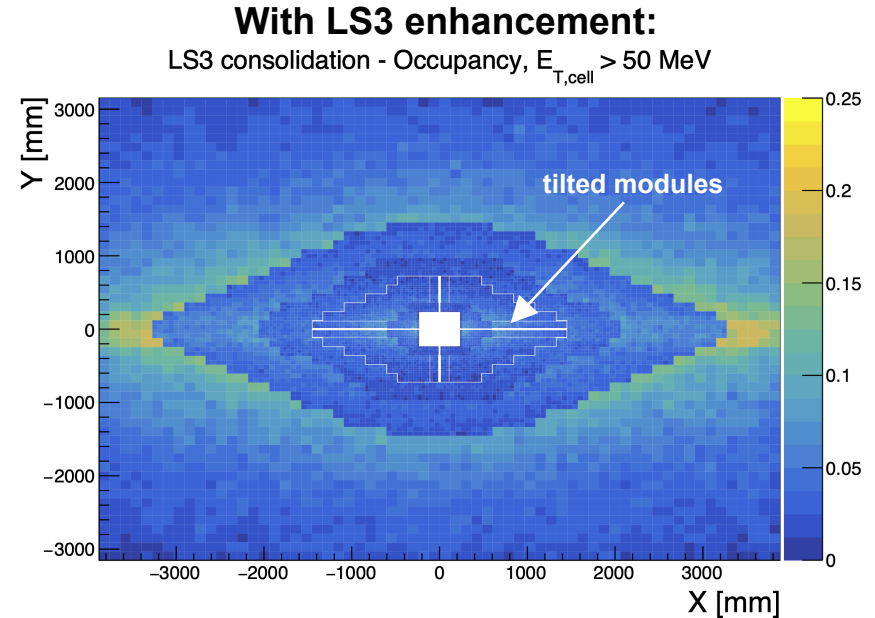
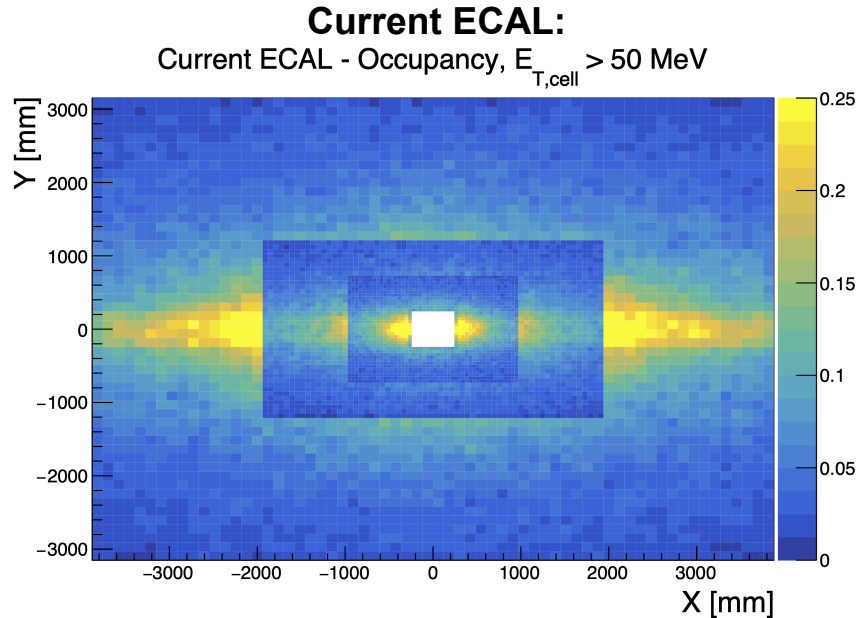
Introduce **double-section radiation hard SpaCal** (1.5x1.5 & 3x3 cm² cells) and improve timing of Shashlik modules for a luminosity of up to $L = 1.5 \times 10^{34} \text{ cm}^{-2} \text{ s}^{-1}$

- Innermost SpaCal-W modules equipped with **crystal fibres**
- Include **timing** information and double-sided readout to full ECAL for pile-up mitigation



LS3: impact of improved granularity

- **Occupancies** from detailed simulation, also including the hadronic component!
- Assumed luminosity: $L = 2 \times 10^{33} \text{ cm}^{-2}\text{s}^{-1}$



- Sizeable occupancy in large regions before LS3 enhancement (e.g. challenge for neutral pion reconstruction)
- Occupancy map after LS3 enhancement **reasonably flat**

Outline: R&D and test beam results

SpaCal with tungsten absorber:

- **SpaCal-W with crystal fibres for LS4**
- 3D printing of absorber
- SpaCal-W with polystyrene fibres for LS3

SpaCal with lead absorber

Shashlik with fast WLS fibres

SpaCal-W: prototype with garnet crystals

SpaCal prototype module with W absorber and garnet crystal fibres:

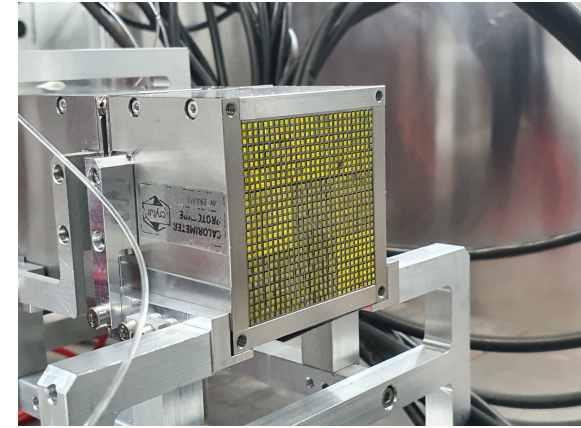
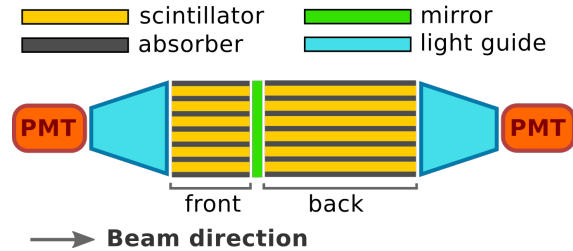
- Pure tungsten absorber with 19 g/cm^3
- **9 cells** of $1.5 \times 1.5 \text{ cm}^2$ ($R_M \approx 1.45 \text{ cm}$)
- 4+10 cm long (**7+18 X_0**)
- Reflective mirror between sections

Crystal garnets from several producers:

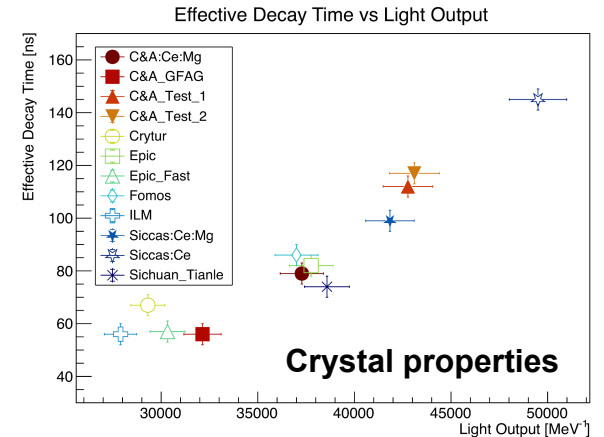
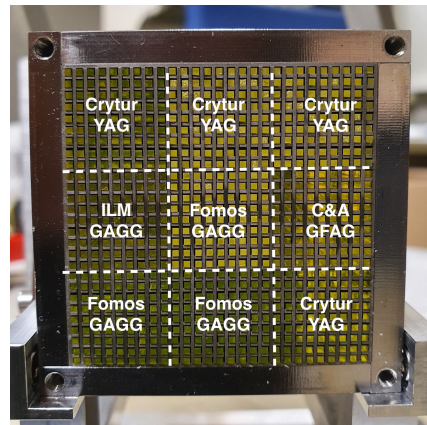
- Crytur - YAG
 - Fomos - GAGG
 - ILM - GAGG
 - C&A - GFAG
- Characterised with laboratory measurements

Photon detectors used:

- Hamamatsu **R12421** for energy resolution
- Hamamatsu **R7600U-20** metal channel dynode (MCD) PMT for timing for better time resolution



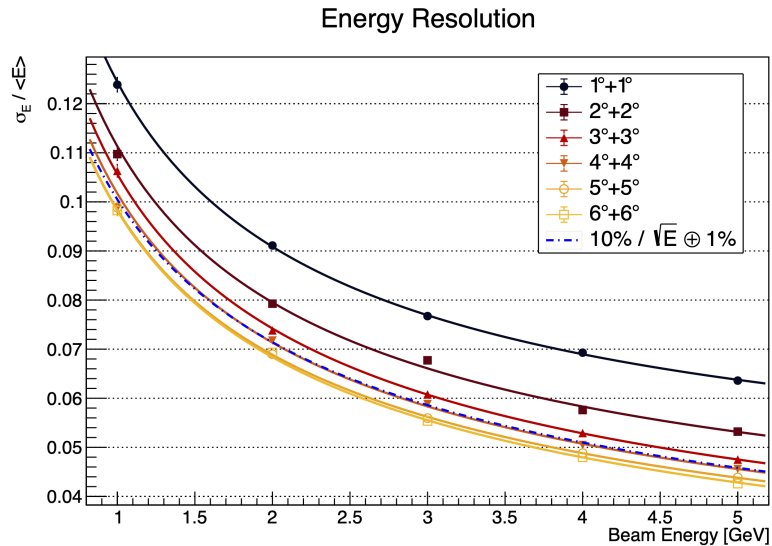
Configuration used at DESY in 2020 and 2021



NIM A 1000, 165231 (2021)

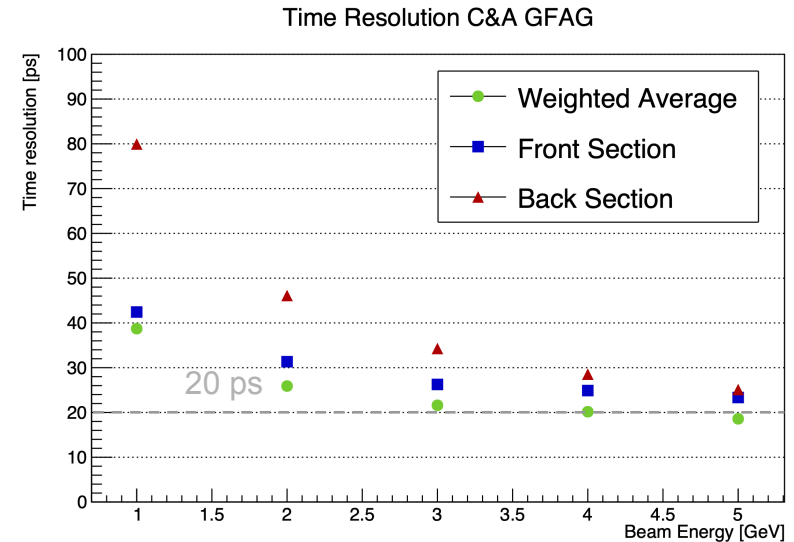
SpaCal-W with crystal fibres: test beam results

Energy resolution (DESY 2020, R12421)



- Better energy resolution with larger incidence angles
- Data up to 5 GeV give $(10.2 \pm 0.1)\%$ sampling term and 1-2% constant term for $\theta_x = \theta_y = 3^\circ$

Time resolution (DESY 2021, R7600U-20)



- Incidence angles: $\theta_x = \theta_y = 3^\circ$, double-sided readout
- Time stamps in front and back obtained using constant fraction discrimination (CFD)
- Time resolution at 5 GeV for GFAG: better than 20 ps

Outline: R&D and test beam results

SpaCal with tungsten absorber:

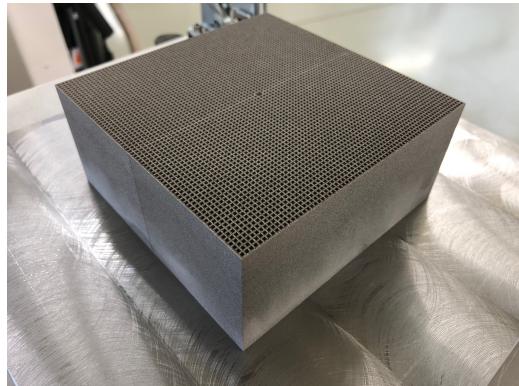
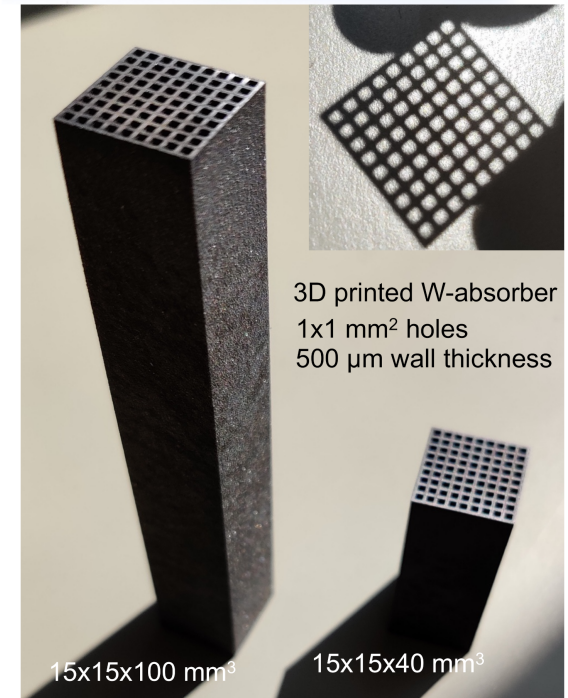
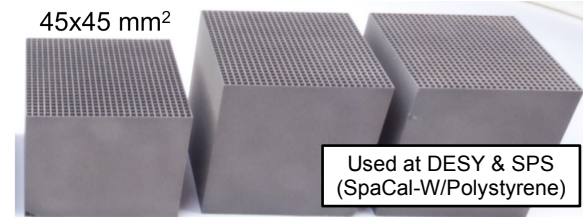
- SpaCal-W with crystal fibres for LS4
- **3D printing of absorber and “module 0”**
- SpaCal-W with polystyrene fibres for LS3

SpaCal with lead absorber

Shashlik with fast WLS fibres

Tungsten absorber: 3D printing

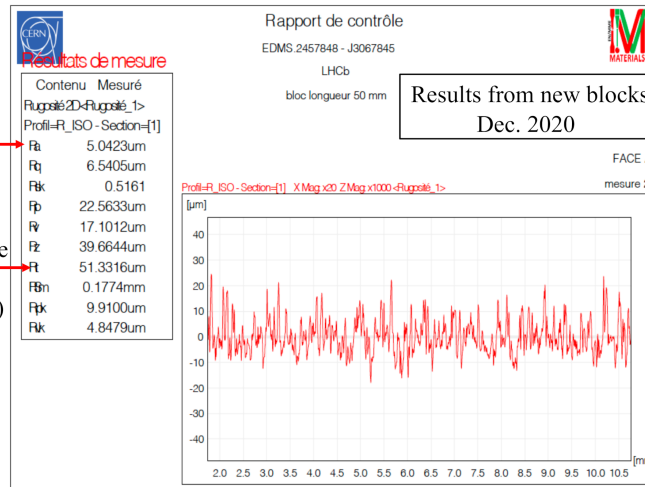
- 3D printing using pure tungsten powder found to be a **scalable technology** for absorber production
- **Smooth surface mandatory** to avoid damaging the fibres during insertion
- Very good mean roughness of $R_a = 5 \mu\text{m}$ (average profile height deviations from mean) achieved
- R&D campaign with EOS (Germany):
- First $1.5 \times 1.5 \text{ cm}^2$ cells with up to 10 cm length
- Then $4.5 \times 4.5 \text{ cm}^2$ pieces
- **Recently $12.1 \times 12.1 \text{ cm}^2$ pieces produced and used for “module 0”**
- Module-size pieces recently produced by Laser Add Technology Co. in China:
- Two $12.1 \times 12.1 \text{ cm}^2$ pieces in 2023



Mean roughness

Total height of profile

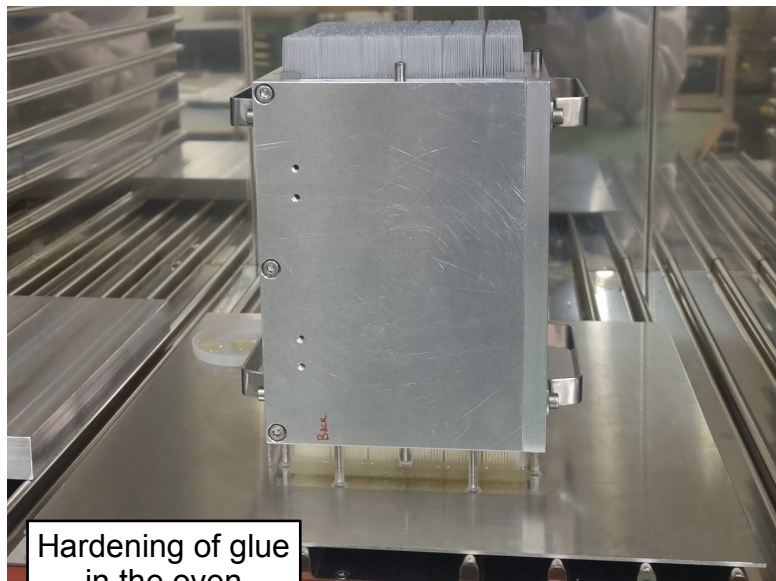
= distance (max-min)



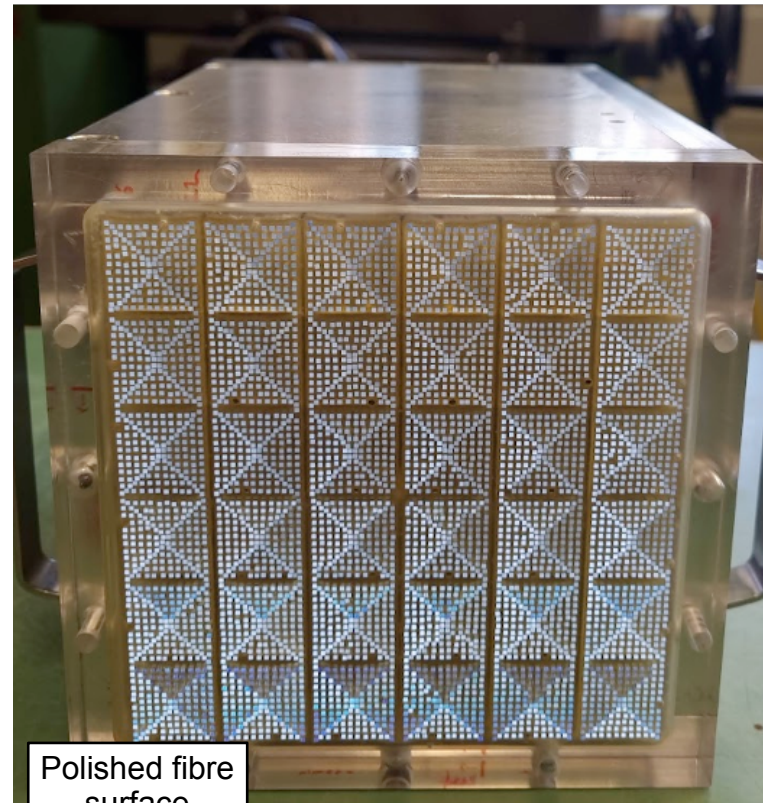
“Module 0” with tungsten absorber (1)

Full-size “module 0” with tungsten absorber assembled at CERN:

- 3D-printed **tungsten absorber**: $5+5+5+4 = 19$ cm (LS3 configuration)
- Filled with **single-cladded organic scintillating fibres** (1x1 mm², Kuraray SCSF-78)
- Gluing and polishing procedure established
- One hole per cell removed to insert quartz fibre for calibration



Hardening of glue
in the oven

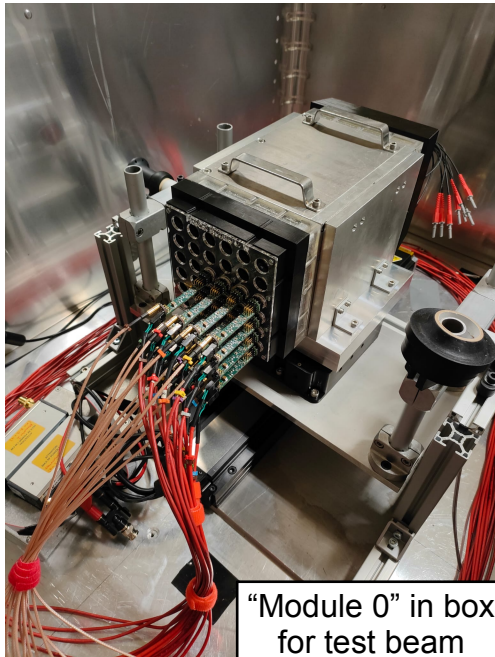


Polished fibre
surface

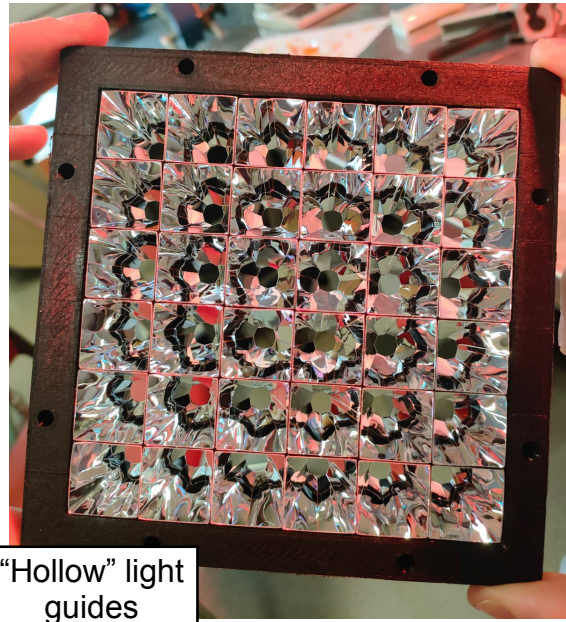
“Module 0” with tungsten absorber (2)

Full-size “module 0” with tungsten absorber assembled at CERN:

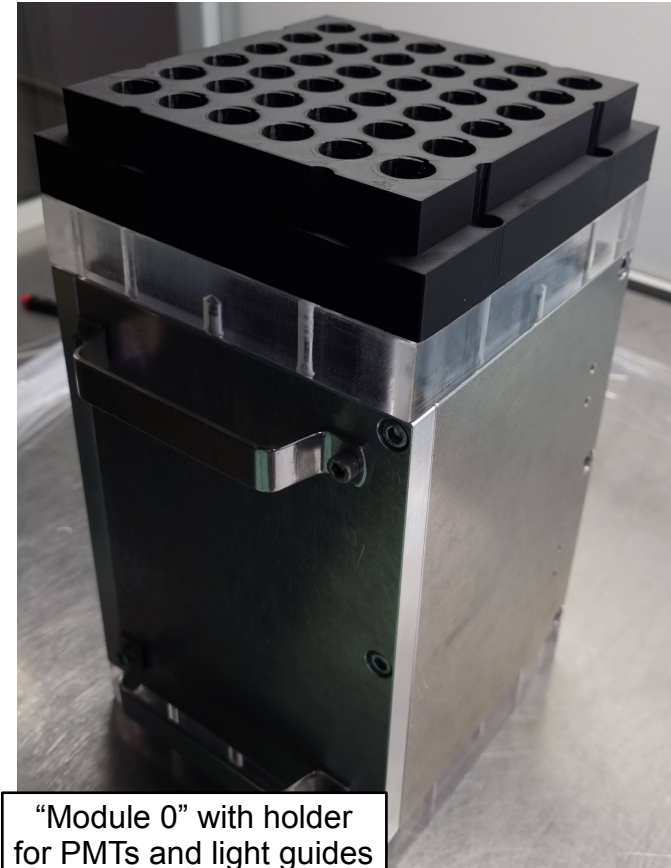
- Radiation-hard “hollow” light guides imbedded in PMT holder
- **Extensive test beam characterisation** performed at DESY (May 2023) and CERN (June & August September 2023)



“Module 0” in box for test beam



“Hollow” light guides



“Module 0” with holder for PMTs and light guides

Outline: R&D and test beam results

SpaCal with tungsten absorber:

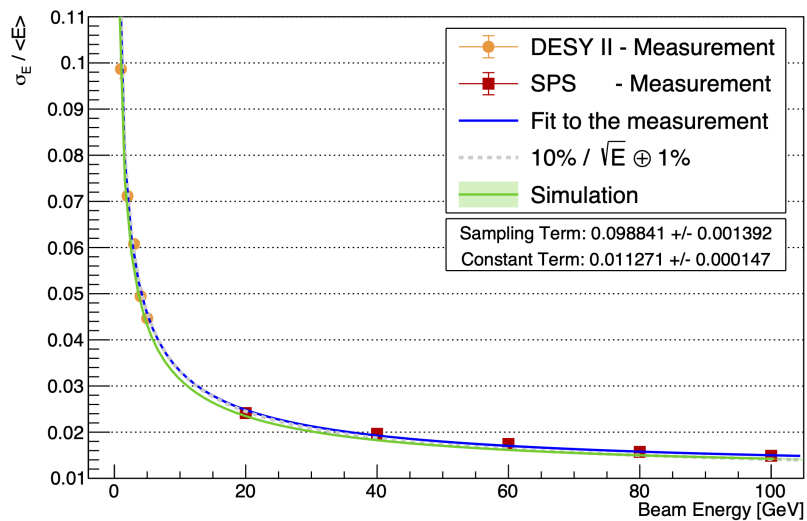
- SpaCal-W with crystal fibres for LS4
- 3D printing of absorber and “module 0”
- **SpaCal-W with polystyrene fibres for LS3**

SpaCal with lead absorber

Shashlik with fast WLS fibres

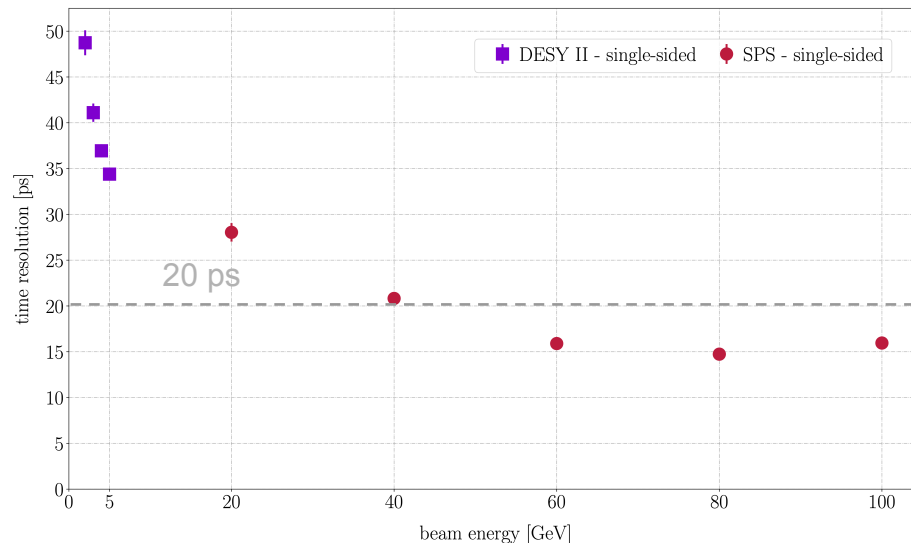
SpaCal-W with polystyrene fibres for LS3: test beam results

Energy resolution (DESY & SPS, R14755U-100)



- Incidence angles: $\theta_x = \theta_y = 3^\circ$, single-sided readout
- “Module 0” prototype
- Noise contribution subtracted
- Sampling term: 9.9%, constant term: 1.1%
- **Very good agreement with simulation**

Time resolution (DESY & SPS, R7600U-M4)



- Incidence angles: $\theta_x = \theta_y = 3^\circ$, single-sided readout
- Prototype with 2x2 cells
- Optical coupling with “hollow” light guide
- Multi-anode PMT with 4 channels
- Time resolution above 40 GeV: **better than 20 ps**

LHCb-TDR-024

Outline: R&D and test beam results

SpaCal with tungsten absorber:

- SpaCal-W with crystal fibres for LS4
- 3D printing of absorber and “module 0”
- SpaCal-W with polystyrene fibres for LS3

SpaCal with lead absorber

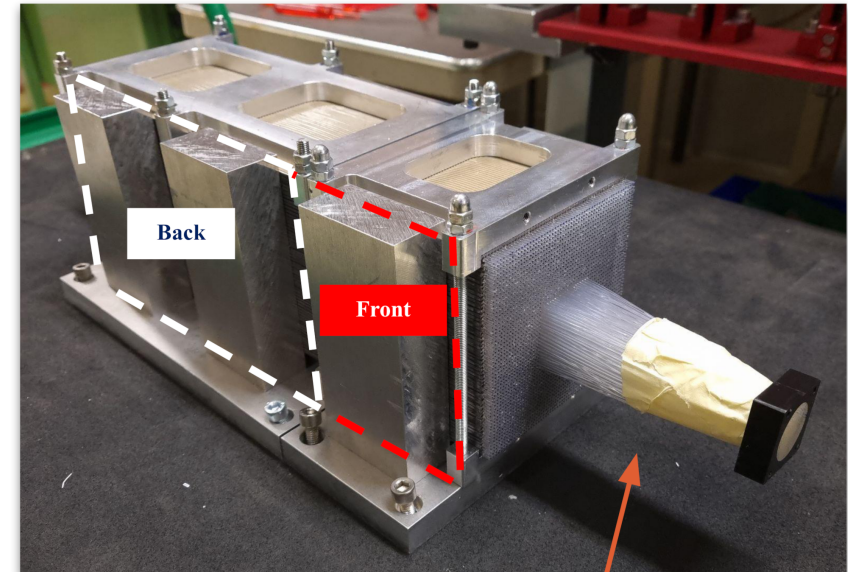
Shashlik with fast WLS fibres

SpaCal-Pb: prototype with polystyrene fibres

- Lead absorber with polystyrene fibres (1 mm, SCSF-78M)
- 9 cells of 3x3 cm² ($R_M \approx 3$ cm)
- 8+21 cm long (7+18 X_0)
- Reflective mirror between sections
- Results from DESY & CERN SPS

Different readout configurations compared:

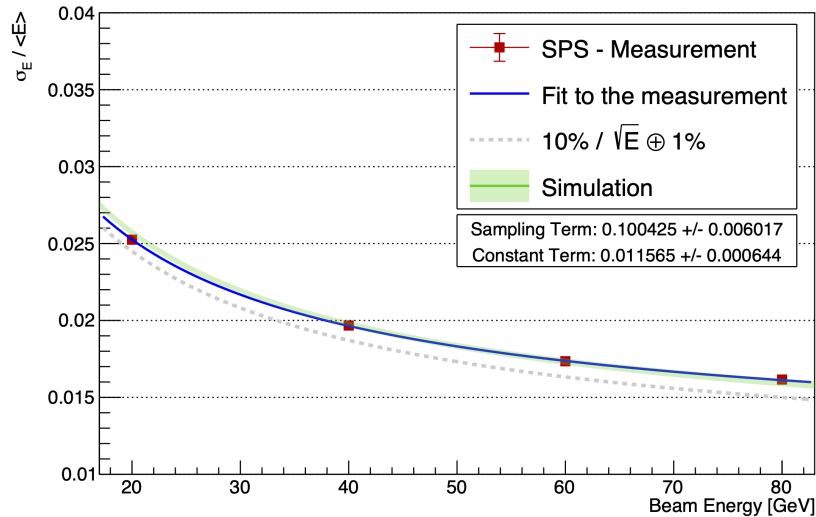
- Direct contact of MCD PMT with the scintillating fibres
- PMMA light guides
- Bundle of fibres coupled directly to MCD PMT



Fibres bundle
(1 cell)

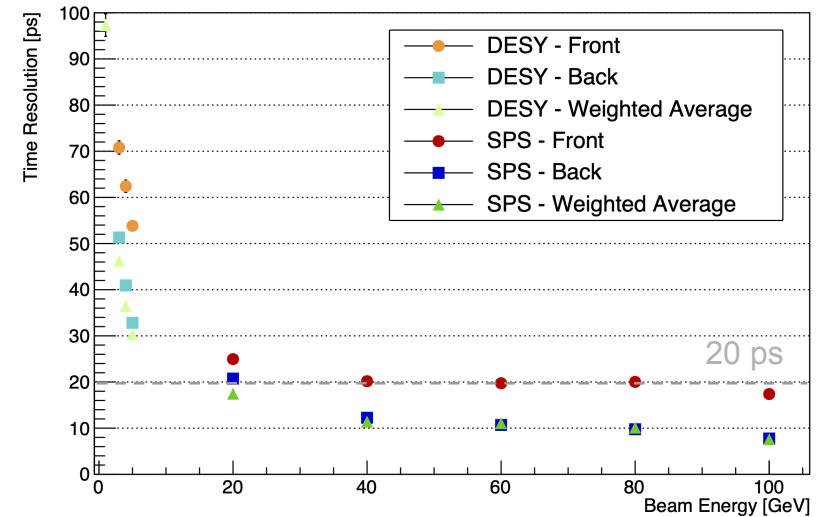
SpaCal-Pb with polystyrene fibres: test beam results

Energy resolution (CERN SPS)



- Incidence angles: $\theta_x = \theta_y = 3^\circ$
- Noise contribution subtracted
- Sampling term: 10.0%, constant term: 1.16%
- Very good agreement with simulation

Time resolution (DESY & SPS, R11187)



- Incidence angles: $\theta_x = \theta_y = 3^\circ$, double-sided readout
- PMT in direct contact
- Front section more important at low energy, back section at high energy
- Time resolution above 20 GeV: better than 20 ps

LHCb-TDR-024

Outline: R&D and test beam results

SpaCal with tungsten absorber:

- SpaCal-W with crystal fibres for LS4
- 3D printing of absorber and “module 0”
- SpaCal-W with polystyrene fibres for LS3

SpaCal with lead absorber

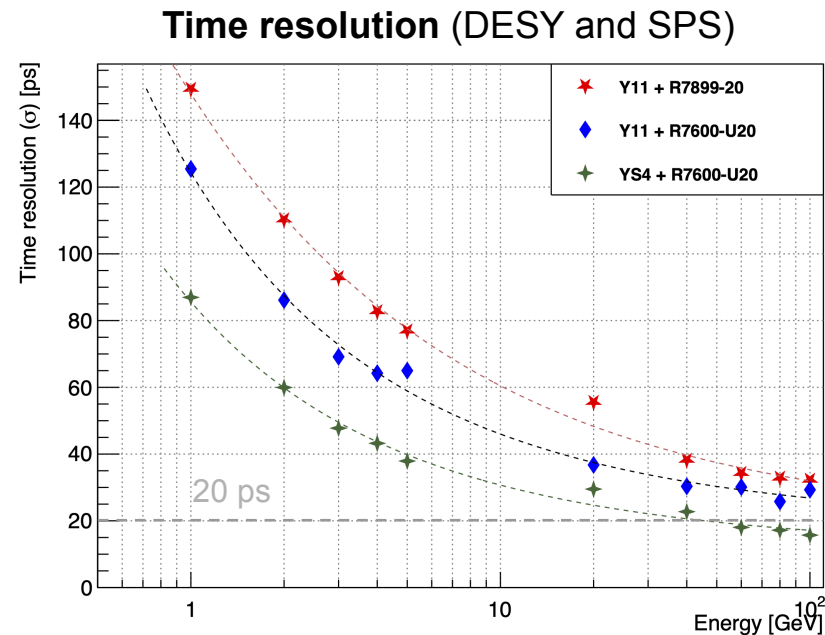
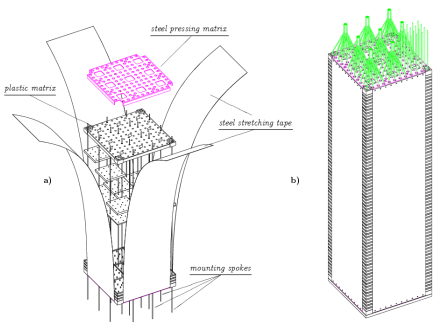
Shashlik with fast WLS fibres

Shashlik: R&D towards LS4

- Current LHCb Shashlik modules have good time properties, further improvement by replacing WLS fibres by faster ones:

- Y11 (7 ns decay time) → current LHCb
- **YS2** (3 ns decay time)
- **YS4** (1.1 ns decay time)

- Measurements at DESY and SPS with current (R7899-20) and faster (R7600-20) PMT, single-sided readout, $\theta_x = \theta_y = 3^\circ$



- **Better than 20 ps achieved above 40 GeV** (even slightly better with double-sided readout)

Summary and conclusions

Summary and conclusions

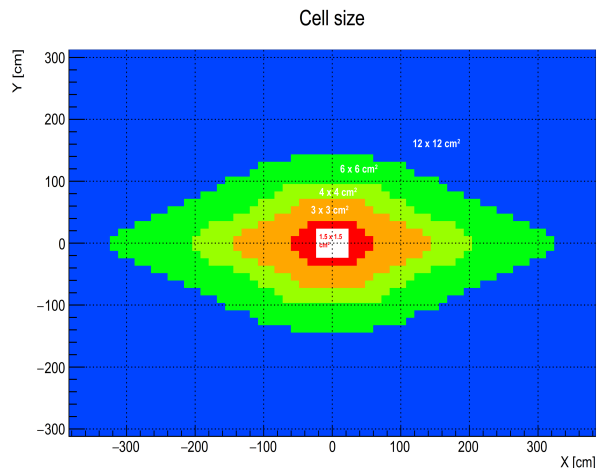
- The innermost 176 modules of the LHCb ECAL need to be replaced during **LS3** due to radiation damage
 - **SpaCal technology** with tungsten and lead absorber meets all requirements for this region
- The **Upgrade II in LS4** introduces **picosecond-level timing** capabilities and more demanding radiation hardness requirements
 - Better than 20 ps achieved with Shashlik and SpaCal technology at high energy
 - Crystal fibres in the central region
- Comprehensive **R&D ongoing** (also interesting for other future projects)
 - Test beam measurements with prototypes
 - Detailed Monte Carlo simulations
 - Study of novel absorber production techniques
 - Study of suitable PMTs and development of readout electronics
 - Investigation of new radiation-hard and fast scintillators



Thank you!

Backup slides

Baseline LS3 configuration



No longitudinal segmentation

Cell size:

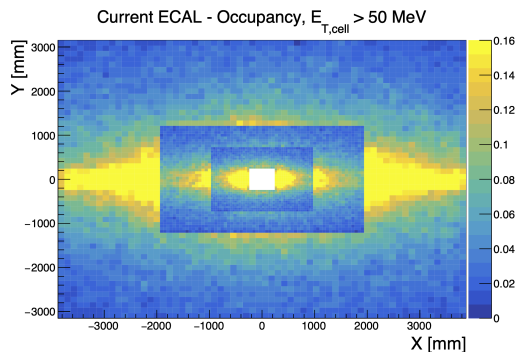
2 x 2 cm²
3 x 3 cm²
4 x 4 cm²
6 x 6 cm²
12 x 12 cm²

Modules:

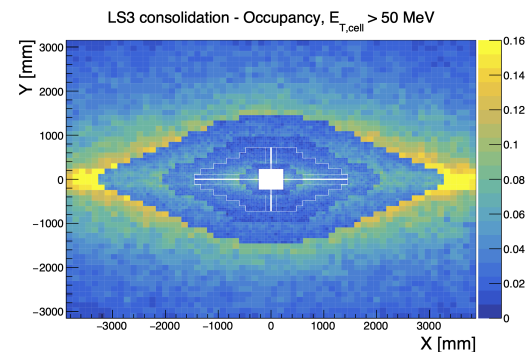
32 *new* SpaCal-W modules
144 *new* SpaCal-Pb modules
176 existing modules in rhombic configuration
448 existing modules in rhombic configuration
2'512 existing modules in rhombic configuration

- 9'344 cells (compared to 6'064 in current ECAL)
- Modules tilted in the SpaCal region
- Existing modules will be **rearranged** (4x4 cm² Shashlik modules moved out to avoid too much radiation damage, WLS fibres could be easily replaced)
- **Timing** could be implemented for SpaCal region
→ requires new electronics for up to ≈ 3'500 cells

Occupancy at $L = 2 \times 10^{33} \text{ cm}^{-2}\text{s}^{-1}$



Run 3



Run 4