Study of Kinematic Effects in Double Beta Decay

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Outline

- Short introduction to double-beta decay : DBD rates
- Phase space factors formulas
- Search of Lorentz invariance violation in 2νββ decay
- Uncertainties in calculation of PSF and electron energy spectra
- Results
- Conclusions

Double Beta Decay

DBD is the rarest known radioactive decay measured until now, by which an e-e nucleus transforms into another e-e nucleus with the same mass but with its nuclear charge changed by two units.

It occurs whatever single β decay can not occur due to energetical reasons or it is highly forbidden by angular momentum selection rules



(a) and (d) are stable against β decay, but unstable against: $\beta^{-}\beta^{-}$ for (a) and $\beta^{+}\beta^{+}$ for (d)



35 isotopes decaying with double electron emission Several isotopes decaying with double positron emission

Double Beta Decay processes



Importance of the DBD study

Neutrino properties:

Checking symmetries

character Dirac or Majorana?
mass scale (absolute mass)
mass hierarchy

- how many flavors? Sterile neutrinos?

Lepton number, CP, Lorentz

Constraints of BSM parameters

associated with different mechanisms/scenarios that may contribute to the neutrinoless DBD occurrence

DBD lifetimes

 $[T_{1/2}^{2\nu}]^{-1} = G^{2\nu} (Q_{\beta\beta}, Z) \times g_A^4 \times |m_e c^2 M^{2\nu}|^2$

$$T_{1/2}^{0\nu}]^{-1} = \sum_{k} [G^{0\nu} (Q_{\beta\beta}, Z) \times g_{A}^{4} \times |M_{k}^{0\nu}|^{2} \times \langle\eta_{k}\rangle]$$

$$\downarrow \qquad \qquad \downarrow \qquad \qquad \downarrow$$
atomic physics nuclear physics particle physics
$$PSF \qquad NME \qquad BSM$$

 $G^{(2,0)\nu}(E_0, Z)$ phase space factors (PSF)

 $M^{(2,0)\nu}$ = nuclear matrix elements (NME)

 $M_{2\nu} = \sum_{N} \frac{\langle 0_{F}^{+} || \tau^{+} \sigma || 1_{N}^{+} \rangle \langle 1_{N}^{+} || \tau^{+} \sigma || 0_{I}^{+} \rangle}{\frac{1}{2} W_{0} + E_{N} - E_{I}}$ $M^{0\nu} = M_{\text{GT}}^{0\nu} - \left(\frac{g_{V}}{g_{A}}\right)^{2} M_{F}^{0\nu} + M_{T}^{0\nu},$

 $2\nu\beta\beta$

Ονββ

 $\Sigma_{k} M^{0\nu}{}_{k} = \|M^{0\nu}{}_{\nu}\|^{2} < m_{\nu} >^{2} + \|M^{0\nu}{}_{N}\|^{2} < m_{N} >^{2} + \|M^{0\nu}{}_{\lambda}\|^{2} < \eta_{\lambda} >^{2} + \|M^{0\nu}{}_{q}\|^{2} < \eta_{q} >^{2} + \dots$

 $\langle \eta_l \rangle = BSM$ parameter specific the $0\nu\beta\beta$ mechanism; $g_A = axial$ -vector constant

Precise calculations of PSF and NME are needed to predict lifetimes, derive neutrino parameters, extract information on neutrino properties

$$\frac{dW_{2\nu}}{d\cos\theta_{12}} = |M_{2\nu}|^2 F_{2\nu}^{(0)} + |M_{2\nu}|^2 F_{2\nu}^{(1)} \cos\theta_{12} \quad G_{2\nu}^{(i)} = \frac{F_{2\nu}^{(i)}}{g_A^4(m_ec^2)^2}$$

$$\frac{dW_{2\nu}}{d\cos\theta_{12}} = |M_{2\nu}|^2 F_{2\nu}^{(0)} + |M_{2\nu}|^2 F_{2\nu}^{(1)} \cos\theta_{12} \quad G_{2\nu}^{(i)} = \frac{F_{2\nu}^{(i)}}{g_A^4(m_ec^2)^2}$$

$$C_1 = (\tilde{A}^2 G_F^4 |V_{ud}|^4 m_e^9)/(96\pi^7 \ln 2) \tilde{A}^2 = [Q/2 + \langle E_N \rangle - E_I + 1]^2$$

$$F(Z,\varepsilon) = Fermi function$$

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$$F(Z,\varepsilon) = electron/neutrino energies$$

$$F(Z,\varepsilon) = electr$$

 $\alpha(\epsilon_{1}) = \frac{dG_{2\nu}^{(1)}/d\epsilon_{1}}{dG_{2\nu}^{(0)}/d\epsilon_{1}} \frac{\text{electron angular}}{\text{correlation}}$

Mechanisms (for $0\nu\beta\beta$ – single electron spectra and angular correlation are different each other for light ν exchange mechanisms and RH contributions)

Lorentz invariance violation in weak decays

- The general framework characterizing LIV is the Standard Model Extension (SME).
- In minimal SME (operators dimension \leq 4) there are operators that couples to v_s and affect v flavor oscillations, v velocity or v phase spaces (β , $\beta\beta$ decays).
- Until recently tests for LIV involving v_s were perform in v oscillation experiments.
- LV can also be investigated in β and $\beta\beta$ decays by a precise analysis of electron spectra.
- Since 2016 deviations to Lorentz symmetry began to be also investigated in DBD experiments: EXO NEMO3, CUPID0, GERDA.
- There is a q-independent operator (counter-shaded operator), that doesn't affect v oscillations, and hence can not be detected in LBL neutrino experiments, but can affect the summed energy spectra of electrons or the energy electron spectra and electron angular correlations (which can be investigated in experiments with tracking systems that can reconstruct the direction of the two emitted electrons).
- In the absence of observation of LIV deviations one can constrain the $a^{(3)}_{of}$ coefficient that governs the isotropic (like-time) component of the counter-shaded operator.

The coupling of the neutrino to the counter-shaded operator modifies the neutrino momentum from the standard expression.

This, further, modifies the $2\nu\beta\beta$ transition amplitude, so the decay rate can be written as a sum of the standard term and a perturbation due to $LV\beta\beta$

 $\Gamma^{(2\nu)} = \Gamma_0^{(2\nu)} + d\Gamma^{(2\nu)}$

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\Gamma_0^{(2\nu)} = G_0^{2\nu}(E_0, Z) \times g_A^4 \times |m_e c^2 M^{2\nu}|^2
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 $d\Gamma^{(2\nu)} = dG^{2\nu}(E_0, Z) \times g_A^4 \times |m_e c^2 M^{2\nu}|^2$

 $G_0^{2\nu} = C \int_0^Q d\epsilon_1 F(Z, \epsilon_1) [\epsilon_1(\epsilon_1 + 2)]^{1/2} (\epsilon_1 + 1) \int_0^{Q-\epsilon_1} d\epsilon_2 F(Z, \epsilon_2) [\epsilon_2(\epsilon_2 + 2)]^{1/2} (\epsilon_2 + 1) (Q-\epsilon_1 - \epsilon_2)^5$

 $dG^{2\nu} = 10 a^{(3)}_{of} C \int_0^Q d\epsilon_1 F(Z, \epsilon_1) [\epsilon_1(\epsilon_1 + 2)]^{1/2} (\epsilon_1 + 1) \int_0^{Q-\epsilon_1} d\epsilon_2 F(Z, \epsilon_2) [\epsilon_2(\epsilon_2 + 2)]^{1/2} (\epsilon_2 + 1) (Q-\epsilon_1 - \epsilon_2)^4$

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C = G_F^4 |V_{ud}|^4 m_e / 240 \pi^7 t_{1,2} = \varepsilon_{1,2} - 1;
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 $G_0^{2\nu}$, $dG^{2\nu}$ can be calculated in different approximations:

J.S. Diaz, PRD**89**(2014) EXO, PRD **93** (2016) CUPID0, PRD**100**(2019) GERDA, Thesis2017

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• F(Z, \varepsilon) = (2\pi\eta)[1 - \exp(-2\pi\eta)]^{-1}, \eta = \pm \alpha Z \varepsilon/q
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• $F(Z, \varepsilon) = 4(2qR_A)^{2(\gamma-1)} \exp(\pi\eta) \left| \frac{\Gamma(\gamma+i\eta)}{\Gamma(2\gamma+1)} \right|^2 \qquad \gamma = \text{Sqrt} \left[1 - (\alpha Z)^2 \right]$

• using exact electron functions obtained by solving Dirac equations

$$G^{2\nu} = C_1 \int_0^Q d\epsilon_1 \int_0^{Q-\epsilon_1} d\epsilon_2 \int_0^{Q-\epsilon_1-\epsilon_2} d\omega_1$$

$$\times F(Z_f, \epsilon_1) F(Z_f, \epsilon_2) \sqrt{\epsilon_1(\epsilon_1+2)} (\epsilon_1+1) \sqrt{\epsilon_2(\epsilon_2+2)} (\epsilon_2+1)$$

$$\times \omega_1^2 (Q-\epsilon_1-\epsilon_2-\omega_1)^2 \left(\langle K_N \rangle^2 + \langle L_N \rangle^2 + \langle K_N \rangle \langle L_N \rangle\right)$$

$$\delta G^{2\nu} = 10 \mathring{a}_{of}^{(3)} C_2 \int_0^Q d\epsilon_1 \int_0^{Q-\epsilon_1} d\epsilon_2 \int_0^{Q-\epsilon_1-\epsilon_2} d\omega_1$$

$$\times F(Z_f, \epsilon_1) F(Z_f, \epsilon_2) \sqrt{\epsilon_1(\epsilon_1+2)} (\epsilon_1+1) \sqrt{\epsilon_2(\epsilon_2+2)} (\epsilon_2+1)$$

$$\times \omega_1 (Q-\epsilon_1-\epsilon_2-\omega_1)^2 \left(\langle K_N \rangle^2 + \langle L_N \rangle^2 + \langle K_N \rangle \langle L_N \rangle\right)$$

$$C_1 = (\tilde{A}^2 G_F^4 |V_{ud}|^4 m_e^9) / (96\pi^7 \ln 2)$$

$$C_2 = (\tilde{A}^2 G_F^4 |V_{ud}|^4 m_e^8) / (240\pi^7 \ln 2)$$

$$\frac{\langle K_N \rangle = \frac{1}{\epsilon_1 + \omega_1 + \langle E_N \rangle - E_I} + \frac{1}{\epsilon_2 + \omega_2 + \langle E_N \rangle - E_I}}{\langle L_N \rangle = \frac{1}{\epsilon_1 + \omega_2 + \langle E_N \rangle - E_I} + \frac{1}{\epsilon_2 + \omega_1 + \langle E_N \rangle - E_I}}$$

$$\begin{split} G^{2\nu} &= C_3 \int_0^Q d\epsilon_1 \int_0^{Q-\epsilon_1} d\epsilon_2 F(Z_f, \epsilon_1) F(Z_f, \epsilon_2) \\ &\times \sqrt{\epsilon_1(\epsilon_1+2)} (\epsilon_1+1) \sqrt{\epsilon_2(\epsilon_2+2)} (\epsilon_2+1) (Q-\epsilon_1-\epsilon_2)^5 \\ \delta G^{2\nu} &= 10 \mathring{a}_{of}^{(3)} C_4 \int_0^Q d\epsilon_1 \int_0^{Q-\epsilon_1} d\epsilon_2 F(Z_f, \epsilon_1) F(Z_f, \epsilon_2) \\ &\times \sqrt{\epsilon_1(\epsilon_1+2)} (\epsilon_1+1) \sqrt{\epsilon_2(\epsilon_2+2)} (\epsilon_2+1) (Q-\epsilon_1-\epsilon_2)^4 \end{split}$$

$$\langle K_N \rangle \simeq \langle L_N \rangle \simeq \frac{2}{E_I - \langle E_N \rangle - (Q/2 + 1)}$$

$$C_3 = (G_F^4 |V_{ud}|^4 m_e^9) / (240\pi^7 \ln 2)$$

$$C_4 = (G_F^4 |V_{ud}|^4 m_e^8) / (240\pi^7 \ln 2)$$
Formulas in previous analyses

Approximations of the Fermi functions

$$F(Z_f,\epsilon) = F^{NR}(Z_f,\epsilon) = \frac{2\pi\eta}{1 - e^{-2\pi\eta}}$$

 $\frac{\mathrm{d}\Gamma}{\mathrm{d}K} = \Lambda \cdot (K^5 + 10K^4 + 40K^3 + 60K^2 + 30K) \times \left[(Q_{\beta\beta} - K)^5 + 10\mathring{a}_{\mathrm{of}}^{(3)} (Q_{\beta\beta} - K)^4 \right]$ $\Lambda = \frac{G_F^4 g_A^4 |V_{ud}|^4 F_{\mathrm{PR}}^2 (Z) m_e^{11}}{7200\pi^7} |\mathcal{M}^{2\nu}|^2$

$$F(Z_f, \epsilon) = F_0(Z_f, \epsilon) = 4(2pR_A)^{2(\gamma-1)}e^{\pi\eta} \frac{|\Gamma(\gamma + i\eta)|^2}{[\Gamma(2\gamma + 1)]^2}$$

Non-relativistic treatment Primakov&Rosen RPP**22**(1959)

Relativistic treatment: solution of a Dirac equation in a point charge Coulomb potential Suhonen&Civitarese, PR **301** (1998)

Fermi function built up from the radial solution of a Dirac equation in a Coulomb-type potential Kotila&Iachello,PRC**85**(2012); Stoica&Mirea PRC**88**(2013); RRP63(2015)

$$F(Z_f, \epsilon) = \frac{f_1^2(\epsilon, R_A) + g_{-1}^2(\epsilon, R_A)}{2p^2}$$

Calculation of the phase space factors for DBD

$$\frac{dg_{\kappa}(\epsilon,r)}{dr} = -\frac{\kappa}{r}g_{\kappa}(\epsilon,r) + \frac{\epsilon - V + m_e c^2}{c\hbar}f_{\kappa}(\epsilon,r)$$
$$\frac{df_{\kappa}(\epsilon,r)}{dr} = -\frac{\epsilon - V - m_e c^2}{c\hbar}g_{\kappa}(\epsilon,r) + \frac{\kappa}{r}f_{\kappa}(\epsilon,r)$$

0.07

0.06 0.05 o^{° 0.04} 0.03 0.02 0.01 0_<u></u>10

 (fm^{-3})

z (fm)

$$f_{1}^{-1-1} = g_{-1}(\epsilon_1)g_{-1}(\epsilon_2) ; \ f_{11} = f_1(\epsilon_1)f_1(\epsilon_2),$$

$$f_{1}^{-1} = g_{-1}(\epsilon_1)f_1(\epsilon_2) ; \ f_1^{-1} = f_1(\epsilon_1)g_1(\epsilon_2)$$

$$V(Z,r) = \begin{cases} -\frac{Z\alpha\hbar c}{r}, & r \ge R_A\\ -Z(\alpha\hbar c) \left(\frac{3-(r/R_A)^2}{2R_A}\right), & r < R_A \end{cases}$$

$$\rho_e(\vec{r}) = \sum (2j_i + 1)v_i^2 |\psi_i(\vec{r})|^2$$

$$V(r) = \alpha \hbar c \int \frac{\rho_e(\vec{r'})}{\mid \vec{r} - \vec{r'} \mid} d\vec{r'}$$



Ingredients: Fermi functions from exact electron w.f. obtained as solutions of a *Dirac equation with Coulomb-type potential built with a realistic proton density* in nucleus, with inclusion of FNS and screening effects

Table 1. Phase space factors computed with Fermi functions in approximation schemes A, B, C. Values are obtained with Equations (9,10). Q-values are also displayed.

		$G^{2\nu}$ in units of $10^{-21} yr^{-1}$		
Nucleus	$Q^{\mathbf{a}}(\mathrm{keV})$	А	В	С
^{48}Ca	4268.070 ± 0.076	12051.21 ± 2.02	15941.38 ± 2.66	15212.54 ± 2.54
$^{76}\mathrm{Ge}$	2039.059 ± 0.014	25.755 ± 0.002	52.834 ± 0.003	48.384 ± 0.003
$^{82}\mathrm{Se}$	2997.9 ± 0.3	828.96 ± 0.75	1779.02 ± 1.58	1597.40 ± 1.42
$^{100}\mathrm{Mo}$	3034.40 ± 0.17	1241.83 ± 0.62	3822.34 ± 1.89	3312.62 ± 1.63
$^{110}\mathrm{Pd}$	2017.85 ± 0.64	40.32 ± 0.11	163.07 ± 0.43	138.57 ± 0.37
$^{116}\mathrm{Cd}$	2813.50 ± 0.13	776.81 ± 0.32	3318.62 ± 1.33	2767.61 ± 1.11
$^{130}\mathrm{Te}$	2527.515 ± 0.260	344.24 ± 0.31	1891.38 ± 1.66	1538.53 ± 1.35
$^{136}\mathrm{Xe}$	2458.13 ± 0.41	287.56 ± 0.42	1797.71 ± 2.55	1441.47 ± 2.04

 $\delta G^{2\nu}/(10 \mathring{a}_{of}^{(3)})$ in units of $10^{-21} y r^{-1} \text{MeV}^{-1}$

Nucleus	$Q^{\mathbf{a}}(\mathrm{keV})$	А	В	С
^{48}Ca	4268.070 ± 0.076	5318.08 ± 0.81	7002.59 ± 1.06	6674.90 ± 1.01
$^{76}\mathrm{Ge}$	2039.059 ± 0.014	21.754 ± 0.001	44.195 ± 0.002	40.446 ± 0.002
$^{82}\mathrm{Se}$	2997.9 ± 0.3	497.02 ± 0.40	1054.26 ± 0.84	945.27 ± 0.75
$^{100}\mathrm{Mo}$	3034.40 ± 0.17	733.70 ± 0.33	2219.94 ± 0.99	1920.26 ± 0.85
$^{110}\mathrm{Pd}$	2017.85 ± 0.64	34.09 ± 0.08	135.41 ± 0.32	114.94 ± 0.27
$^{116}\mathrm{Cd}$	2813.50 ± 0.13	489.48 ± 0.18	2046.83 ± 0.74	1703.76 ± 0.61
$^{130}\mathrm{Te}$	2527.515 ± 0.260	238.08 ± 0.19	1277.20 ± 1.00	1036.90 ± 0.81
$^{136}\mathrm{Xe}$	2458.13 ± 0.41	203.69 ± 0.27	1241.58 ± 1.57	993.53 ± 1.26

Results

Table A2. Phase space factors (upper part) and their deviations (lower part) computed with numerical Fermi functions and with inclusion of kinematic factors. Relative uncertainties associated with Q-values are displayed in column 3, in terms of σ_Q/Q . Differences introduced by the omission of kinematic factors: $\xi = (G - G^{\text{No VS}})/G$ and $\delta \xi = (\delta G - \delta G^{\text{No VS}})/\delta G$ are also displayed in the last column ($G^{\text{No VS}}$ and $\delta G^{\text{No VS}}$ are the ones from Table 1).

Nucleus	$G(10^{-21} {\rm y}^{-1})$	$\sigma_G/G\left(\frac{\sigma_Q}{Q}\right)$	$\xi(\%)$
^{48}Ca	15443.23	8.83	1.494
$^{76}\mathrm{Ge}$	48.50	8.32	0.231
$^{82}\mathrm{Se}$	1604.65	8.58	0.452
$^{100}\mathrm{Mo}$	3325.32	8.53	0.382
$^{110}\mathrm{Pd}$	138.79	8.20	0.158
$^{116}\mathrm{Cd}$	2775.55	8.43	0.286
$^{130}\mathrm{Te}$	1541.74	8.32	0.208
$^{136}\mathrm{Xe}$	1444.19	8.29	0.188
Nucleus	$\delta G/10 \mathring{a}_{0f} (10^{-21} y^{-1} \mathrm{MeV}^{-1})$	$\sigma_{\delta G}/\delta G\left(rac{\sigma_Q}{Q} ight)$	$\delta \xi(\%)$
^{48}Ca	6797.21	7.94	1.800
$^{76}\mathrm{Ge}$	40.56	7.43	0.284
82 Se	950.49	7.69	0.549
$^{100}\mathrm{Mo}$	1929.23	7.64	0.465
$^{110}\mathrm{Pd}$	115.16	7.31	0.195
$^{116}\mathrm{Cd}$	1709.73	7.54	0.349
$^{130}\mathrm{Te}$	1039.56	7.43	0.255
$^{136}\mathrm{Xe}$	995.83	7.39	0.231

Ratio $G^{2\nu}/\delta G^{2\nu}$ and the energies where LIV are expected to be maximal

Nucleus	$K_m(\text{keV})$ [9]	$K_m(\text{keV})$	$\mathring{a}_{of}^{(3)}G^{2\nu}/\delta G^{2\nu} (10^{-6} \text{GeV})$
^{48}Ca	1980	2002	227.199 ± 0.048
$^{76}\mathrm{Ge}$	810	818	119.562 ± 0.009
82 Se	1300	1297	168.823 ± 0.195
$^{100}\mathrm{Mo}$	1320	1294	172.365 ± 0.111
$^{110}\mathrm{Pd}$	_	777	120.518 ± 0.420
$^{116}\mathrm{Cd}$	1200	1165	162.339 ± 0.085
$^{130}\mathrm{Te}$	1050	1013	148.307 ± 0.170
$^{136}\mathrm{Xe}$	1020	980	145.023 ± 0.269

Summed energy spectra of electrons in the approximations A, B and C A= NR approx. is inadequate in precise electron spectra analyses

B = approx. (analytical Fermi function); non-inclusion of FNS and screening effects: differences up to 30% as compared with "exact" Fermi function

C = *exact Fermi functions, screening effect, "realistic" Coulomb-type potential*



Figure 1. Summed energy spectra of electrons in the standard $2\nu\beta\beta$ decay (a) and







Further improvement of the accuracy of DBD kinematic calculations

 $g_{-1}(\varepsilon) = \int_{0}^{\infty} w(r) g_{-1}(\varepsilon, r) r^{2} dr$ $f_{1}(\varepsilon) = \int_{0}^{\infty} w(r) f_{1}(\varepsilon, r) r^{2} dr$ $F(Z, \varepsilon) = \int_{0}^{\infty} w(r) [g_{-1}^{2}(\varepsilon, r) + f_{-1}^{2}(\varepsilon, r)] r^{2} dr$

 $w(r) = \delta (r-R)$

 $w(r) = |R_{nl}(r)|^2$

w(r) = w(r,a) ~ 1 + exp[(r-R)/a], a=diffuseness of the nuclear surface

Conclusions

- Kinematic factors involved in DBD study are important since they can provide us with useful information about transitions to excited 2⁺ states, SSD, mechanisms of $0\nu\beta\beta$ an , more recent, LIV effects
- LIV can also be investigated by searching deviations from the standard theoretical predictions of the summed energy spectra of electrons
- This implies improved precision in measuring and analysis of the electron spectra and requires accurate theoretical predictions of these spectra.
- We perform calculations of the PSF and the other kinematic quantities derived from them: electron spectra, summed energy spectra and angular distributions of the emitted electrons in $2\nu\beta\beta$. Ingredients: exact electron w.f. obtained as solutions of a Dirac equation with Coulomb-type potential built up from a realistic proton distribution in the daughter nucleus, with inclusion of FNS and screening effects.
- We estimated and discuss the sources of uncertainties in such calculations as: obtaining of Fermi functions (most important), $Q_{\beta\beta}$ (we propose Q-values, obtained with a recommended average procedure, from measurements reported in literature) and the K_N and L_N terms (used more accurate formulas of them)
- Further improvement of accuracy is possible by considering improved weighting function for calculation of the radial electron w.f. obtained from solving the Dirac equation.
- Similar calculations, for beta decays, EC processes, etc. are possible.
 We can provide experimentalists with numerical data for their spectra simulations. <u>S. Stoka, CNNP2020, Cape Town, February 28, 20</u>.

Thank you for your attention