



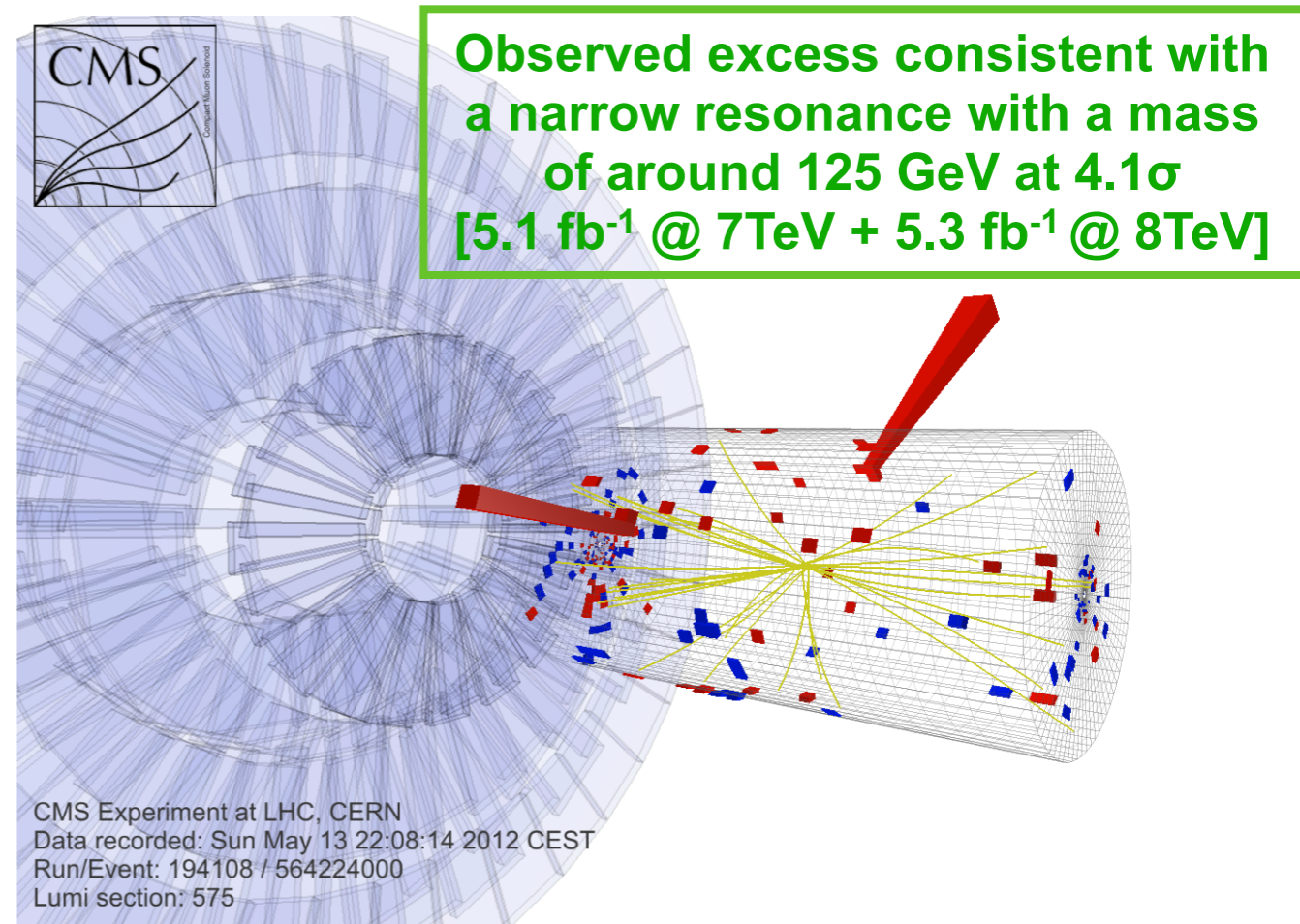
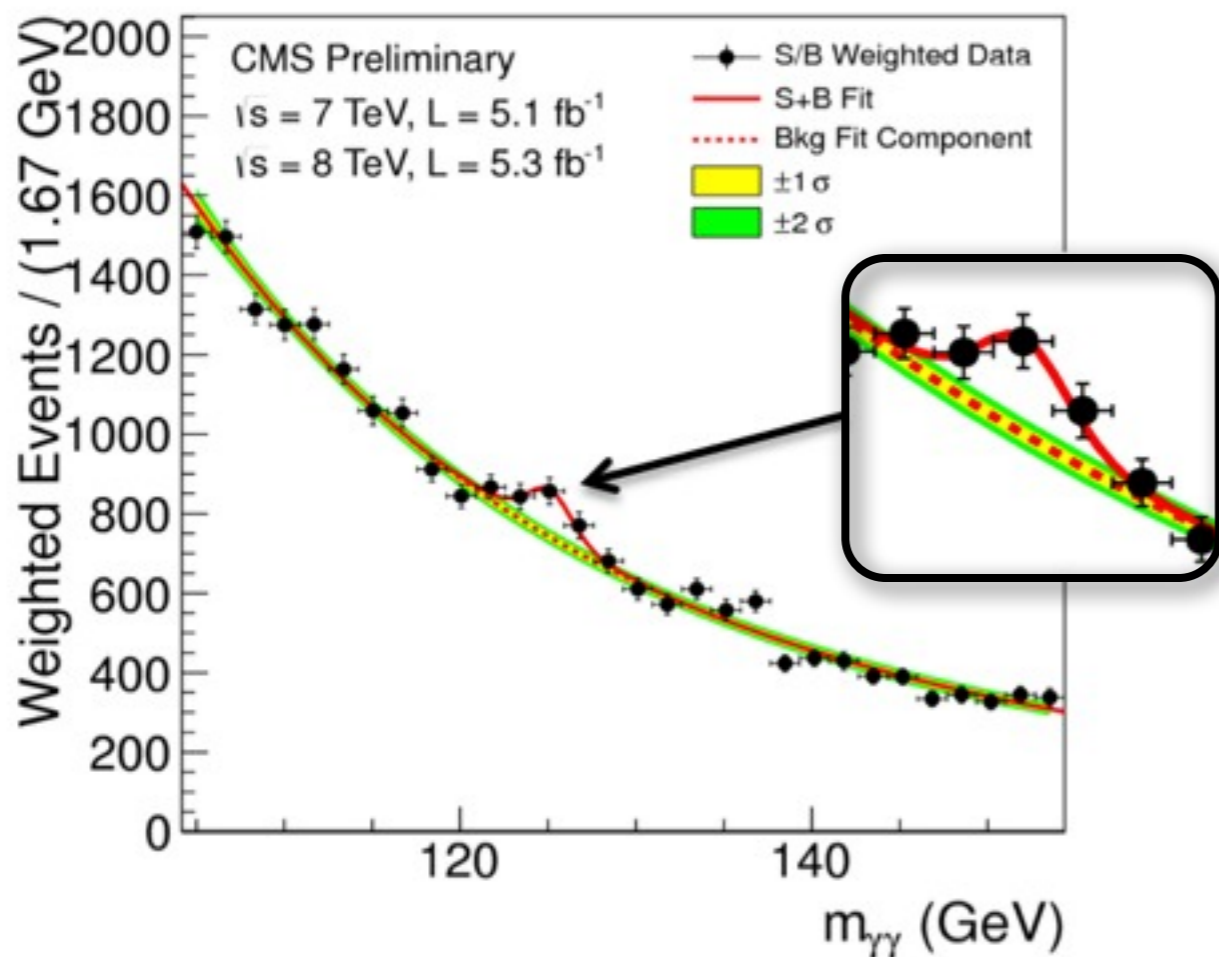
University of Milano-Bicocca and INFN

# Performance of the CMS electromagnetic calorimeter and its role in the hunt for the Higgs boson in the two-gamma channel

**Federico De Guio**  
on behalf of the CMS collaboration

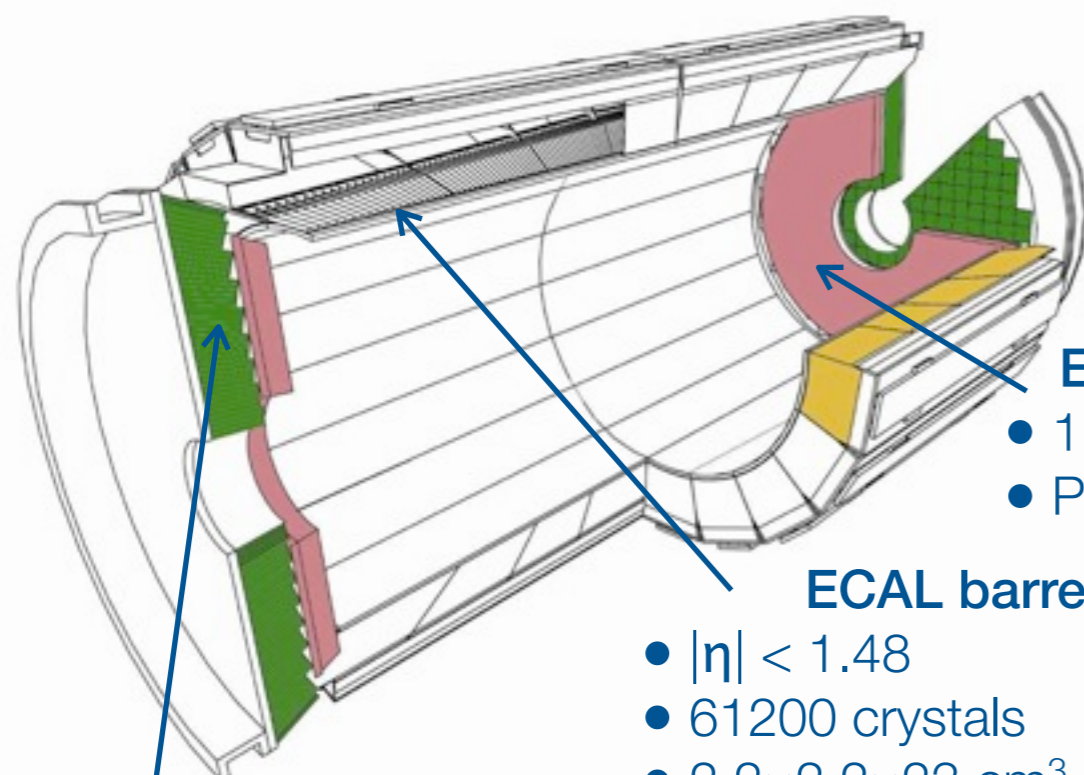
**International Workshop on Discovery Physics at the LHC  
KRUGER 2012  
December 3 - 7, 2012**

- The  $H \rightarrow \gamma\gamma$  search channel played a crucial role in the discovery of a new resonance at a mass of 125 GeV
  - Small branching ratio, but very clear signature
  - Narrow resonance of two high  $E_T$  photons over a non-resonant background of genuine or fake di-photon events
- The discovery potential strongly depends on the invariant mass resolution
  - Energy resolution
  - Position/angle resolution
  - background rejection ( $\pi^0/\gamma$  separation)



- The electromagnetic calorimeter of CMS (ECAL)
  - Description and performance
  - In-situ operation
- Energy calibration
  - $e/\gamma$  energy measured with ECAL
  - Response stability and channel-to-channel calibration
  - Energy corrections
- Qualification of the performance with  $Z \rightarrow ee$  events
  - Measurement of the energy scale and resolution
- Impact of the performance on the  $H \rightarrow \gamma\gamma$  signal
  - Progress in understanding ECAL
- Summary

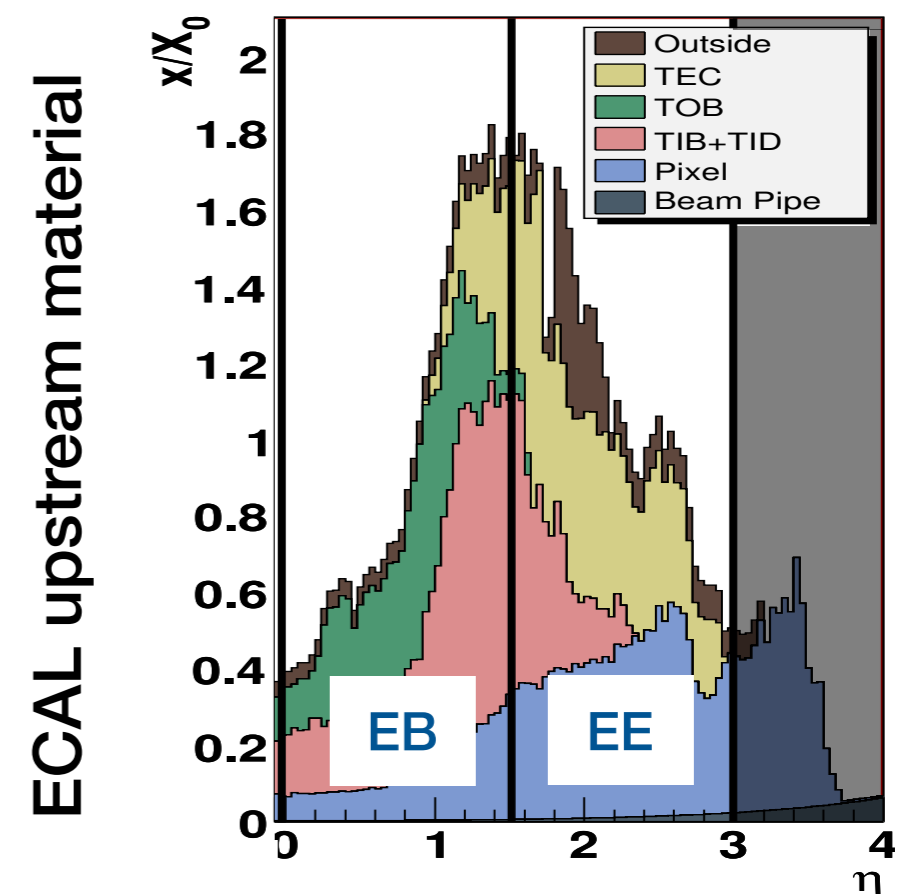
- ECAL is a **homogeneous, compact, hermetic, fine grain** scintillating calorimeter composed of 75848 **lead tungstate crystals** ( $\text{PbWO}_4$ ) organized in barrel (EB) and endcaps (EE)
- Excellent energy resolution for photons and electrons ( $\text{H} \rightarrow \gamma\gamma$ ,  $\text{H} \rightarrow \text{ZZ} \rightarrow 4\text{e}$ )



- ECAL endcap:**
- $1.48 < |\eta| < 3.00$
  - 14648 crystals
  - $3 \times 3 \times 22 \text{ cm}^3$  ( $\sim 25X_0$ )

- ECAL barrel:**
- $|\eta| < 1.48$
  - 61200 crystals
  - $2.2 \times 2.2 \times 23 \text{ cm}^3$  ( $\sim 26X_0$ )

- ECAL preshower:**
- $1.65 < |\eta| < 2.60$
  - Pb/Si ( $\sim 3X_0$ )



- $\sim 4.5$  photo-electrons/MeV
- high density ( $8.9 \text{ g/cm}^3$ )
- small Molière radius (2.19 cm)
- short rad. length (0.89 cm)
- Transparency variation with radiation [measured and corrected]
- **excellent energy resolution [0.5% at high energies]**

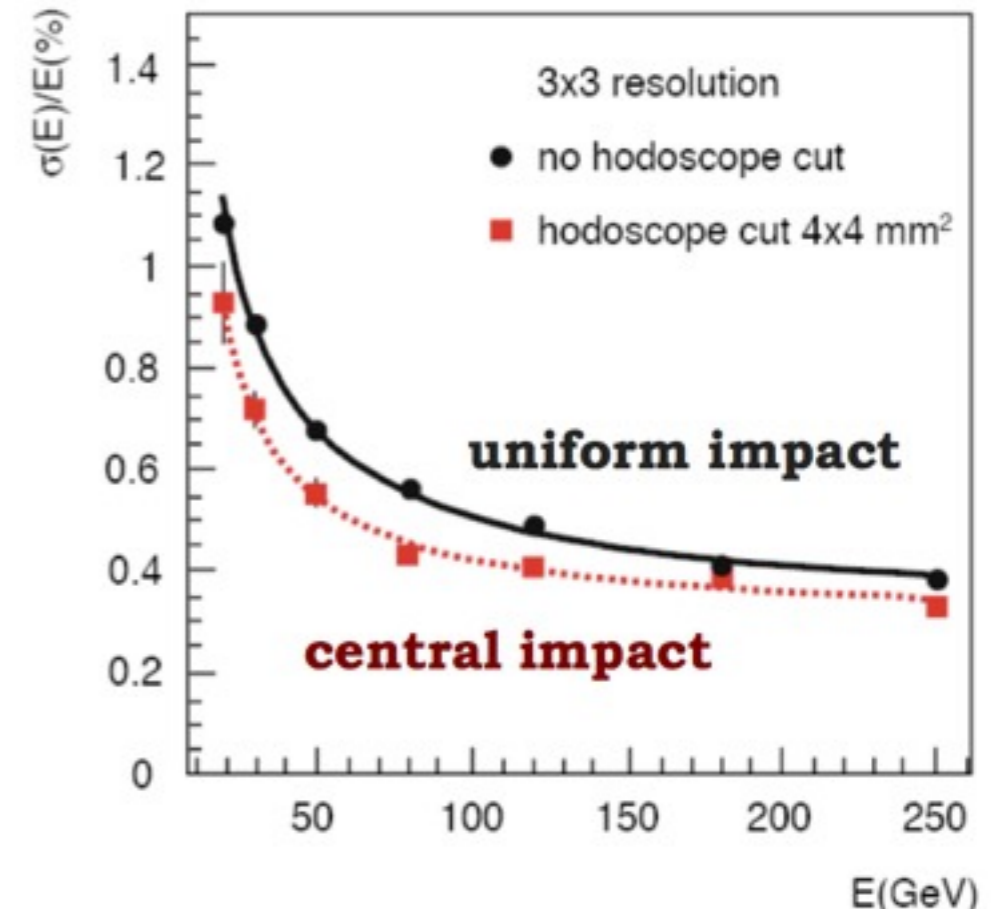
**Response spread  $\rightarrow$  Intercalibration**  
**Response stability  $\rightarrow$  Stabilization and monitoring**

- ECAL 'standalone' energy resolution measured at **test beams** (120 GeV electrons)
  - No magnetic field, no material upstream of ECAL
  - Negligible systematic term from channel response variations (inter-calibration)
- Energy resolution for central impact on 3x3 arrays of barrel crystals

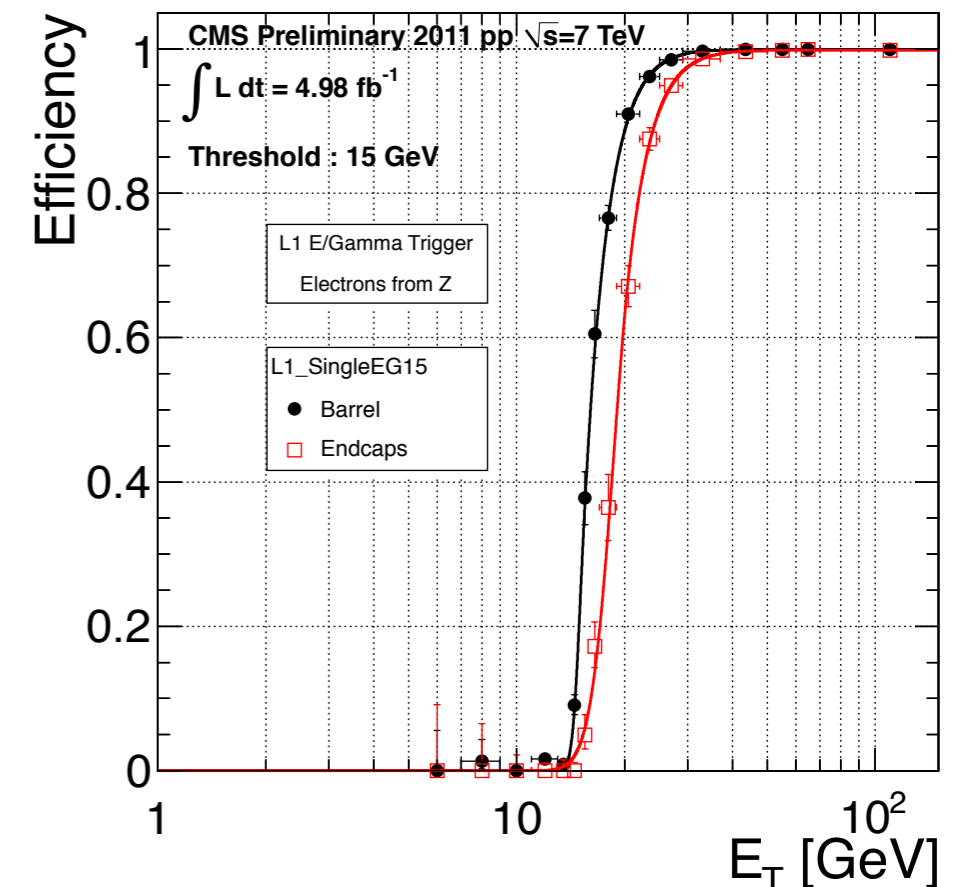
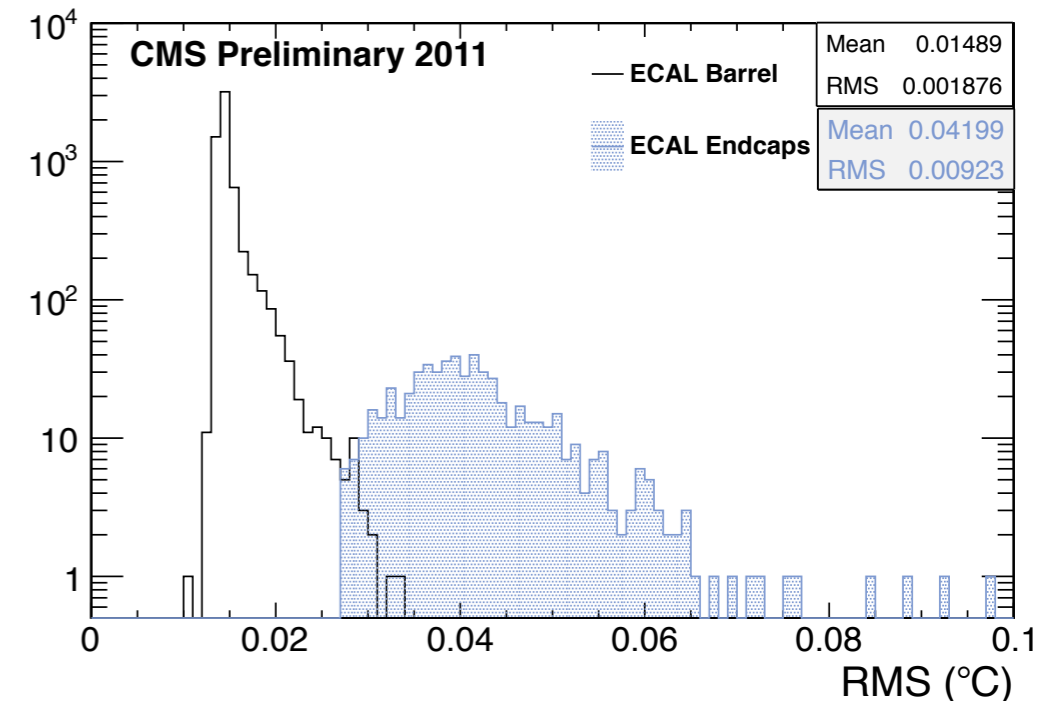
$$\frac{\sigma_E}{E} = \boxed{\frac{2.8\%}{\sqrt{E(\text{GeV})}}} \oplus \boxed{\frac{0.128}{E(\text{GeV})}} \oplus \boxed{0.3\%}$$

**Stochastic term (A)**  
**Noise term (B)**  
**Constant term (C)**

- Results used to tune MC simulation
- **At high energy, the photon resolution is dominated by the constant term C**
- Additional contribution to the energy resolution in CMS:
  - Environmental stability and response uniformity
  - The target is to keep **C ~ 0.5%**



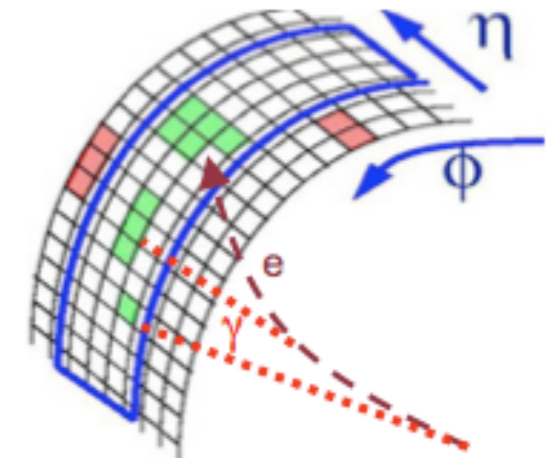
- **ECAL stably and efficiently running**
  - Fraction of working channels stable in the last three years: **EB 99.2%, EE 98.5%, ES 96.9%**
  - Temperature stability:
    - negligible contribution to the energy resolution constant term if temperature of the Barrel/Endcap stable **within 0.05 °C/0.1 °C**
  - High Voltage stability (EB):
    - APD gain very sensitive to the bias voltage: 3%/Volt
    - High Voltage stability: **~0.1% contribution to the C term**
- **Excellent triggering efficiency (Level-1 e/γ trigger with 15 GeV  $E_T$  threshold)**
  - Efficiency estimate with the tag and probe method from the  $Z \rightarrow ee$  decay
    - **at 100 GeV: 99.95% in EB and 99.84% in EE**
  - Response corrections applied at trigger level since the beginning of the 2012 run
- **Removal of anomalous signals already at trigger level**
  - consisting of isolated large signals coming from the direct ionization of the active silicon layers of the APDs



- In-situ calibration to get the **best estimate of the energy of e/γ**
- Energy spread over several crystals  $\Rightarrow$

$$E_{e/\gamma} = F_{e/\gamma} \cdot G \cdot \sum_i [ S_i(t) \cdot c_i \cdot A_i ]$$

- $A_i$ : single channel amplitude (ADC counts)
- $c_i$ : inter-calibration constant
- $S_i(t)$ : time-dependent correction for response variations
- $G$ : global scale calibration (GeV/ADC)
- $F_{e/\gamma}$ : particle energy correction (geometry, clustering, ...)



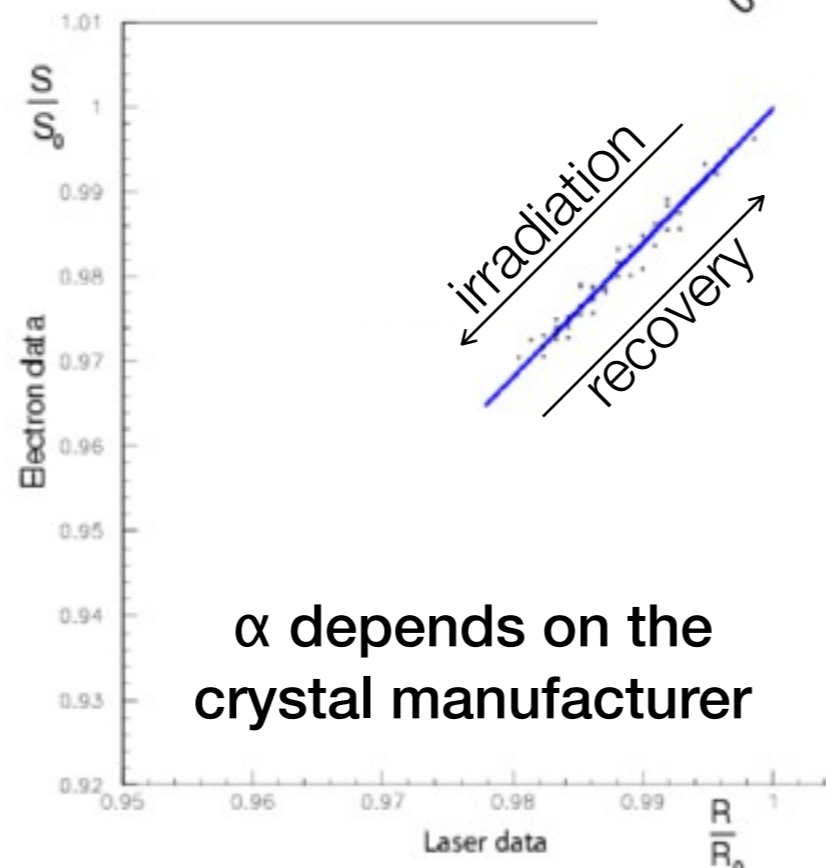
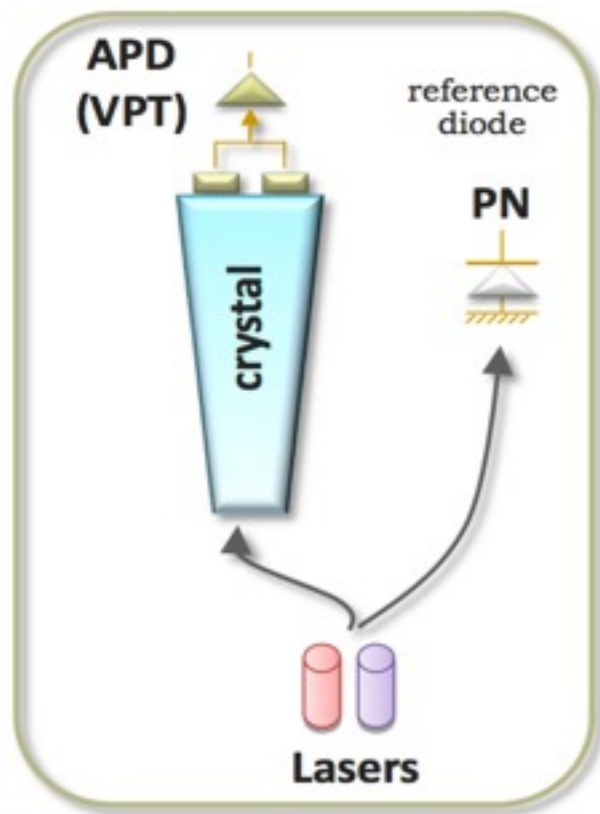
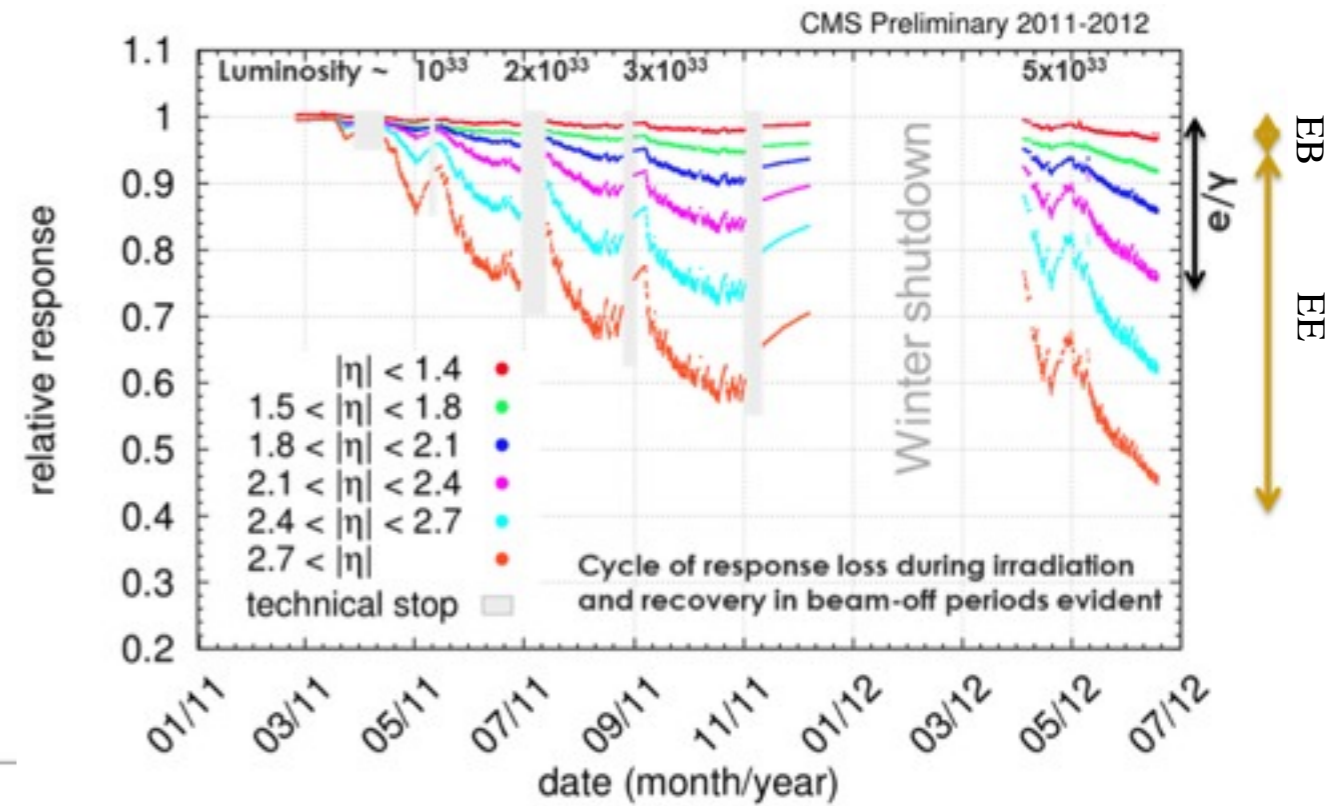
- The intercalibration [ $c_i$ ] and the response stability [ $S_i(t)$ ] precision **directly affect the constant term C**
- **In-situ calibration and monitoring** sources with collision events
  - $\pi^0/\eta \rightarrow \gamma\gamma$  mass
  - $\varphi$ - and time-invariance of the energy flow per crystal in Minimum bias events
  - Electron E/p and  $Z \rightarrow ee$  mass
- **Energy scale and resolution** (and efficiency and particle id)
  - $Z \rightarrow ee$  and  $Z \rightarrow \mu\mu\gamma$

**Dedicated high-rate calibration data streams**

- The  $\text{PbWO}_4$  scintillation mechanism is not affected by irradiation.

But irradiation causes:

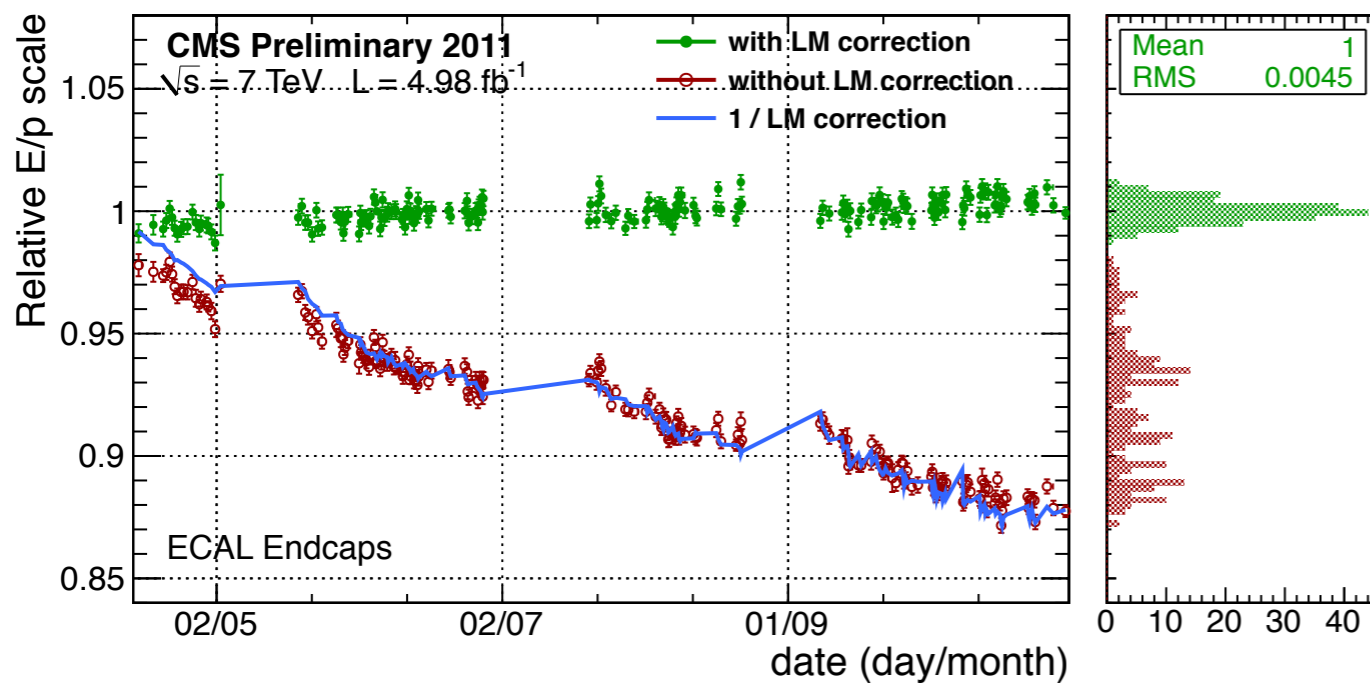
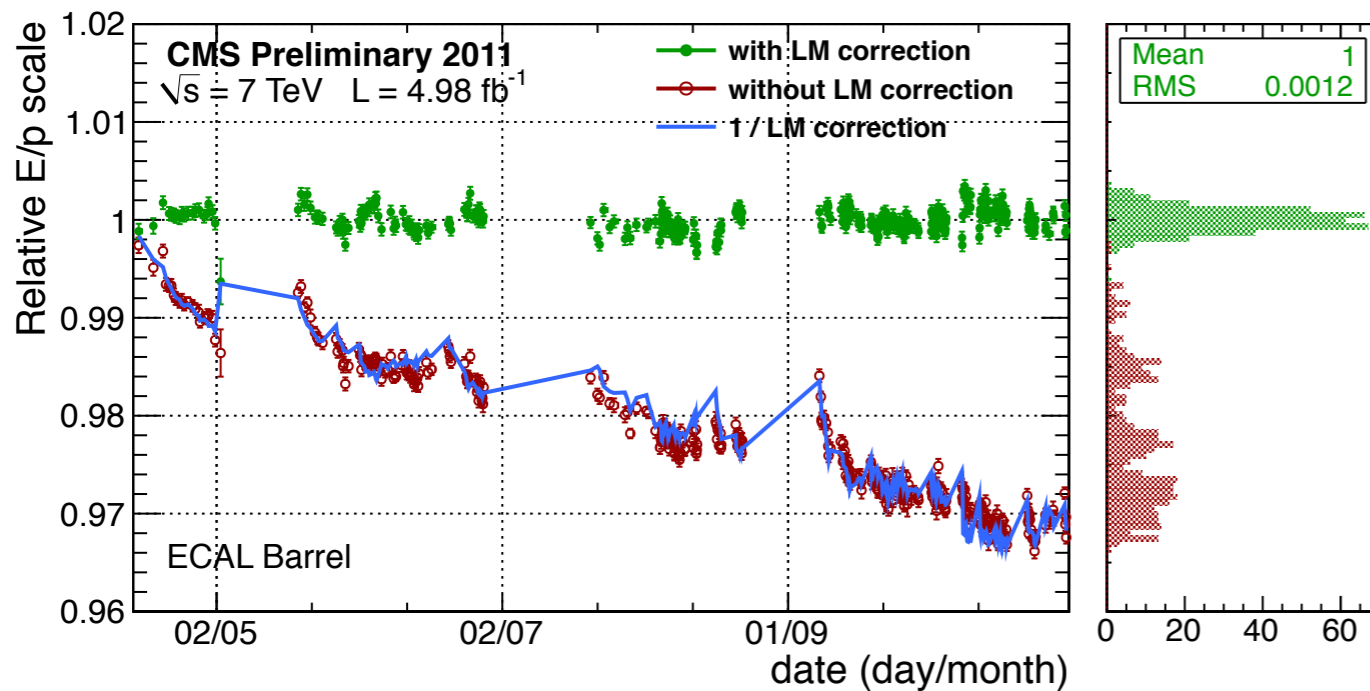
- variations in crystal transparency
- VPT ageing
- Response monitored with laser light at 440 nm (close to max. scintillation emission) and 798 nm
- Crystal response to laser and e.m. shower light linked by  $S/S_0 = (R/R_0)^\alpha$



- creation of **colour centers** which absorb the scintillation light
- rapid loss and recovery** of the optical transmission under irradiation (few hours)
- LHC cycles clearly visible

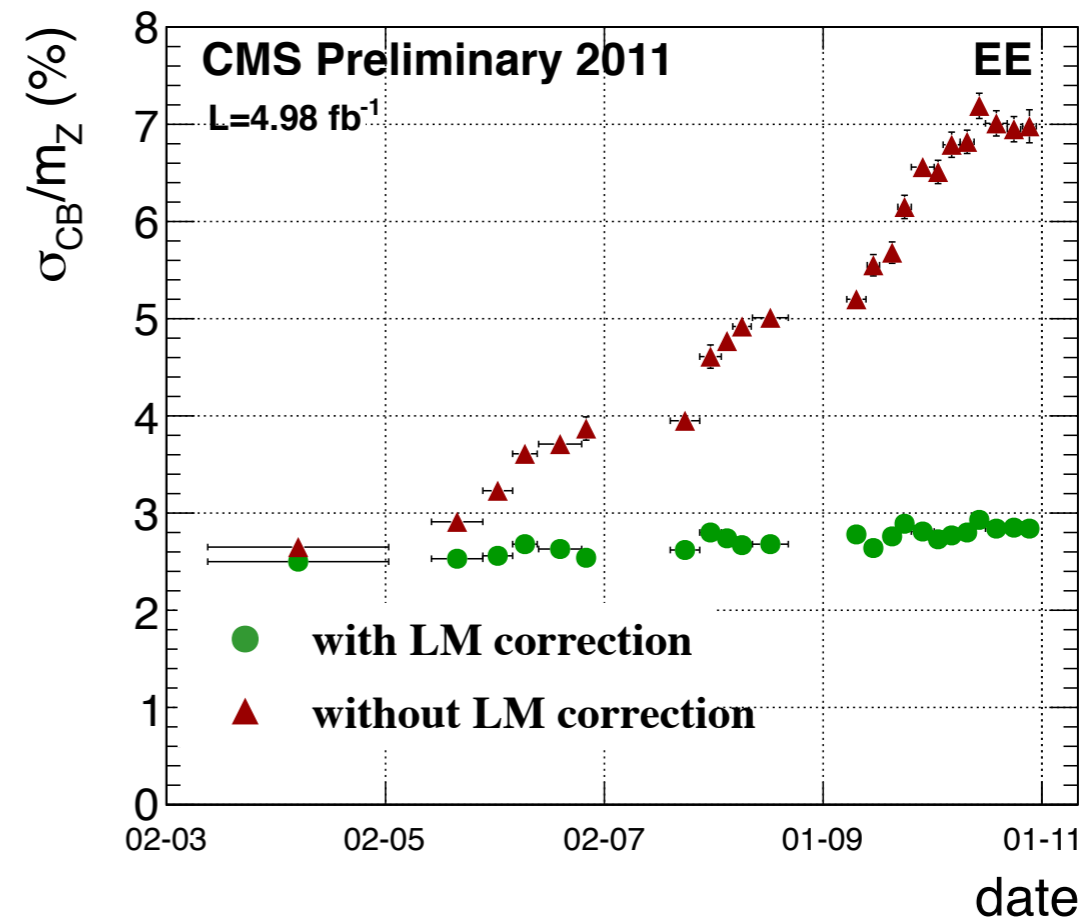
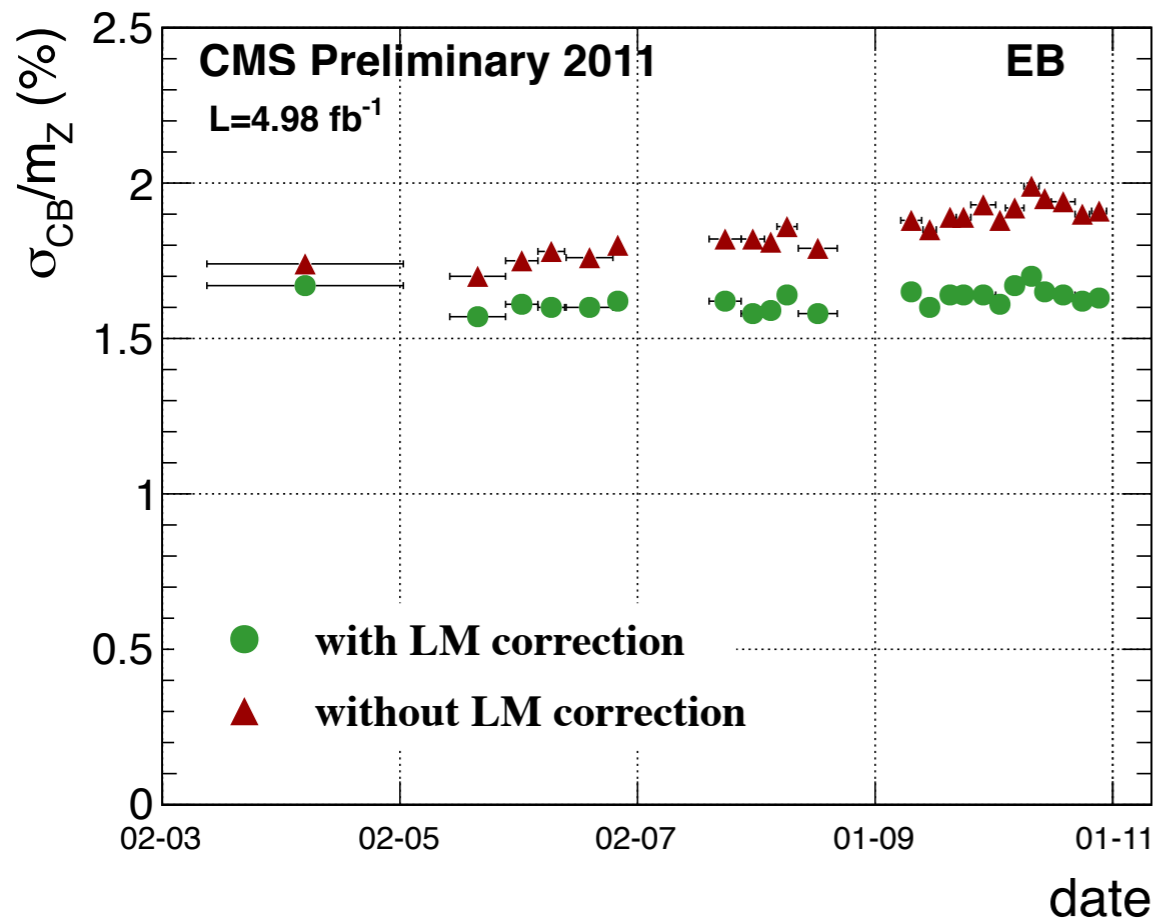
$$E_{e/\gamma} = F_{e/\gamma} \cdot G \cdot \sum_i [ S_i(t) \cdot c_i \cdot A_i ]$$





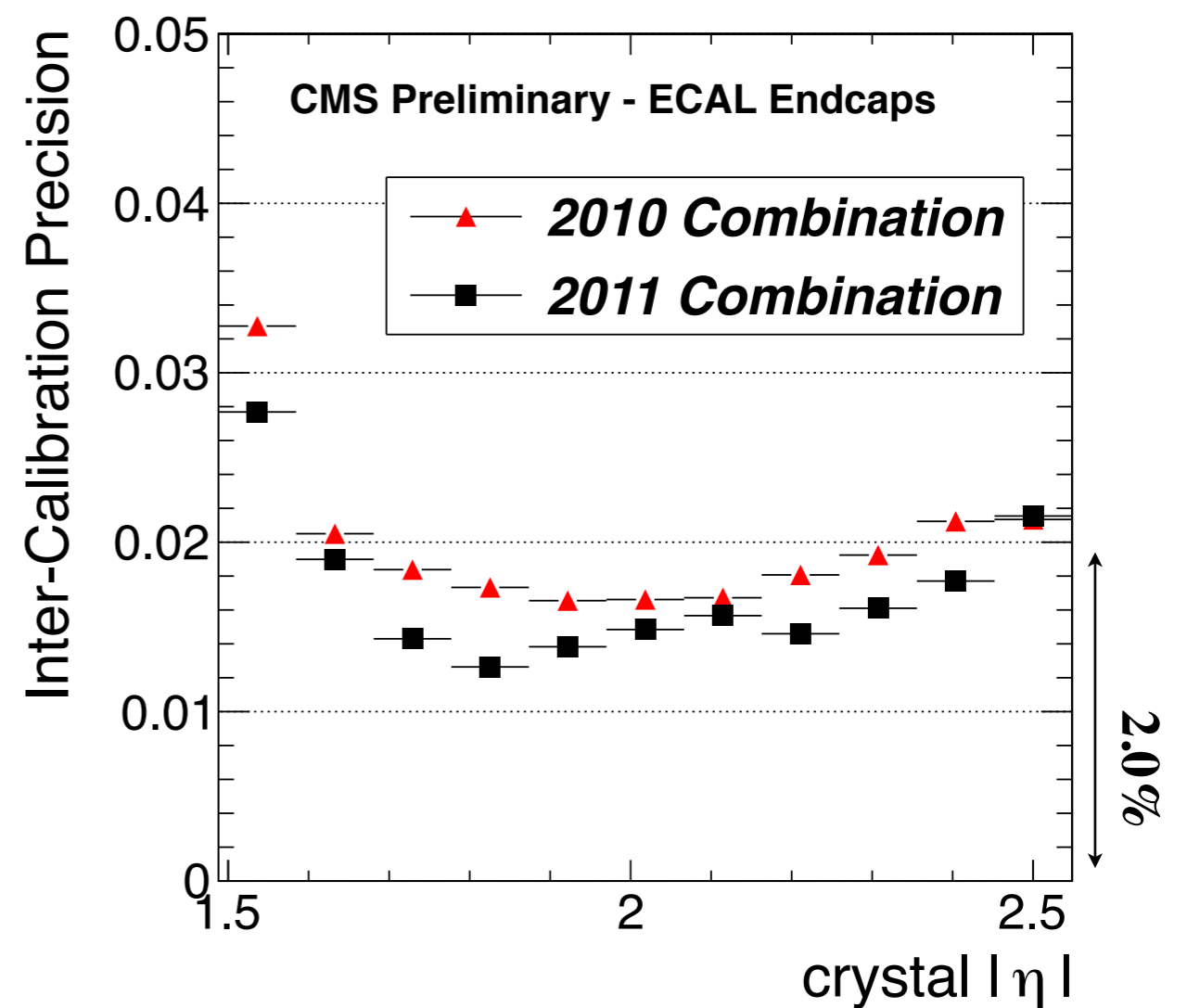
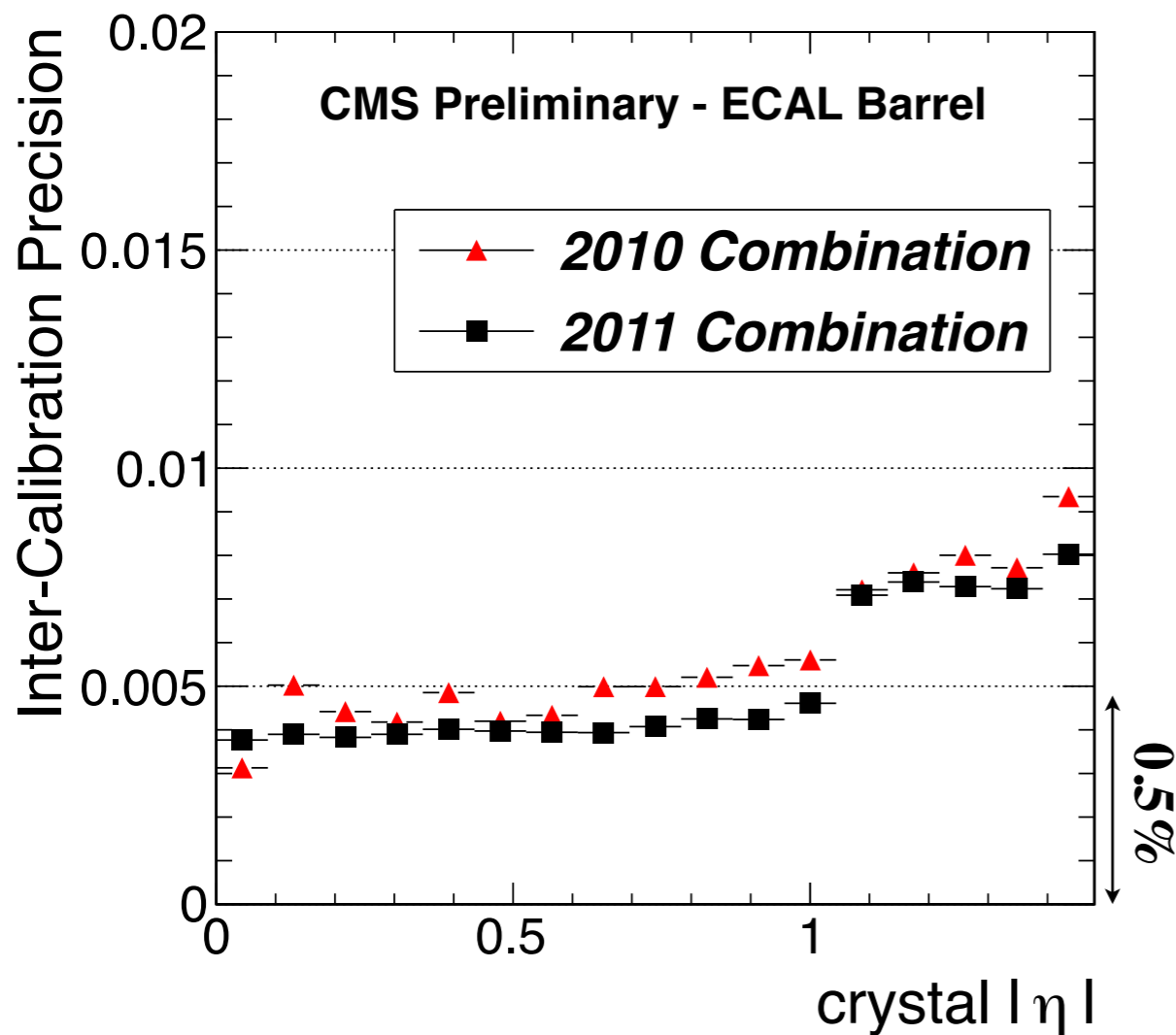
- **Stable energy scale after correcting for response changes.**
- **Barrel:**
  - $\langle \text{signal loss} \rangle \sim 2.5\%$ ,
  - RMS stability  $\sim 0.12\%$
- **Endcap:**
  - $\langle \text{signal loss} \rangle \sim 10\%$ ,
  - RMS stability  $\sim 0.45\%$
- **Corrections include:**
  - Barrel:  $\alpha = 1.52$
  - Endcap:  $\langle \alpha \rangle \sim 1.28$
- **Further tuning of the corrections in progress:**
  - Residual effective corrections from E/p and  $\pi^0$  history

$$E_{e/\gamma} = F_{e/\gamma} \cdot G \cdot \sum_i [ S_i(t) \cdot c_i \cdot A_i ]$$



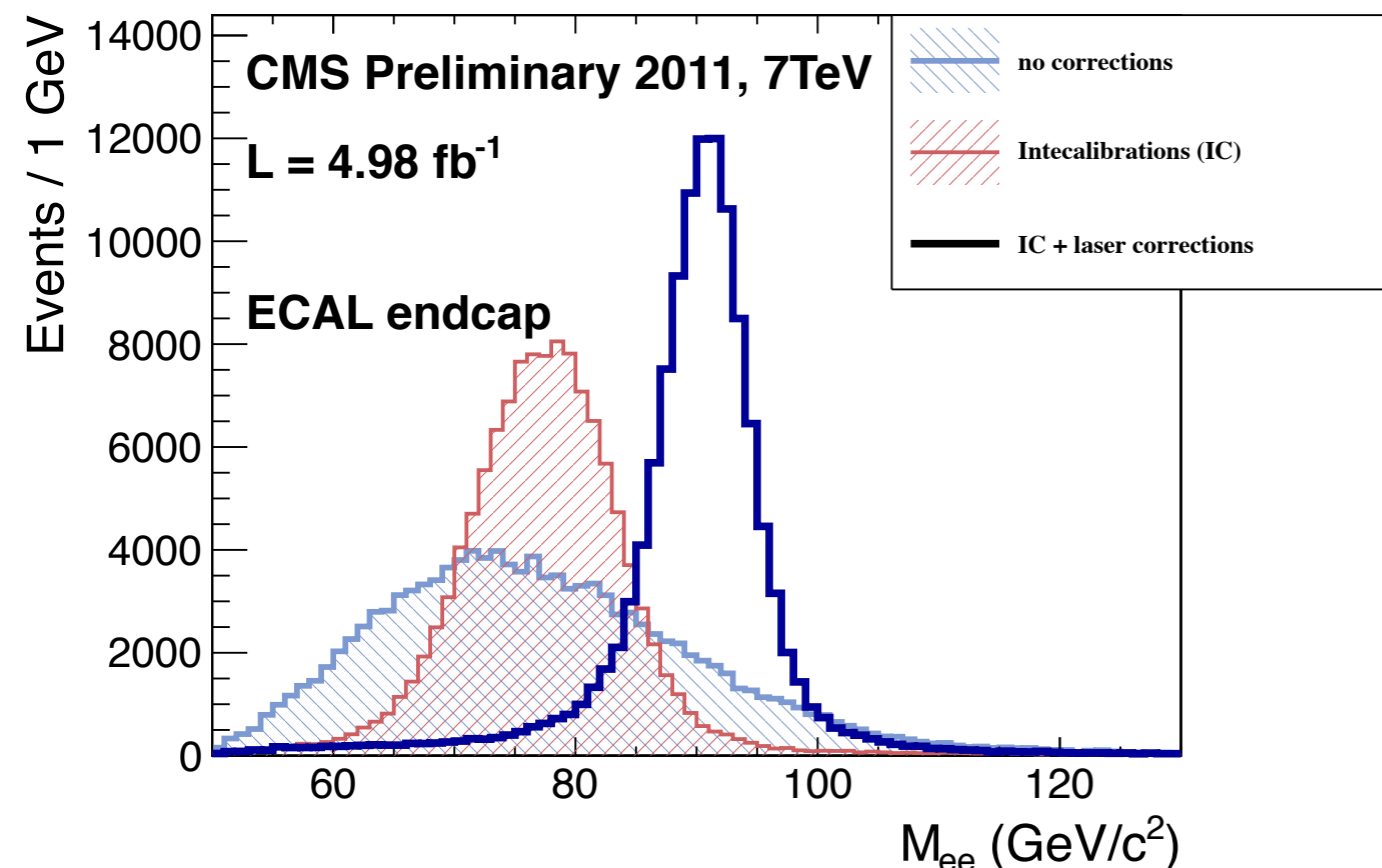
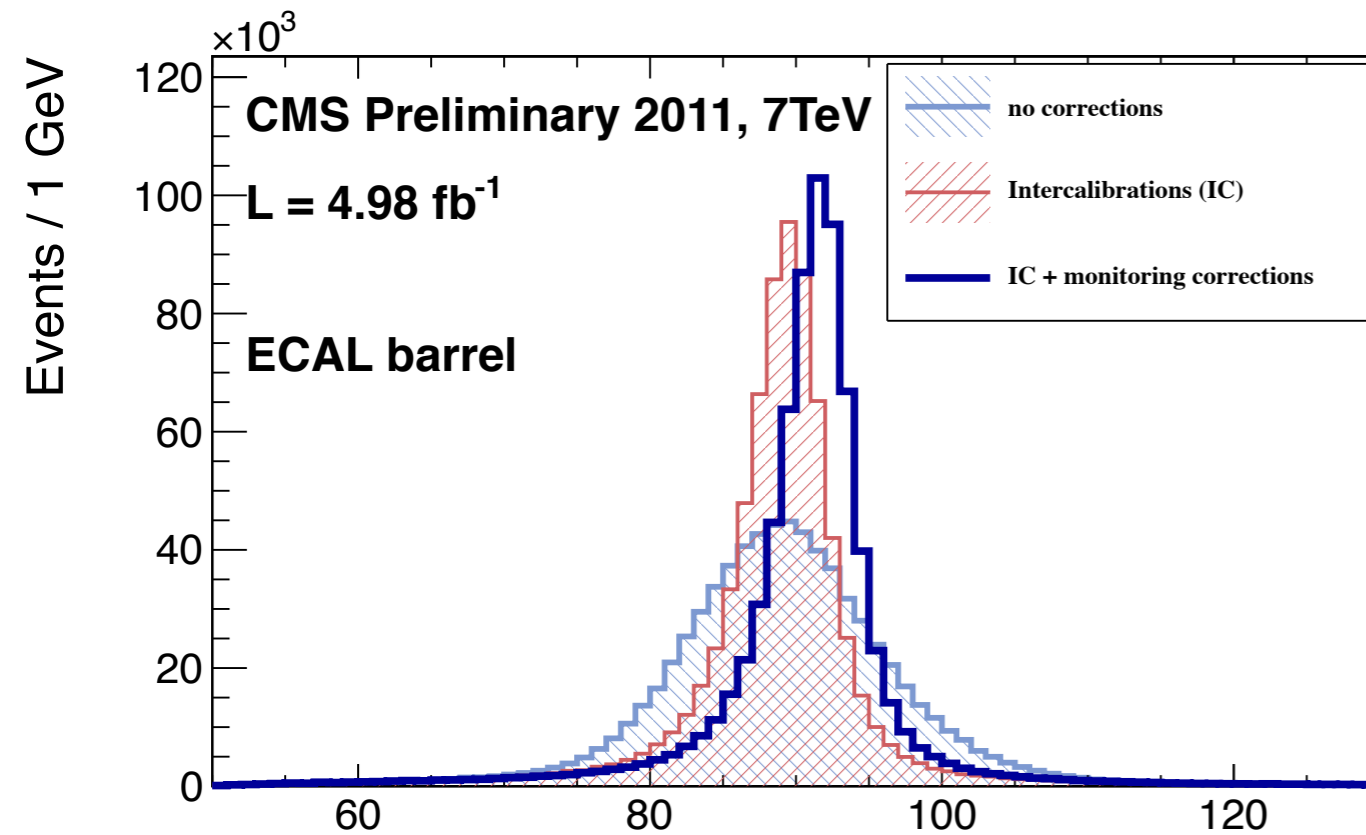
- ECAL resolution (from **Z→ee peak width**) stability before and after the application of Laser Monitoring corrections (LM):
  - ECAL Barrel: resolution stable within errors
  - ECAL Endcaps: resolution worsens by ~1.5% in quadrature
- Further tuning of corrections and/or pileup effects is required
  - e.g. in-situ measurement of the 'effective  $\alpha$ ' at single crystal level

$$E_{e/\gamma} = F_{e/\gamma} \cdot G \cdot \sum_i [ S_i(t) \cdot c_i \cdot A_i ]$$



- Several methods to calibrate in-situ:
  - **$\phi$ -symmetry calibration:** invariance around the beam axis of energy flow in minimum bias events. Intercalibrate crystals at the same pseudorapidity.
  - **$\pi^0$  and  $\eta$  calibration:** mass constraint on photon energy, use unconverted  $\gamma$ 's reconstructed in 3x3 matrices of crystals.
  - **High energy electron** from W and Z decays (E/p with single electrons and invariant mass with double electrons).

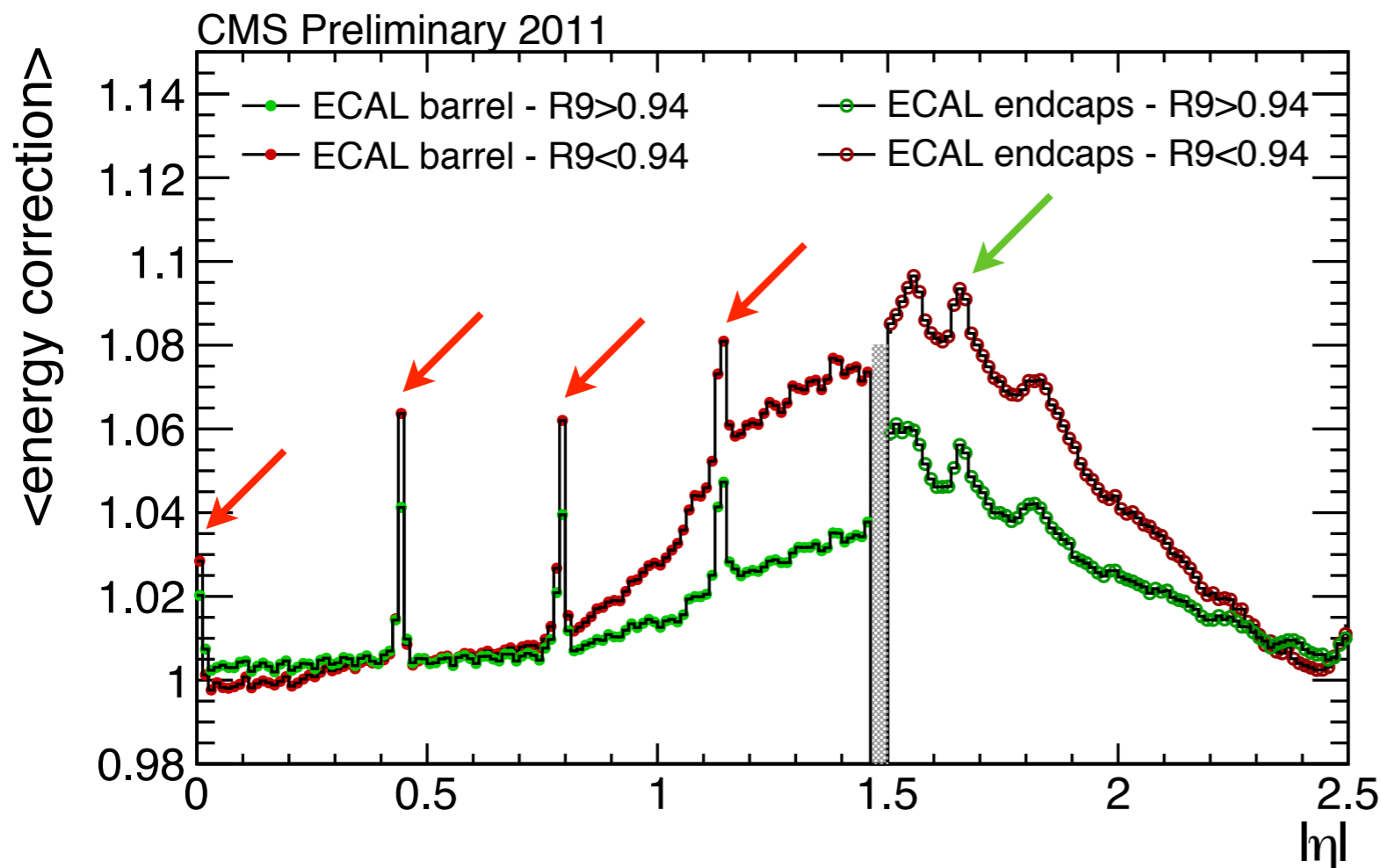
$$E_{e/\gamma} = F_{e/\gamma} \cdot G \cdot \sum_i [ S_i(t) \cdot c_i \cdot A_i ]$$



- Impact on the reconstructed **di-electron invariant mass** of:
  - inter-calibration coefficients
  - inter-calibration + laser corrections
- The three inter-calibration methods are approaching the **asymptotic precision in EB**
  - The estimate of the IC and their precision is in agreement in 2010, 11 and 12 data
  - Some improvement can be obtained trying to correct for time instabilities
- On top of the single channel calibration, **e/γ dependent algorithmic corrections** based on simulation (MC) are applied.

$$E_{e/\gamma} = F_{e/\gamma} \cdot G \cdot \sum_i [ S_i(t) \cdot c_i \cdot A_i ]$$

- **Cluster energy corrections** vs pseudo-rapidity for non-showering and showering electrons:
  - compensate for unclustered energy and energy not reaching the calorimeter: **strongly related to the amount of material in front of ECAL.**
  - energy lost inside gaps: intermodule boundary visible in the Barrel
- Current best corrections from an **MC driven MVA analysis** including shower location, shower-shape, and global event variables

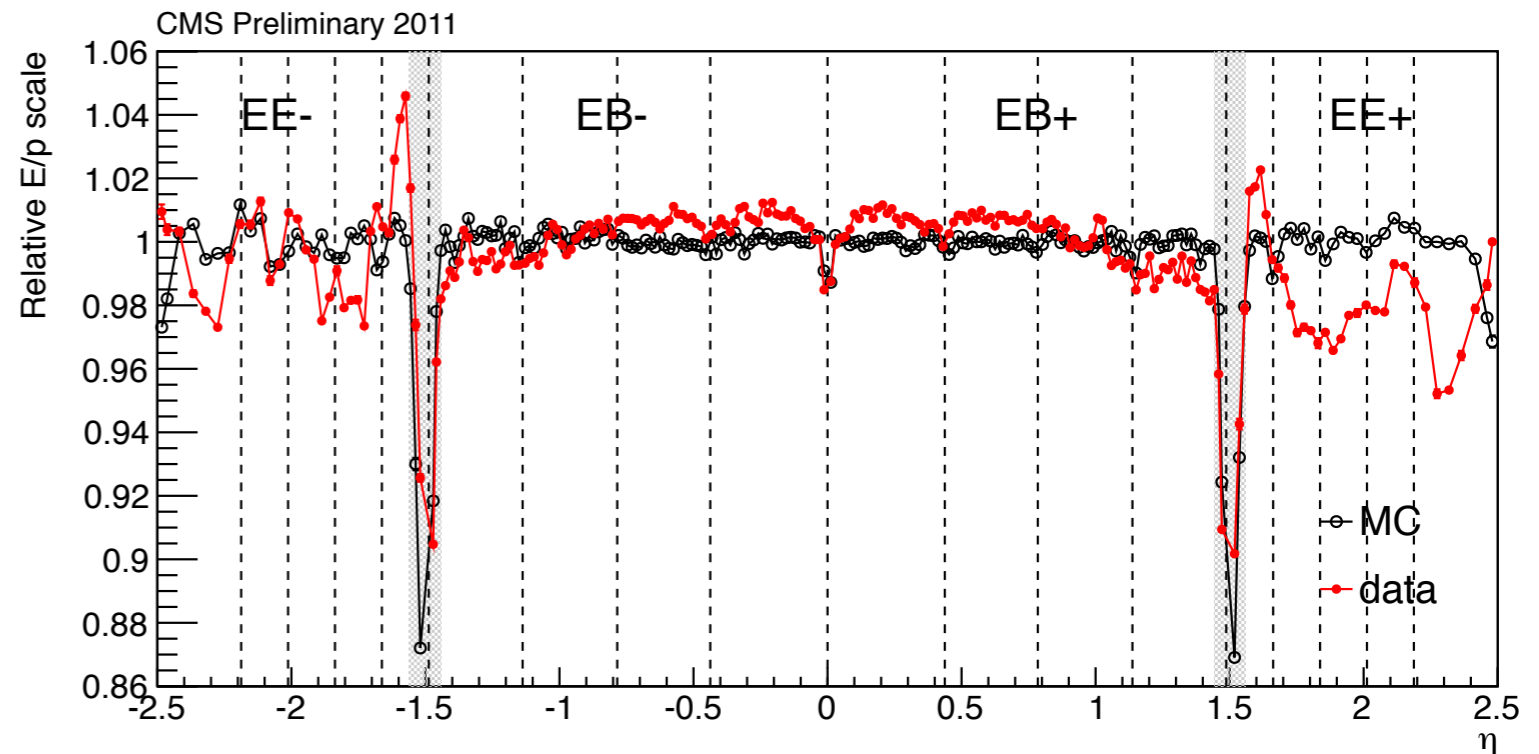


→ **inter-module boundaries**

→ **Preshower edge**

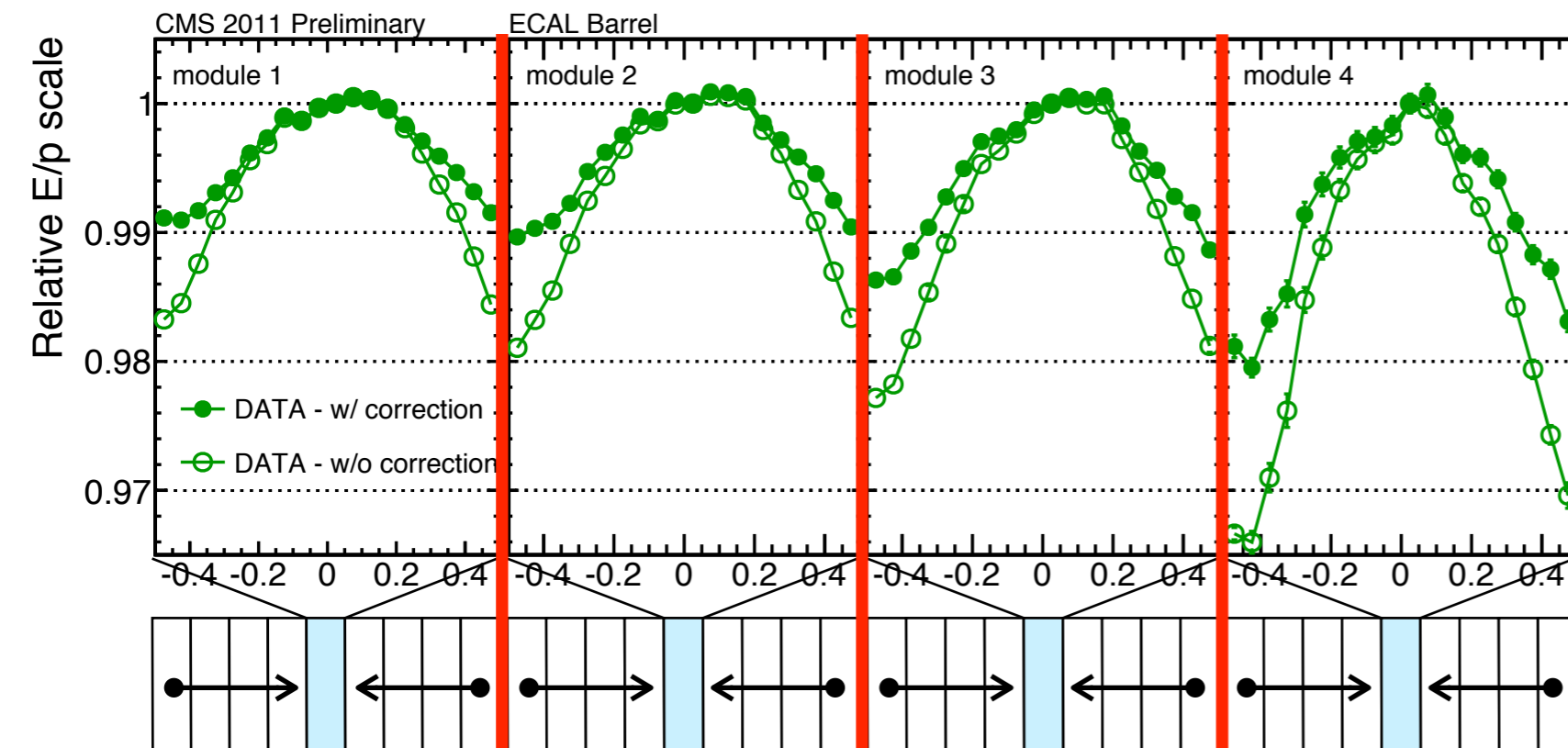
$$E_{e/\gamma} = F_{e/\gamma} \cdot G \cdot \sum_i [ S_i(t) \cdot c_i \cdot A_i ]$$

- Studied  $E/p$  vs  $\eta$ 
  - ad-hoc  $p$  calibration obtained from  $Z \rightarrow ee$  events applied
  - fit each  $\eta$  ring with a template distribution
  - MC is flat  $\Leftrightarrow F_{e/\gamma}$  well tuned
  - data/MC difference used to adjust the scale along  $\eta$

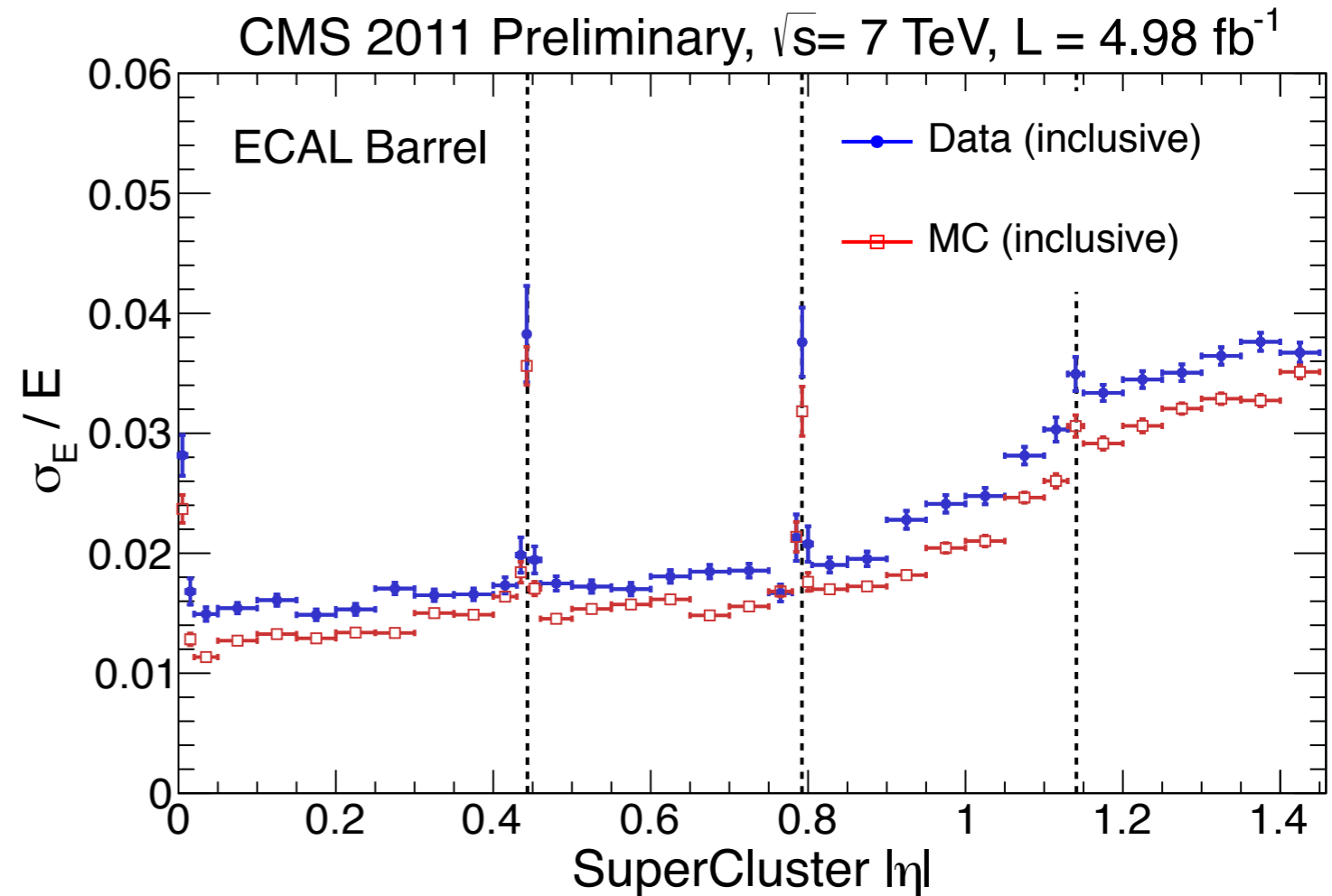


- Studied  $E/p$  vs local  $\eta$ 
  - all ECAL folded in 1 crystal - four  $\eta$  regions defined
  - residual dependence on the electron impact position observed

$$E_{e/\gamma} = F_{e/\gamma} \cdot G \cdot \sum_i [ S_i(t) \cdot c_i \cdot A_i ]$$



- The  $Z \rightarrow ee$  invariant mass peak is the main tool to estimate energy resolution and scale.
- The  $Z \rightarrow ee$  events are fitted with the convolution of a Breit-Wigner and a Crystal-Ball function.
- An extra-smearing is applied to the simulation in order to match the energy resolution measured in the data

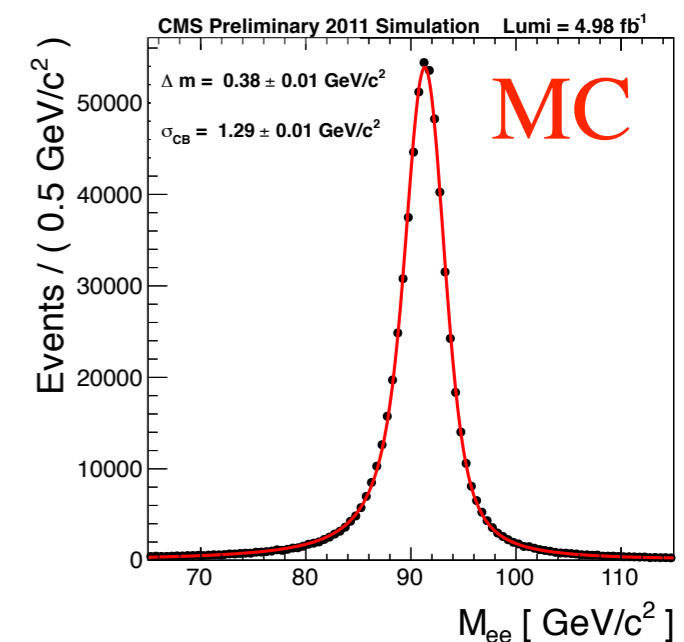
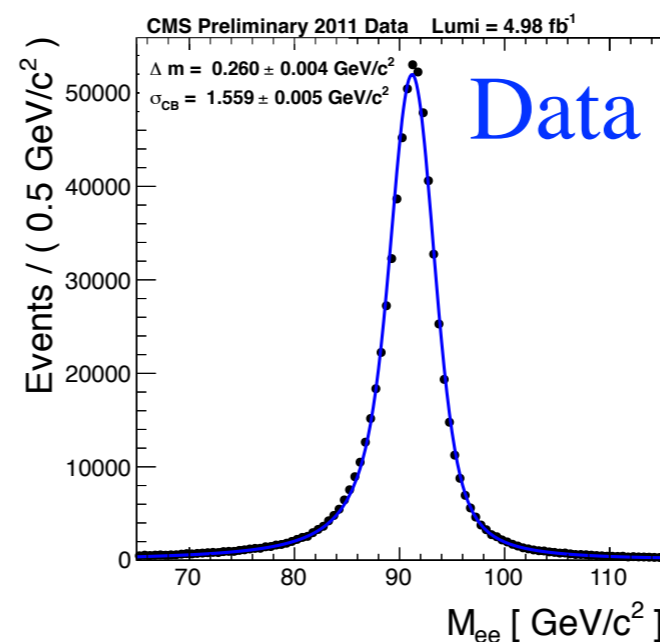


- **Energy scale:**

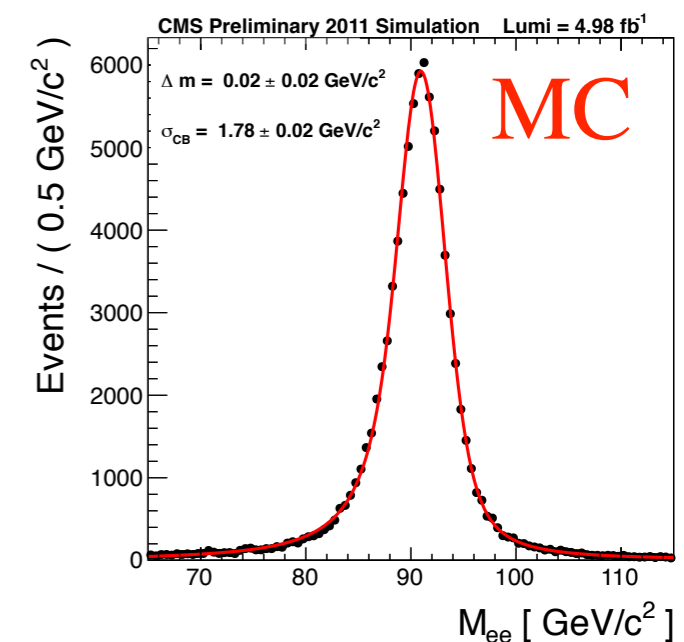
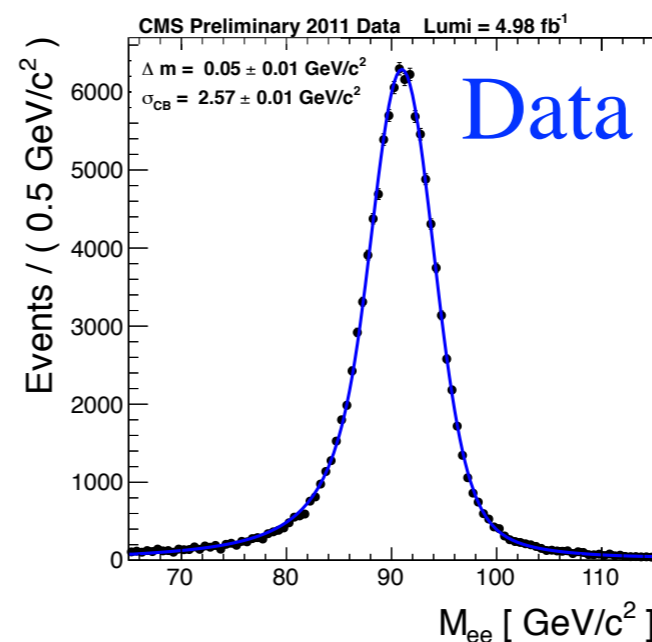
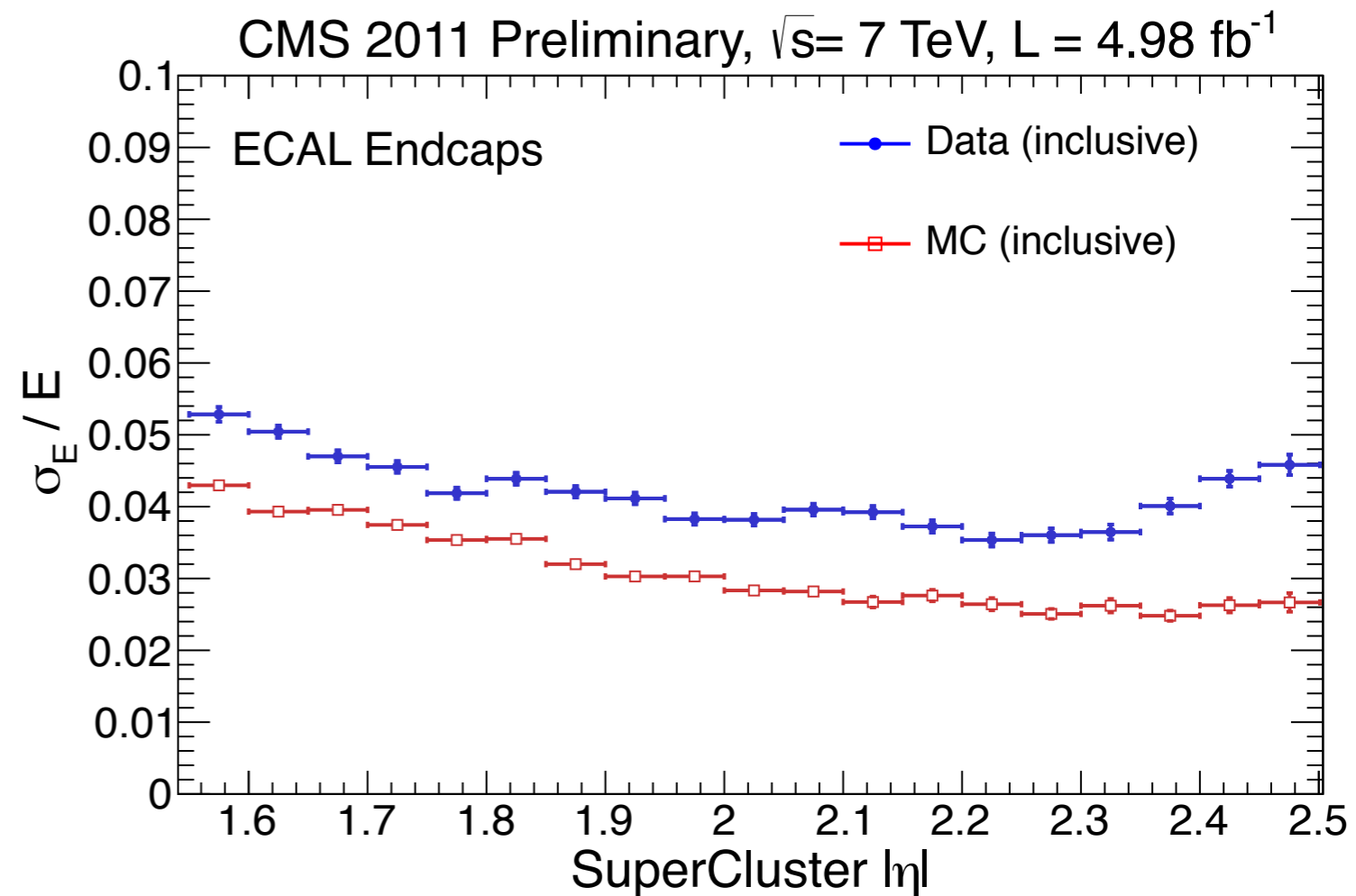
- consistent with PDG within 0.6% syst.

- **Energy resolution:**

- 1.01 GeV/c $^2$  for low bremsstrahlung
- 1.56 GeV/c $^2$  inclusive category



- **Room for improvement in EE**
  - reduce the inter-calibration systematic effects
  - optimization of cluster corrections
- **Tuning of the simulation in order to reduce the data-MC discrepancy:**
  - single crystal response description
  - energy and geometry corrections
  - tracker material description
- **Consistent results from  $Z \rightarrow \mu\mu\gamma$  both in barrel and in endcap**



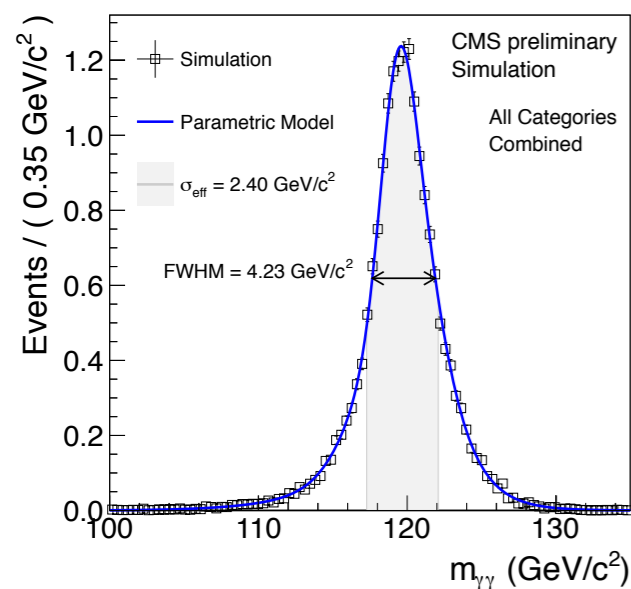
- **Energy scale:**
  - consistent with PDG within 1.5% syst.
- **Energy resolution:**
  - 2.57 GeV/c $^2$  for inclusive category



- All the improvements in the **understanding of the ECAL** energy reconstruction and corrections contribute significantly to enhance the  **$H \rightarrow \gamma\gamma$  discovery potential**
- Inclusive  $H \rightarrow \gamma\gamma$  invariant mass distribution after the MC energy smearing
- Golden category (unconverted photons in EB):  **$FWHM/2.35 = 1.04 \text{ GeV} (0.87\%)$**

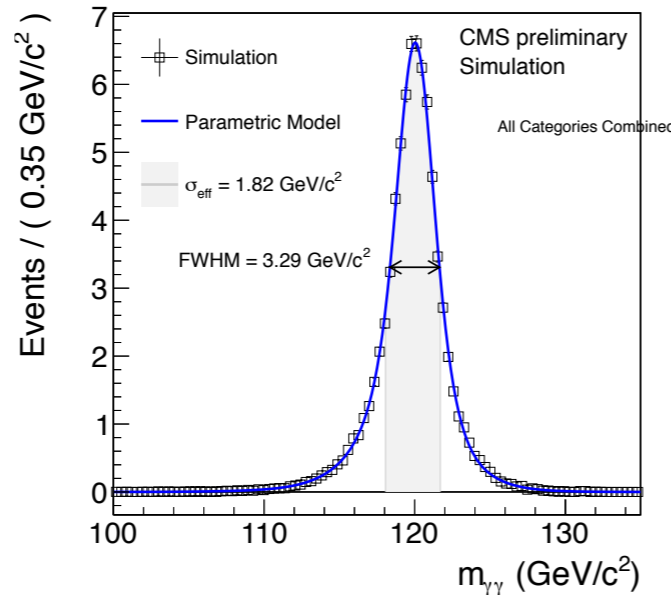
July 2011  
EPS  
re-reco

**$FWHM/2.35 = 1.80 \text{ GeV} (1.50\%)$**



March 2012  
Moriond  
re-reco

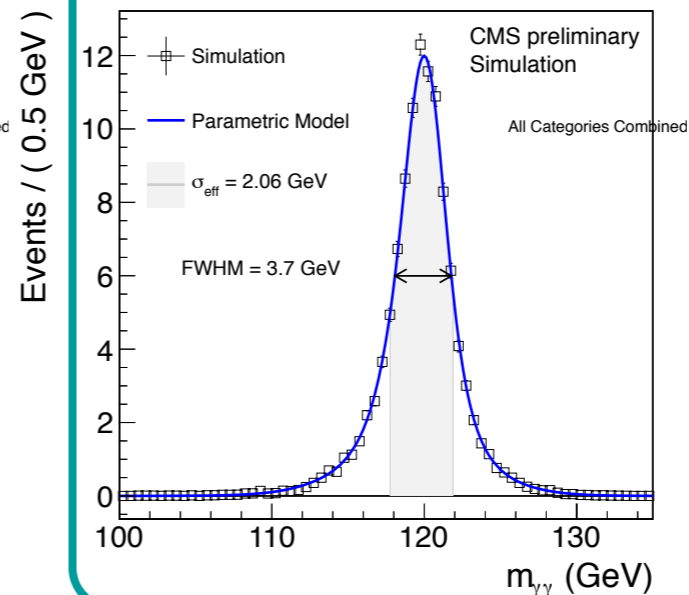
**$FWHM/2.35 = 1.40 \text{ GeV} (1.17\%)$**



Used to set the limit

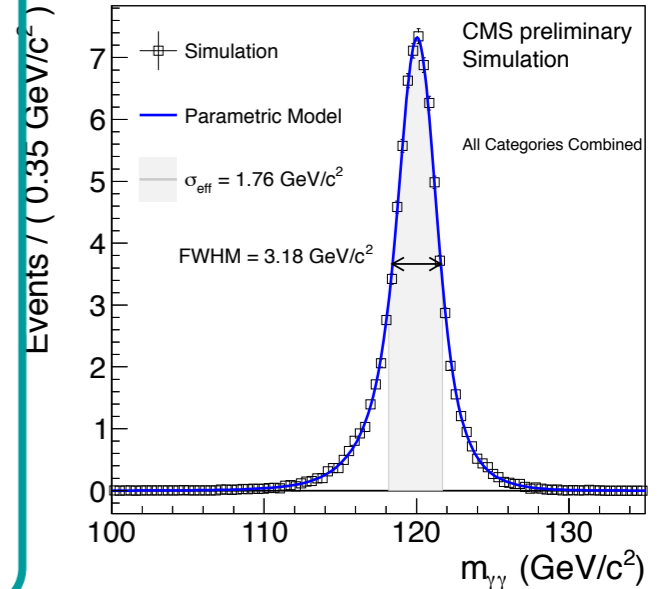
July 2012  
ICHEP  
prompt-reco

**$FWHM/2.35 = 1.57 \text{ GeV} (1.31\%)$**



July 2012  
ICHEP  
re-reco

**$FWHM/2.35 = 1.35 \text{ GeV} (1.13\%)$**



- **Stable and efficient running during 2011 and 2012 data taking**
  - Negligible impact on the energy resolution from the operation of the cooling and high voltage
  - Data taking efficiency: > 97.5% for ECAL, nearly 100% for the preshower
- **Excellent performance**
  - Decisive contribution to the discovery of a new resonance with a mass of 125 GeV
  - Mass resolution of 125 GeV Higgs boson decaying to two photons: 0.87% achieved for golden category in the barrel
- **Still room for improvement in the endcaps (less contributing to the  $H \rightarrow \gamma\gamma$  sensitivity with respect to the barrel)**
  - tuning of the inter-calibration and energy correction to the data
  - tracker material description in simulation

- CMS Coll., [ECAL 2011 performance results](#), CMS-DP-2012-007 and CMS-DP-2012-008 (2012)
- CMS Coll., [Electromagnetic calorimeter calibration with 7 TeV data](#), CMS- PAS-EGM-2010-003 (2010)
- CMS Coll., [Electromagnetic calorimeter commissioning and first results with 7 TeV data](#), CMS NOTE-2010/012 (2010)
- CMS coll., [Performance and operation of the CMS electromagnetic calorimeter](#), J.Inst. 5 T03010 (2010)
- P.Adzic et al. (CMS ECAL), [Intercalibration of the barrel electromagnetic calorimeter of the CMS experiment at start-up](#), J.Inst. 3 P10007 (2008)
- P.Adzic et al. (CMS ECAL), [Energy resolution of the barrel of the CMS Electromagnetic Calorimeter](#), J.Inst. 2 P04004 (2007)
- M. Anfreville et al., [Laser monitoring system for the CMS lead tungstate crystal calorimeter](#), NIM A594 (2008) 292-320
- CMS coll., [Physics Tech. Design Report, Vol. I](#), CERN-LHCC-2006-001 (2006) CERN

# Backup

# The Compact Muon Solenoid

Total weight: 14000 t  
Overall length: 28.7 m  
Overall diameter: 15 m

## TRACKER

**Pixels:** ~66M ch. ~1m<sup>2</sup> Si sensors  
**Strips:** ~9.6M ch. ~200m<sup>2</sup> Si sensors  
**tracker coverage:**  $|\eta| < 2.5$

3.8 T solenoid

## Muon chambers

drift tubes +  
resistive plate chamb. +  
cathode strip chamb.

## ECAL

**EB/EE:** ~76k scintillating  
PbWO<sub>4</sub> crystals  
**ES:** ~136k ch. - Si strips

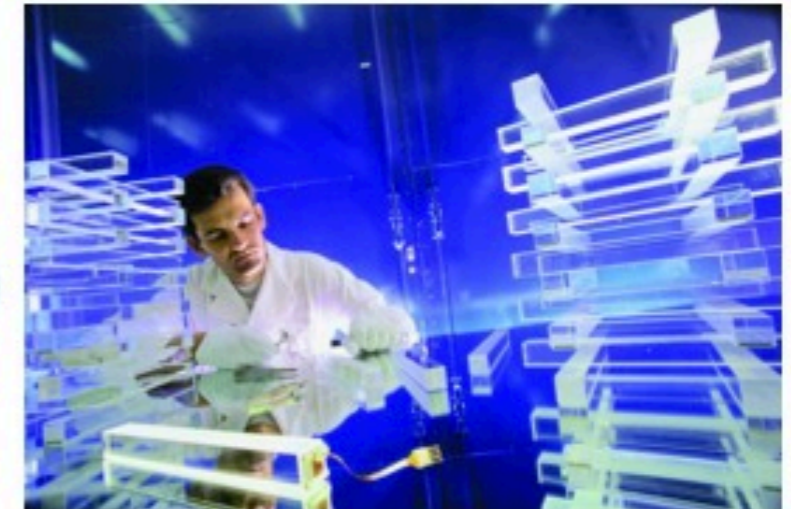
## HCAL

~7k ch. - plastic  
scintillator/brass

Iron yoke

- **PbWO<sub>4</sub>**

- high density to allow a compact calorimeter ( $\rho = 8.3 \text{ g/cm}^3$ )
- short radiation length ( $X_0 = 0.89 \text{ cm} \Rightarrow$  a crystal is about  $25 X_0$ )
- small Molière radius ( $r_M = 2.2 \text{ cm}$ )
- low light yield (LY) / fast emission ( $\sim 30 \text{ } \gamma/\text{MeV}$ , 80% within 25 ns)
- **LY spread** between crystals  **$\sim 10\%$**
- **LY variation** with **temperature**:  **$-2.2\%/^\circ\text{C}$**
- **transparency variation** with radiation



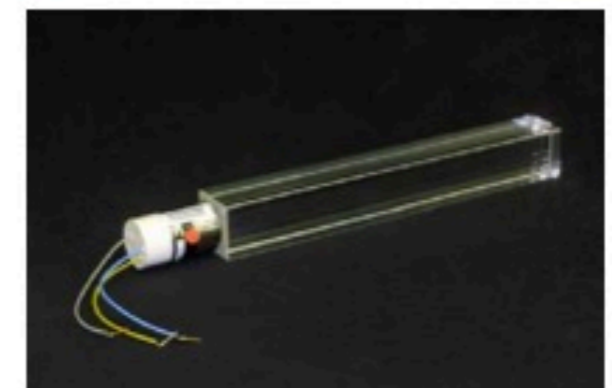
- **Barrel photo-detectors: Avalanche Photo Diodes**

- two APDs of  $5 \times 5 \text{ mm}^2$  per crystal:  $\sim 4.5 \text{ p.e./MeV}$
- nominal gain: 50
- gain variation:  **$\Delta G/\Delta T = -2.4\%/^\circ\text{C}$** ,  **$\Delta G/\Delta V = 3.1\%/V$**

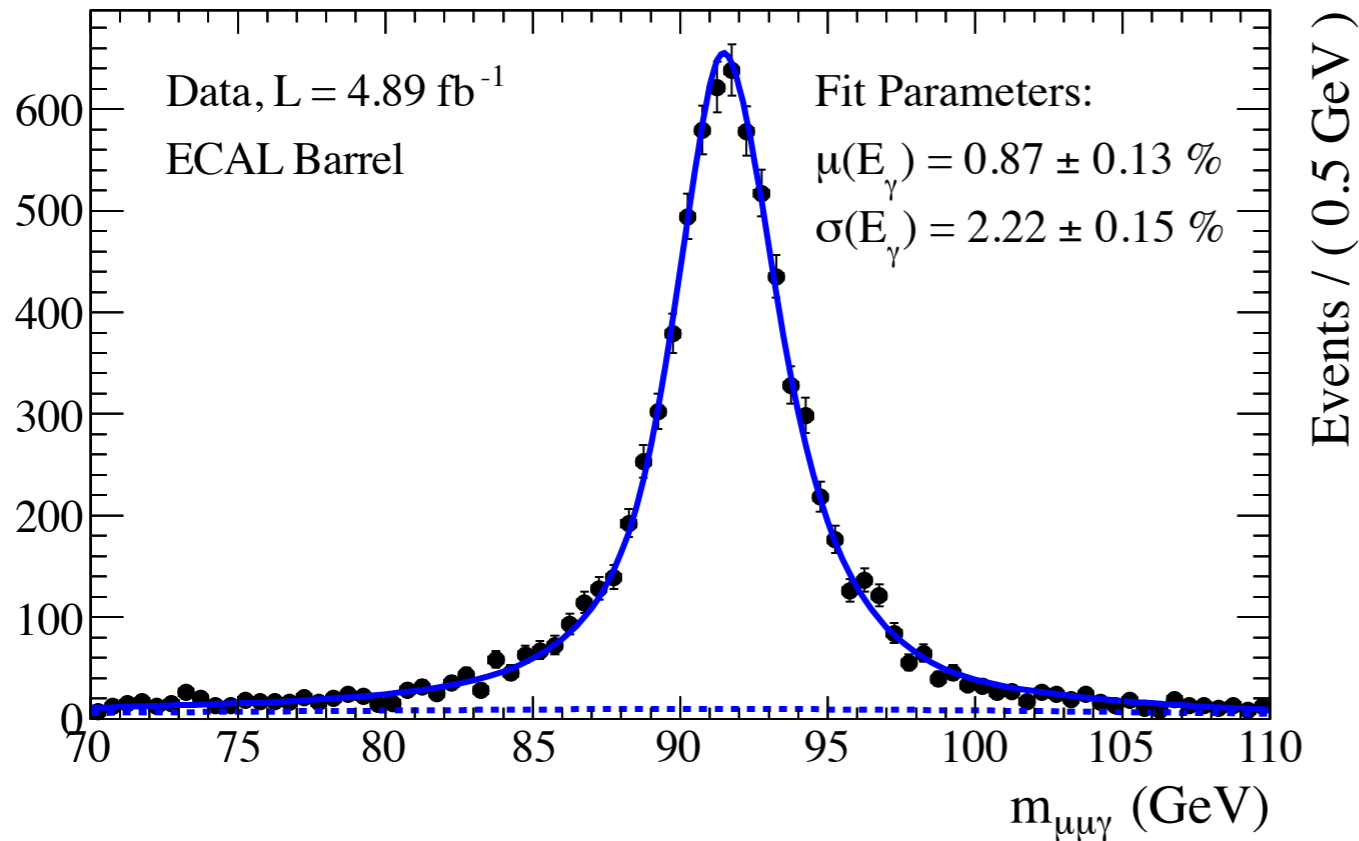


- **Endcap photo-detectors: Vacuum Photo Triodes**

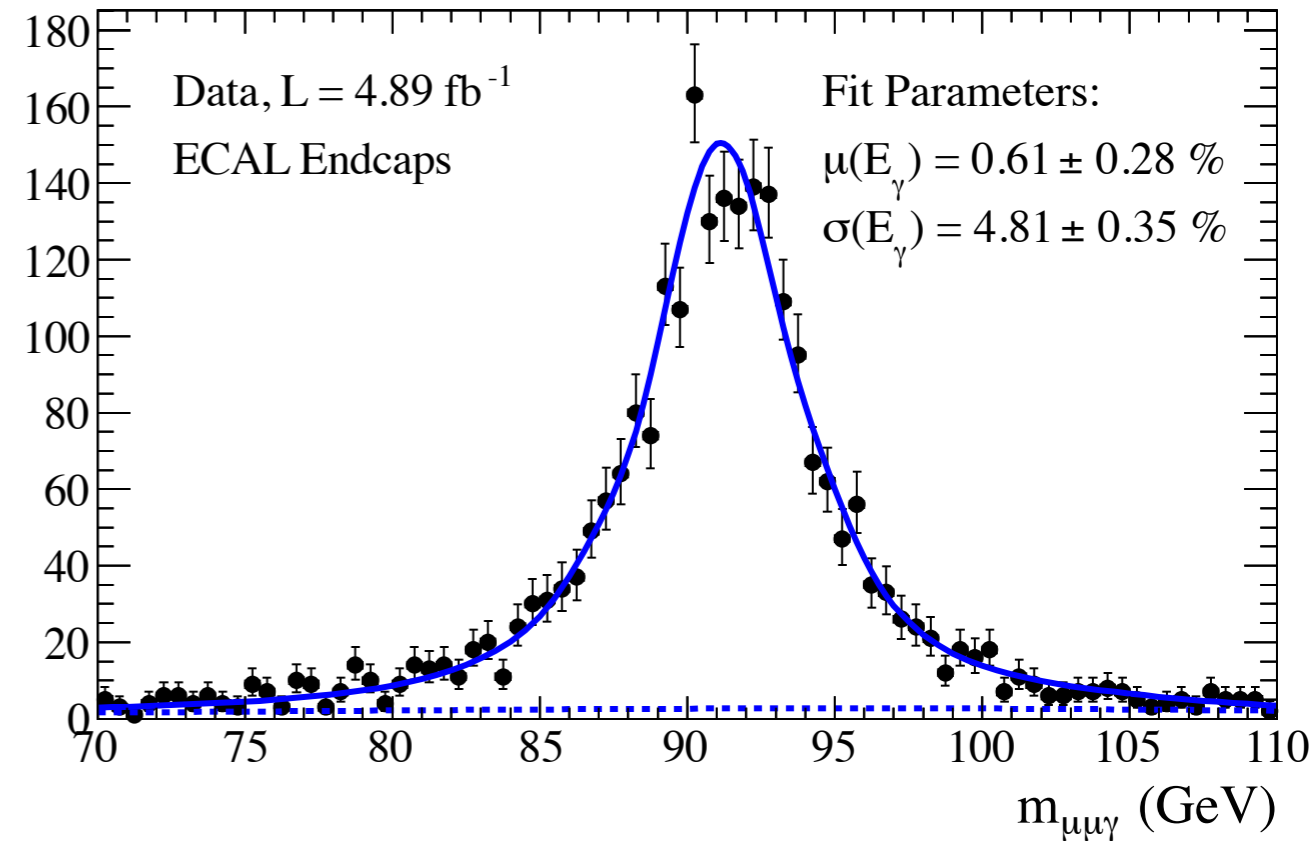
- one VPT of  $\sim 280 \text{ mm}^2$  per crystal:  $\sim 4.5 \text{ p.e./MeV}$
- **gain spread** among VPTs  **$\sim 25\%$**



CMS Preliminary 2011,  $\sqrt{s} = 7$  TeV



CMS Preliminary 2011,  $\sqrt{s} = 7$  TeV



- Invariant mass distribution of  $Z \rightarrow \mu\mu\gamma$  final states from 2011 DATA. The photon energy scale and resolution are extracted from de-convoluting the Z line shape in this final state. The inclusive categories are shown.
- The energy scale and resolution are in agreement with the values measured for electrons from  $Z \rightarrow ee$  decays.

- The  $E/p$  variable can be used to study the **ECAL relative energy scale**

- $E$  is the electron energy as measured by **ECAL**  $\bullet \longrightarrow$
- $p$  is the electron momentum as measured by the **tracker**  $\bullet \longrightarrow$

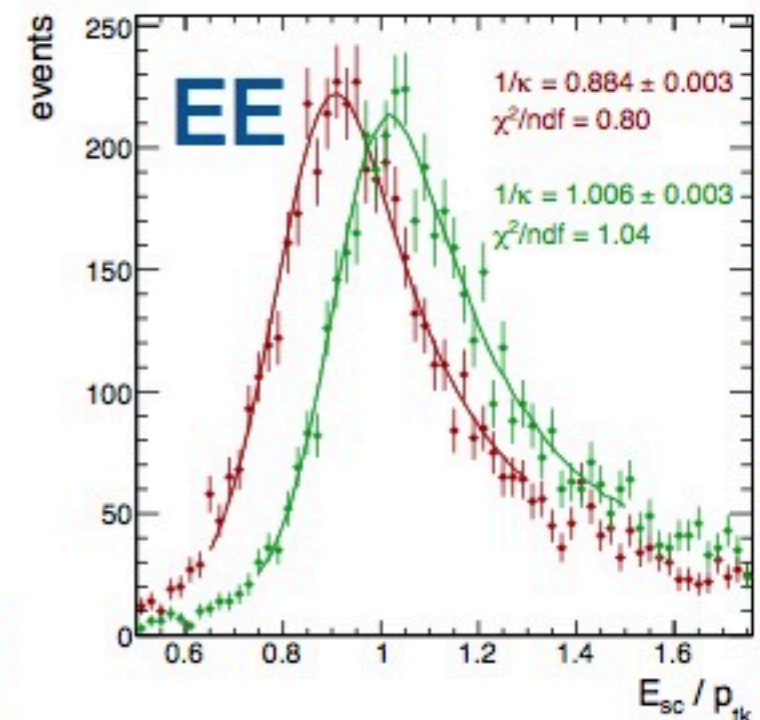
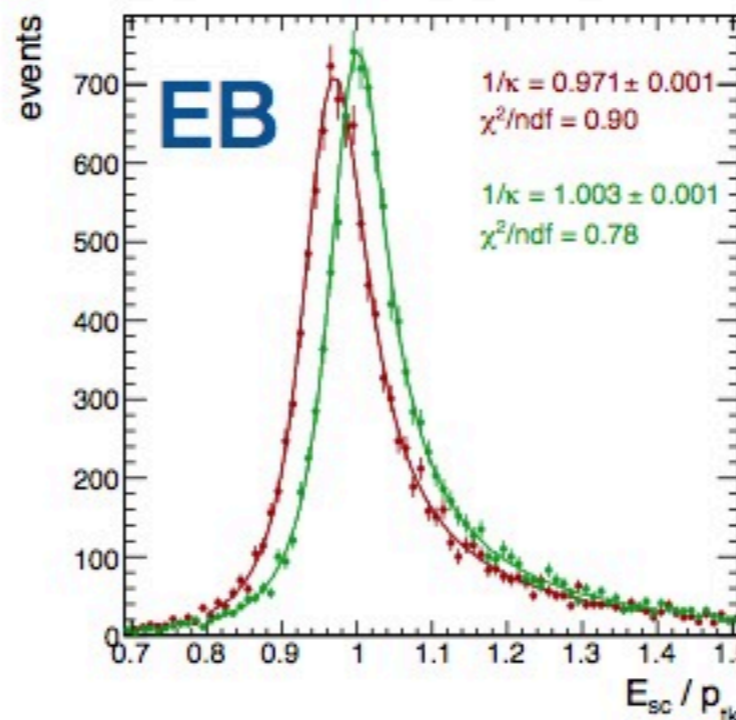
$E/p \sim 1$  for non-showering, well reconstructed electrons

- Implicitly assuming that all instabilities arise from  $E$
- ad-hoc momentum calibration applied in specific cases (e.g. studies vs.  $\eta$ )

- Build an  $E/p$  template distribution  $T$  from data and fit it to a **subset** of the same data, scaling  $E$  of a factor  $\kappa$

$$f(E/p) = N \cdot \kappa \cdot T(\kappa E/p)$$

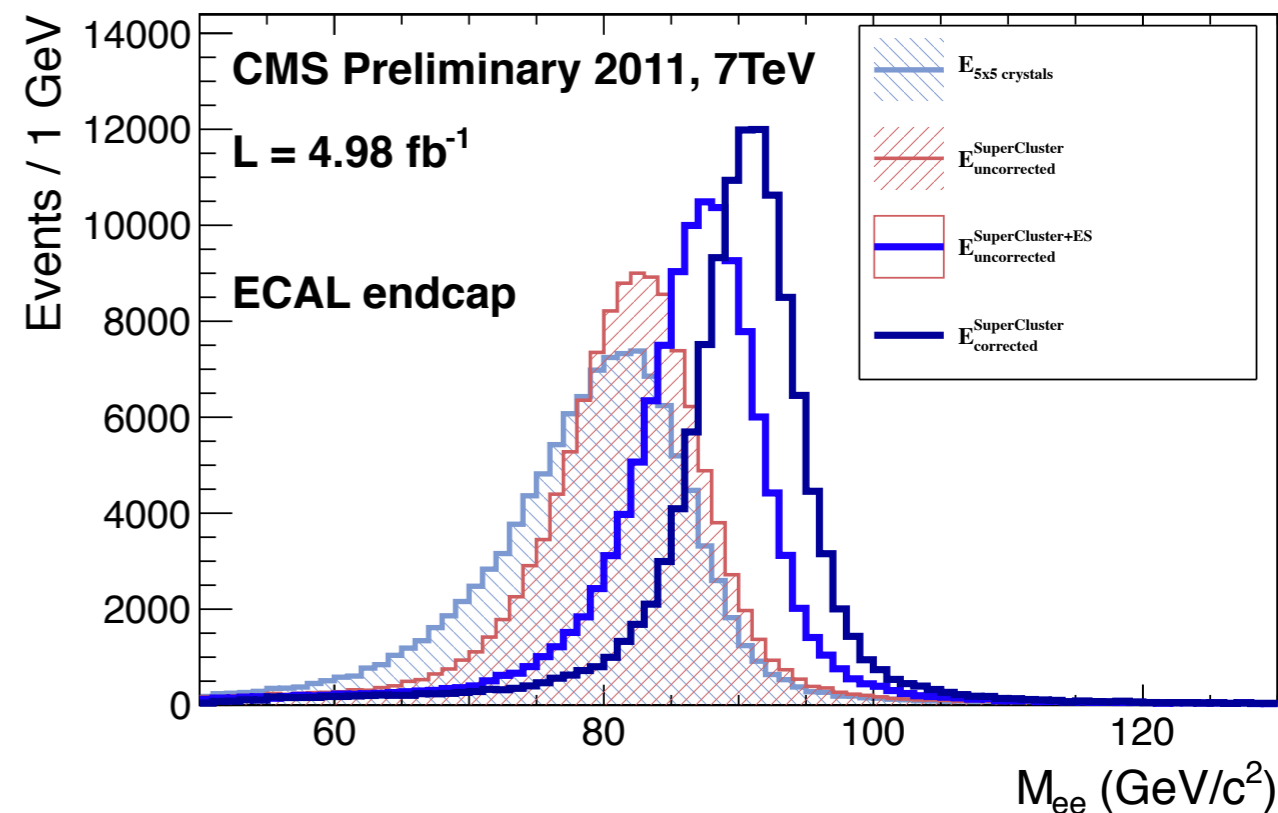
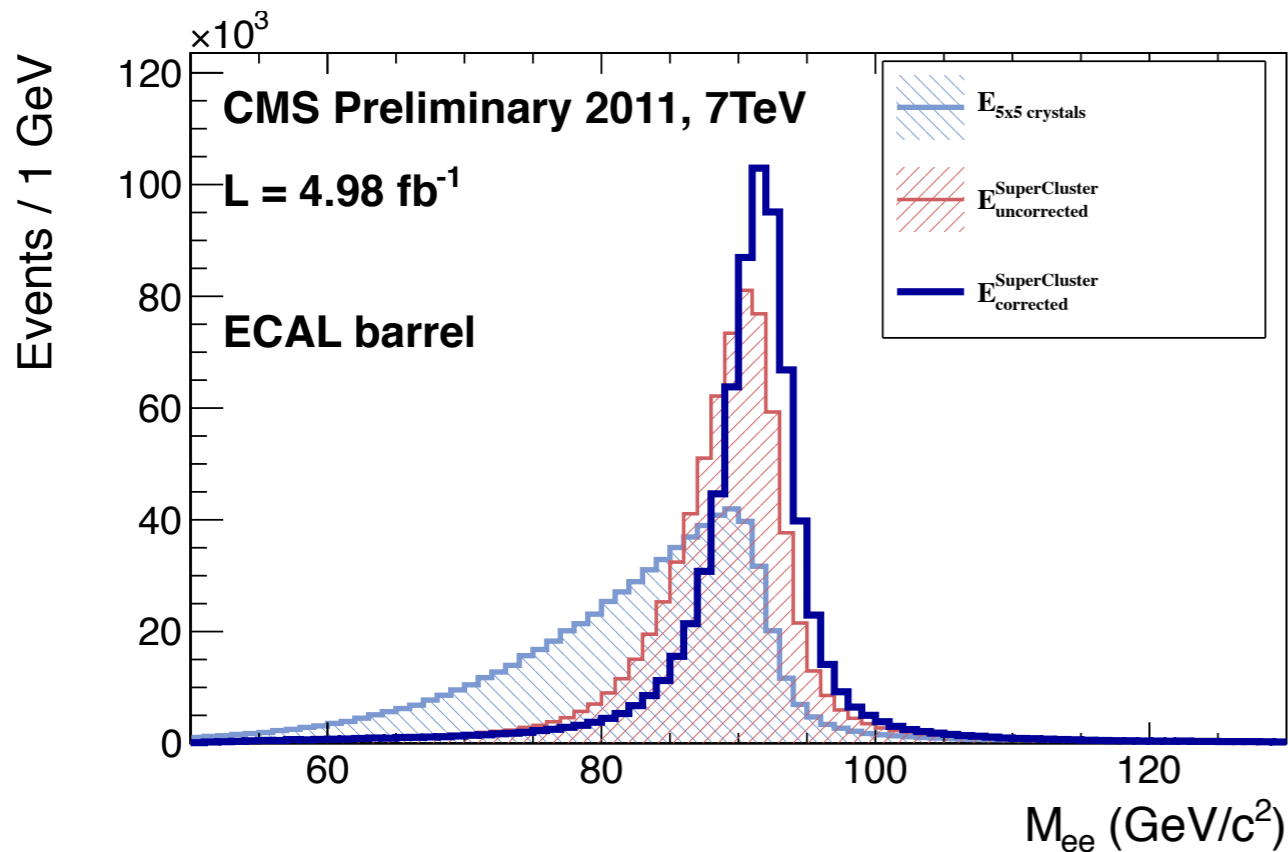
- $1/\kappa \Rightarrow$  relative scale of the subset w.r.t. the template



transparency corrections applied

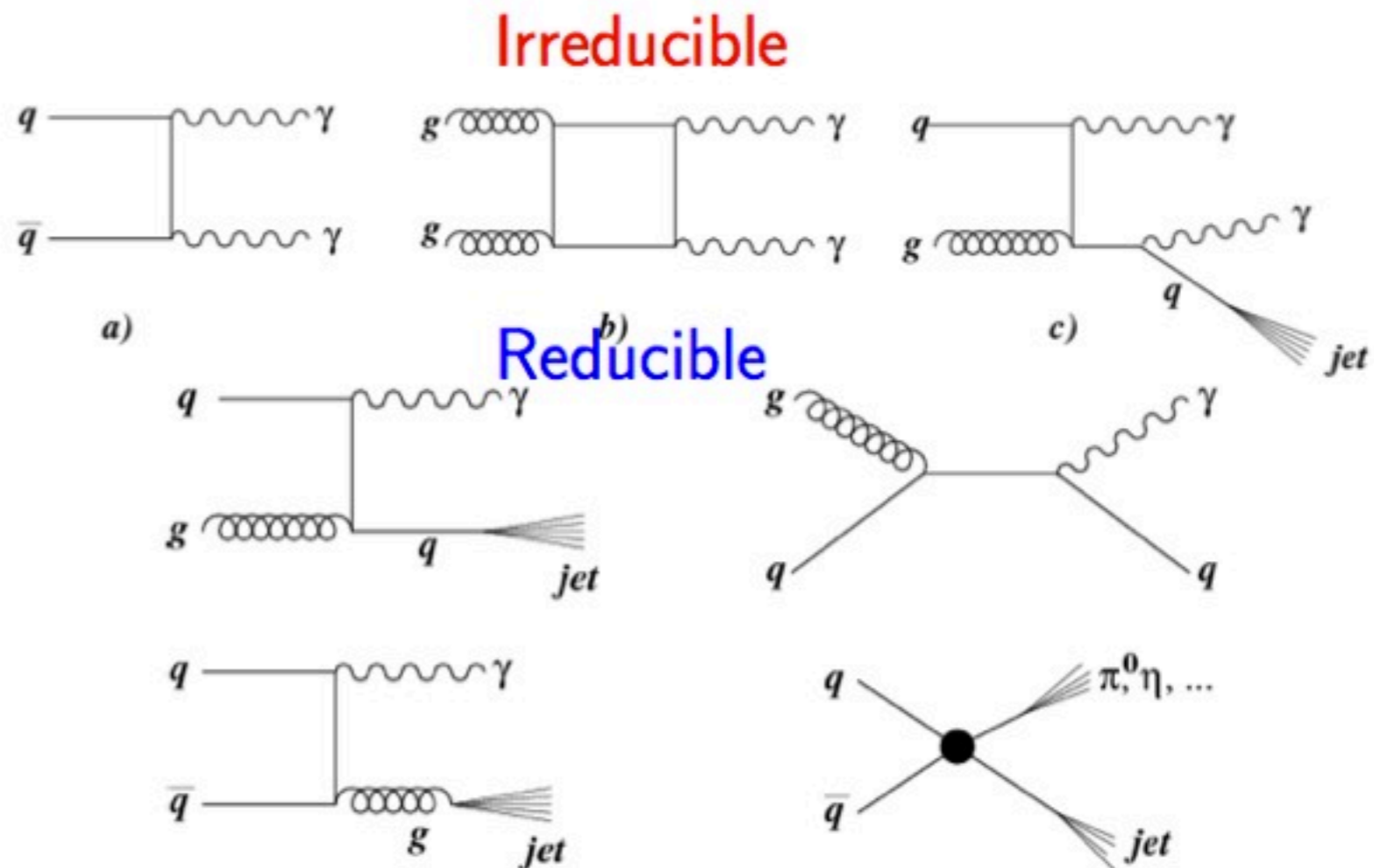
transparency corrections not applied



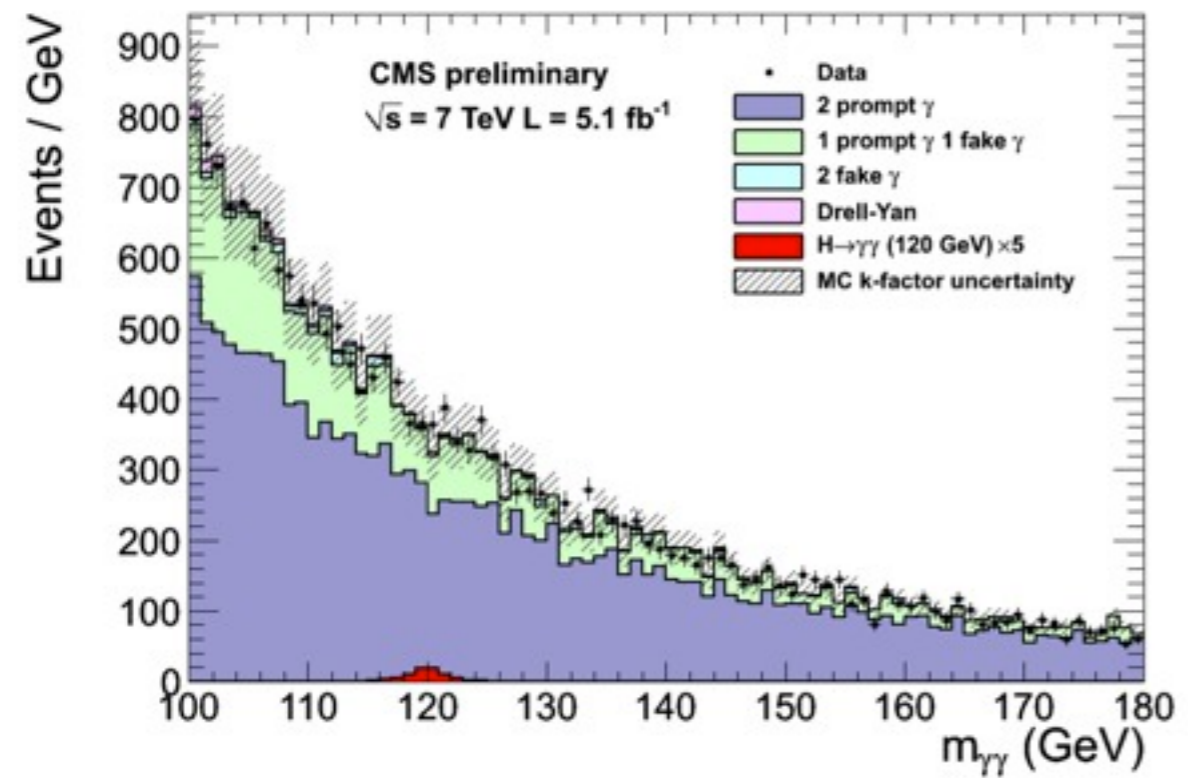


- The plots show the impact on the  $Z \rightarrow ee$  energy scale and resolution from the incorporation of more sophisticated clustering and cluster correction algorithms.

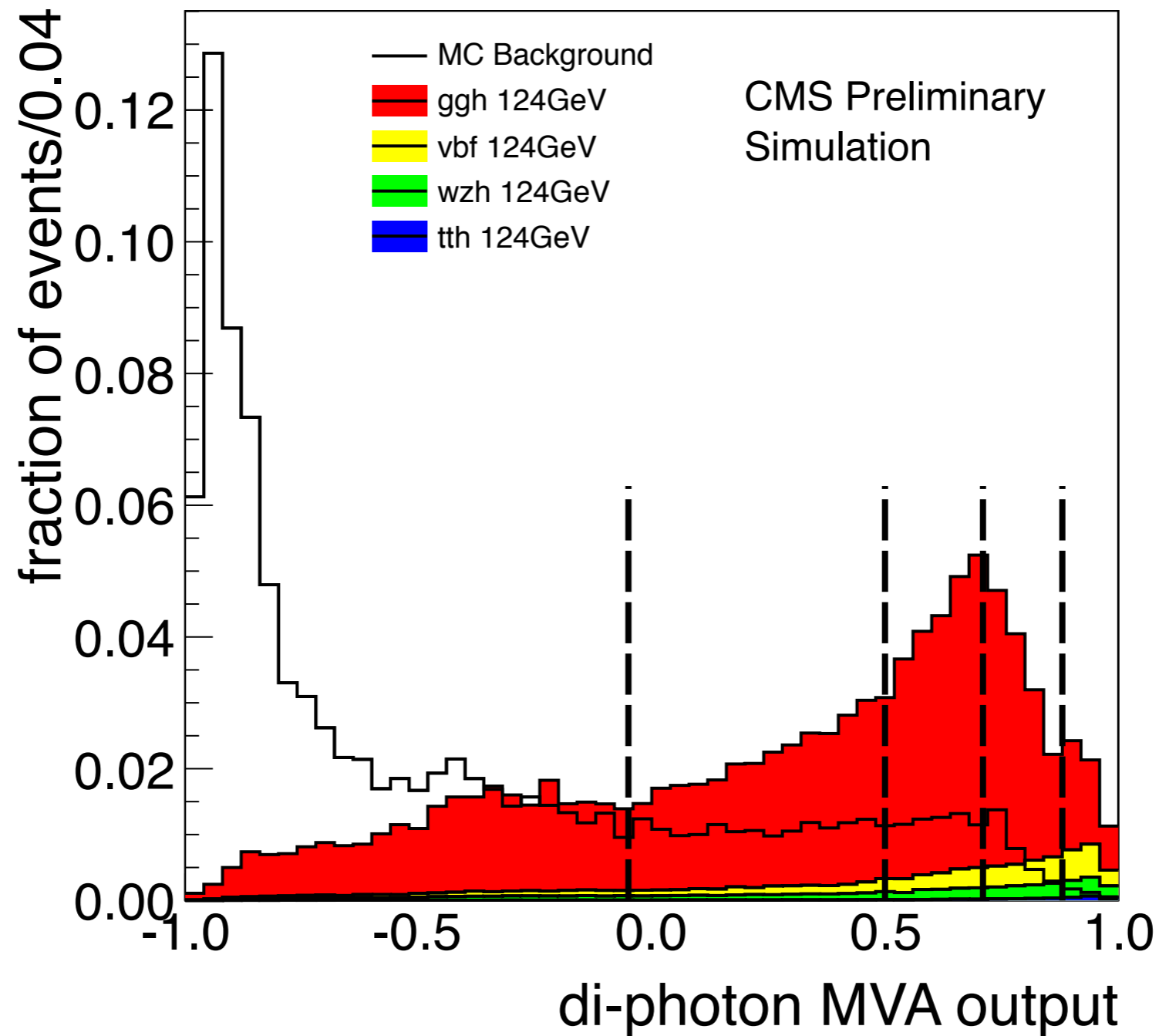
- Irreducible background is dominant:
  - 70% from 2 prompt photons

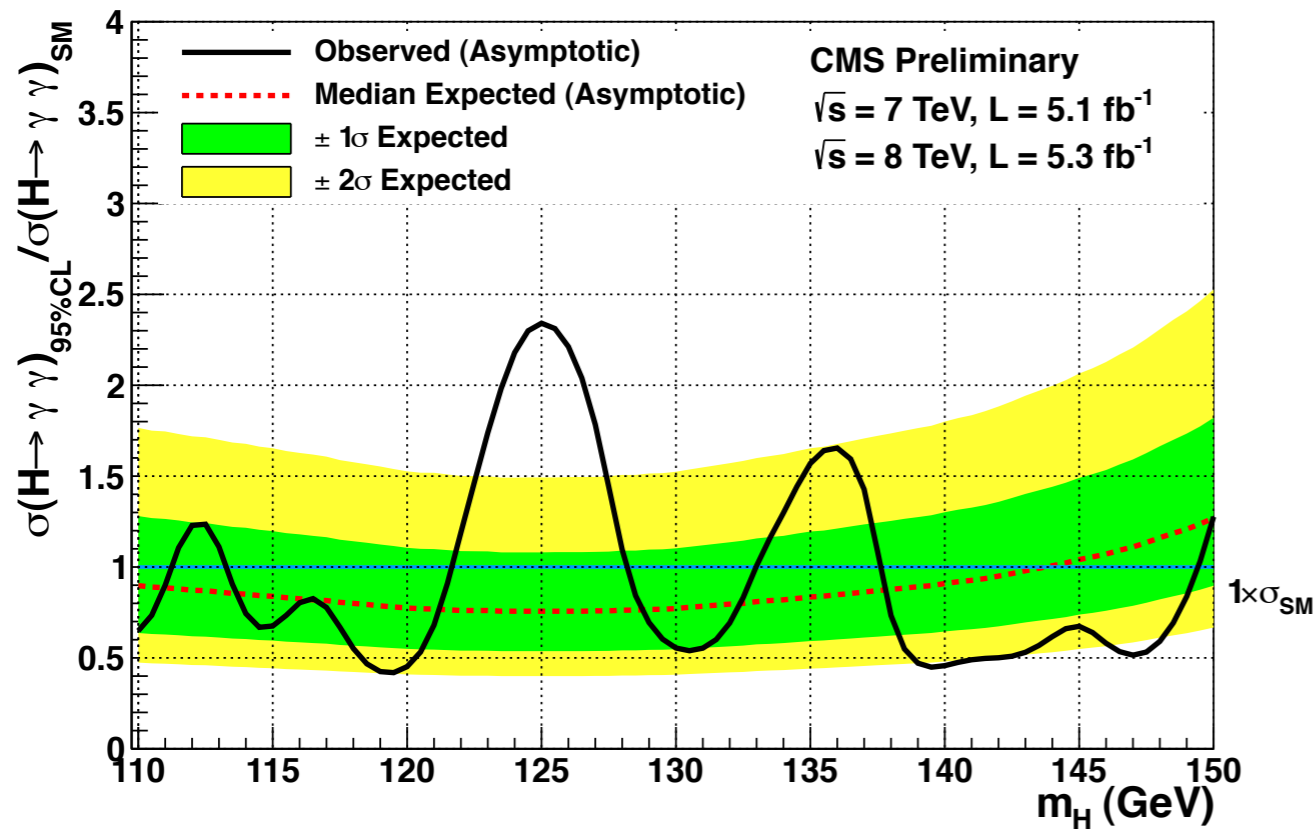


Process	$p_T$ (GeV)	$\sigma_{LO}$ (pb)
$H \rightarrow \gamma\gamma$ (120 GeV)	–	0.044 (NNLO)
$pp \rightarrow \gamma\gamma$ (Born)	> 25	22.4
$pp \rightarrow \gamma\gamma$ (Box)	> 25	12.4
$pp \rightarrow \gamma + jet$	> 30	$2.0 \times 10^4$
$pp \rightarrow jets$	> 30	$6.0 \times 10^7$
Drell Yan ee	–	$3 \times 10^3$

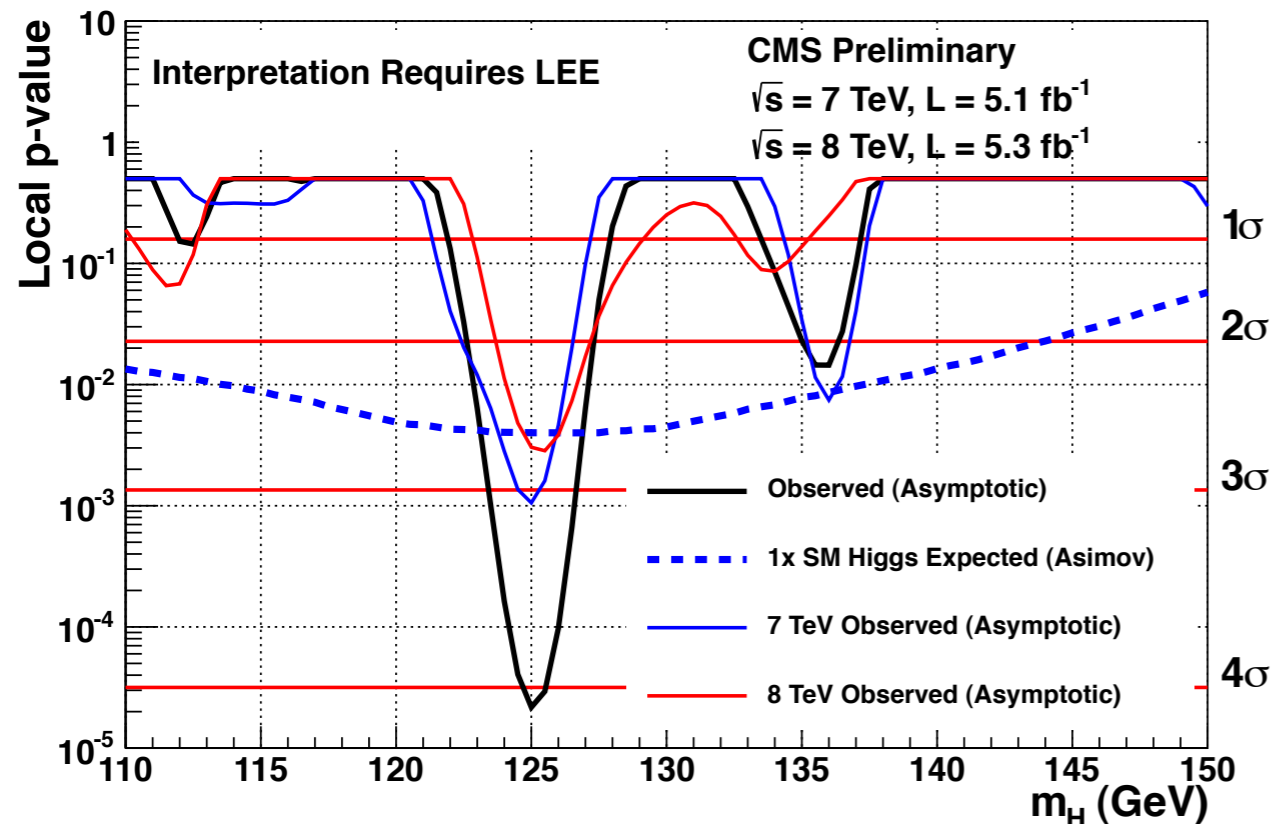


- Class boundaries **optimized to give the best expected limit** using MC background





- Exclude the SM Higgs at 95% CL: 114–121, 129–132, 138–149 GeV



- Observed excess consistent with narrow resonance around 125 GeV mass at  $4.1\sigma$