Neutrinos in DUNE: long-baseline oscillations and non-beam physics

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Outline

- Why neutrinos?
- DUNE: the concept
- Neutrino oscillations: the baseline
- DUNE's capabilities for neutrino oscillations physics
- Supernovae (SN): the baseline
- DUNE's capabilities for SN neutrinos physics
- Conclusions



DUNE mission and concept

- What is the origin of the matter-antimatter asymmetry in the universe?
- What are the fundamental underlying symmetries of the universe?
- Is there a Grand Unified Theory of the universe?
- How do supernovae explode? New physics from a neutrino burst?



- New and very bright neutrino beam from Fermilab (LBNF)
- A highly capable Near Detector at Fermilab to measure the unoscillated neutrino (spectrum, flux and cross-sections constraints)
- A large LArTPC deep underground at SURF (Lead (SD) 1300 km baseline) to measure oscillations and non-beam physics
- Exposure of ~7 years to v / \overline{v} modes (50% / 50%)



1129 collaborators from 188 institutions in 34 countries





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Far Detectors technologies

Cathode Plane With manual of the second seco

Single phase

- · Horizontal drift, 3.6 m drift distance
- Anode wires immersed in LAr
- Vertical Anode and Cathode Planes Assembles (APA, CPA)
- 1 collection + induction planes, rotated at ~37 degrees + 5 mm wire pitch
- Photon detectors: light guides + SiPMs in APAs → fast triggering light + calibration



- Ionisation extracted and further amplified in Gas
- LEM electron amplifier
- 1 collection + induction planes, rotated at calibration
- Possible better resolution but more detector off challenges
- Bottom PMTs for prompt light collection





Free-Standing Steel Cryostat Design







DUNE: Far Detector - Single phase LArTPC





DUNE: ND at Fermilab

- DUNE ND design concept is an integrated system composed of four main components working together:
- 1) ArgonCube (LArTPC)
- 2) MPD (Multi Purpose Detector)
- 3) SAND (Beam Monitor)
- 4) DUNE-Prism (ArgonCube+MPD off-axis)



- Primary purpose is to constrain systematic uncertainty for long-baseline oscillation analysis
 - Constrain flux, cross-section, and detector uncertainties



DUNE: ND at Fermilab

- DUNE-PRISM:
 - ArgonCube and MPD move of axis to sample flux
 - 30m off axis proposed motion
 - Allows to deconvolve flux and cross section
- SAND does not move
 Beam monitor for stability





DUNE: v oscillation physics



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Neutrino mixing: Flavor eingenstates (interaction) don't coincide with mass eigenstates (propagation)

flavor ($\alpha = e, \mu, \tau$) \Leftrightarrow linear combinations \Leftrightarrow mass (i = 1, 2, 3) $\begin{vmatrix}
\nu_{\alpha} \rangle = \sum_{i} U_{\alpha i}^{*} |\nu_{i}\rangle$ $\begin{vmatrix}
\nu_{\alpha} \rangle = \sum_{i} U_{\alpha i}^{*} |\nu_{\alpha}\rangle$ $\begin{vmatrix}
\nu_{\alpha} \rangle = \sum_{i} U_{\alpha i} |\nu_{\alpha}\rangle$ Pontecorvo-Maki-Nakagawa-Sakata (PMNS) matrix



$$\begin{split} \text{Mixing angles} &= (\theta_{12}, \theta_{23}, \theta_{13}) \quad , \quad \delta_{\text{CP}} \text{ is the CP-violation phase} \\ U_{\text{PMNS}} &= \underbrace{\begin{pmatrix} 1 & 0 & 0 \\ 0 & c_{23} & s_{23} \\ 0 & -s_{23} & c_{23} \end{pmatrix}}_{\text{I}} \underbrace{\begin{pmatrix} c_{13} & 0 & e^{i\delta_{\text{CP}}}s_{13} \\ 0 & 1 & 0 \\ -e^{i\delta_{\text{CP}}}s_{13} & 0 & c_{13} \end{pmatrix}}_{\text{II}} \underbrace{\begin{pmatrix} c_{12} & s_{12} & 0 \\ -s_{12} & c_{12} & 0 \\ 0 & 0 & 1 \end{pmatrix}}_{\text{III}} \\ & & & \\ \text{solar} \end{split}$$
 $\\ \text{where:} \quad \mathbf{C}_{\alpha\beta} = \mathbf{COS} \; \theta_{\alpha\beta} \quad ; \quad \mathbf{S}_{\alpha\beta} = \mathbf{Sin} \; \theta_{\alpha\beta} \end{split}$

Nonzero δ_{CP} \implies neutrinos and antineutrinos oscillate different



- Standard Model:
 - allows CP-violation in weak interactions.
 - CPT are invariant.
- Under CPT: neutrino and antineutrino oscillations are equivalent
 - $P(v_I \rightarrow v_I) = P(anti-v_I \rightarrow anti-v_I)$ where I = e, µ, ⊤.
- CPT invariance was tested:
 - measurements of $v_{\mu} \rightarrow v_{\mu}$ and anti- $v_{\mu} \rightarrow$ anti- v_{μ} survival probabilities,

no evidence for CPT violation was found. MINOS Collaboration , Phys.Rev. D84 (2011) 071103

- Asymmetries in neutrino versus antineutrino oscillations arising from CP violation:
 - can only be accessed in appearance experiments!





V's oscillations: δCP via disappearance

$$P(\nu_{\mu} \to \nu_{e}) \cong P(\nu_{e} \to \nu_{\mu}) \cong P_{0} + \underbrace{P_{\sin\delta}}_{\text{CP violating}} + P_{\cos\delta} + P_{3}$$

 $P_{0} = \sin^{2} \theta_{23} \frac{\sin^{2} 2\theta_{13}}{(A-1)^{2}} \sin^{2}[(A-1)\Delta],$ $P_{3} = \alpha^{2} \cos^{2} \theta_{23} \frac{\sin^{2} 2\theta_{12}}{A^{2}} \sin^{2}(A\Delta),$ $\boxed{\text{changes sign:} \\ \text{nu (-), anti-nu (+)}} \rightarrow P_{\sin \delta} = \alpha \frac{8J_{cp}}{A(1-A)} \sin \Delta \sin(A\Delta) \sin[(1-A)\Delta],$ $\boxed{\text{CP conserving}} \rightarrow P_{\cos \delta} = \alpha \frac{8J_{cp} \cot \delta_{\text{CP}}}{A(1-A)} \cos \Delta \sin(A\Delta) \sin[(1-A)\Delta],$

where:

$$J_{CP}^{\rm PMNS} \equiv \frac{1}{8} \sin 2\theta_{12} \sin 2\theta_{13} \sin 2\theta_{23} \cos \theta_{13} \sin \delta_{\rm CP} \qquad , \qquad \Delta = \Delta m_{31}^2 L/4E, \qquad , \qquad A = \sqrt{3}G_F N_e 2E/\Delta m_{31}^2 \qquad , \qquad A = \sqrt{3}G_F N_e 2E/\Delta m_{31}$$

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V's oscillations

What we do know:

$$\begin{bmatrix} |U_{e1}|^2 > |U_{e2}|^2 > |U_{e3}|^2 \end{bmatrix}$$

$$\Delta m_{sol}^2 \equiv \Delta m_{21}^2 \simeq 7.5 \times 10^{-5} \text{ eV}^2$$

$$\Delta m_{atm}^2 \equiv |\Delta m_{32}^2| \simeq 2.5 \times 10^{-3} \text{ eV}^2$$

$$\sin^2 \theta_{21} \simeq 0.31$$

$$\sin^2 \theta_{23} \simeq 0.45 \text{--}0.55$$

$$\sin^2 \theta_{13} \simeq 0.02$$





What needs to be determined:

- Mass hierarchy / splitting:
 - sign of Δm_{32}^2
 - $\Delta m_{32} > \overline{\Delta} m_{21} (NH) ?$ ■ $\Delta m_{32} < \Delta m_{21} (IH) ?$



Neutrino Mass Hierarchy

- θ_{23} octant:
 - \sim dominant flavor in v₃?

if $\theta_{23} = 45^{\circ} \rightarrow$ symmetry, which constrains quark-lepton universality

- **CP-violation?**
 - Baryon Asymmetry @GUT scale can be related to low energy CP-violation in leptons.

→observable in v oscillations



DUNE oscillation physics





DUNE sensitivity: *disappearance* spectra

Reconstructed (\overline{v}_{μ}) **energy (CC-like events)**



- DUNE Monte Carlo sim & reco chain
- exposure: 3.5 year



DUNE sensitivity: *appearance* spectra

Reconstructed v energy (CC-like events)



- DUNE Monte Carlo sim & reco chain
- exposure: 3.5 year



DUNE sensitivity: *appearance* spectra

Reconstructed \overline{v}_{a} energy (CC-like events)



- DUNE Monte Carlo sim & reco chain
- exposure: 3.5 year



DUNE sensitivity: analysis strategy



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DUNE sensitivity: Mass Hierarchy



• DUNE will be able to establish the neutrino mass ordering in 2-3 year on 100% $\delta_{\rm CP}$ values from the start of the data-taking





DUNE sensitivity: CP violation



- 5 sigma sensitivity is an ultimate goal for definitive discovery
- FD + ND detectors systematics



DUNE sensitivity: θ_{23} octant



- Non maximal mixing \rightarrow Larger significance
- Longer exposure → degeneracy removal





DUNE: SN vs detection





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SN explosion* in one slide

Within a massive, evolved star (a) the onion-layered shells of elements undergo fusion, forming an iron core (b) that reaches Chandrasekhar mass and starts to collapse.

(c) The inner part of the core is compressed into neutrons(d) causing infalling material to bounce

(e) and form an outward propagating shock front (red). The shock starts to stall,
(f) but it is re-invigorated by neutrino interaction. The surrounding material is blasted away leaving only a degenerate remnant.

* Type-II from gravitational collapse







3 phases of SN v emmission

slide from Amanda Weinstein





SN v spectral features

I. Tamborra et al., arXiv:1211.3920v2



quasi-thermal distribution

$$f_{\nu}(E) \propto E^{\alpha} e^{-(\alpha+1)E/E_{\rm av}}$$

 $\begin{array}{l} {\sf E}_{\sf av} \text{: average energy} \\ & \langle E_{\nu_e} \rangle < \langle E_{\bar{\nu}_e} \rangle < \langle E_{\nu_x} \rangle \\ {\sf E}_{\sf av} \stackrel{\infty}{\sim} {\sf T}_{\sf v} \\ \text{(v-sphere temperature)} \end{array}$

α : pinching parameter(deviations from thermal spectrum)



DUNE: SN v signal

Cross-sections: v,Ar 5 10 Cross section (x10⁻⁴³ cm²) v 40Ar NC ve ⁴⁰Ar CC 10 40 Ar NC 10³ ve⁴⁰Ar CC 10² ve ES 10 ve ES 1 0 10 20 30 80 100 Neutrino energy (MeV)

slide from Ines Gil-Botella

• Elastic scattering (ES) on electrons

 $\nu + e^{-} \rightarrow \nu + e^{-}$

Charged-current (CC) interactions on Ar

$$\begin{array}{|c|c|c|c|c|}\hline\hline \nu_e + \ ^{40}Ar \rightarrow \ ^{40}K^{\star} + e^- \\\hline\hline \overline{\nu_e} + \ ^{40}Ar \rightarrow \ ^{40}Cl^{\star} + e^+ \\\hline\hline E \ \bar{\nu_e} > \ 7.48 \ \mathrm{MeV} \end{array}$$

Neutral current (NC) interactions on Ar

 $\nu + {}^{40}\mathrm{Ar} \rightarrow \nu + {}^{40}\mathrm{Ar}^*$

 $E\nu > 1.46 \text{ MeV}$

Possibility to separate the different channels by a classification of the associated photons from the K, Cl or Ar de-excitation (specific spectral lines for CC and NC) or by the absence of photons (ES)



DUNE: SN v rates and spectrum

- Other experiments rely on ν_e capture via inverse β-decay
 complementarity
- DUNE will be able to observe the v_e flux through capture on Ar40
- Unique sensitivity to the electron flavor component of the flux
- Provides information on time, energy and flavor structure





DUNE: Supernova Detection





Supernova v oscillation effects

- Different oscillation physics in neutrinos propagation from the stellar core to Earth
- Collective effects:

r < 200 km

- MSW effects :

r > 200 km

A. Dighe, A. Smirnov Phys.Rev. D62 (2000) 033007

- Vacuum oscillations: from SN to Earth labs
 - Flavor-specific burst evolution carries information about mass ordering and SN processes
 - Key requirements:
 - Energy resolution <10%
 - Energy threshold ~ 5 MeV



Duan & Friedland, Phys. Rev. Lett. 106 (2011) 091101

Collective effects imprints



DUNE: Baryon Number violation

Many avenues for searches

Baryon number violation
 General feature of GUTs. Rich model space.
 Many search modes being explored in DUNE.

Updated simulation/reconstruction/analysis: *More details and more channels in TDR*

 $p \rightarrow \overline{K} \nu$ Tracking and dE/dx for rejection of v_{μ} CC background $(p + \mu \text{ final state})$

~0.5 bkgnd at 400 kt-yr, 30% signal efficiency If no signal: $\tau/B > 1.3 \, 10^{34} \, yr$ (90% C.L.)

n-n osc. Spherical spray of hadrons with $E \approx 2M_n$ and net momentum $\Box p_F \sim 300$ MeV

Free-neutron-equivalent sensitivity: $\tau_{\rm free,osc} > 5.5 \ 10^8 \ {\rm s} \ (90\% \ {\rm C.L.})$





DUNE: multi-topic physics list

Intense and wide beam + complex detectors + different baselines (off-axis) + large exposure + deep underground lab

Rich physics program, including non-beam physics

- Baryon number violation
 - Nucleon decay
 - nn oscillations
- Light dark-matter
- Sterile neutrinos
- Non-standard interactions, non-unitary mixing
- CPT violation (?) in oscillations



DUNE: timeline





Conclusions

- DUNE will have: MW neutrino beam, 40kt LArTPC deep underground, flux and beam contamination uncertainties under control (highly-capable fine-grained ND).
- Aim to solve neutrino mass hierarchy and CP-violating phase via oscillation measurement.
- High capability for neutrino physics and SN astrophysics
 studies
- Rich non-beam physics topics: supernova astrophysics, v interactions physics, baryon number violation, and more

Future is promising !!







Special credits for DUNE colleagues (comments and slides inspiration): Bob Svoboda, Alessandra Tonazzo, Diego Gratiere, Inés Gil-Botella, Amanda Weinstein, Serhan Tufanli, Ryan Patterson

Main Content:

Deep Underground Neutrino Experiment (DUNE), Far Detector Technical Design Report, Volume II DUNE Physics. arXiv:2002.03005

BACKUP



• Neutrinos are super abundant.

- Neutrinos are the second most abundant particle in the universe.
 THE MOST ABUNDANT FERMION.
- If we were to take a snapshot, we'd see that every cubic centimeter has approximately 1,000 photons and 300 neutrinos.
- The nuclear fusion reactions in the Sun sends 65 billion neutrinos per second per square centimeter to Earth, they are crossing us all the time.



THEY ARE NUMEROUS AND UBIQUITIOUS



• Neutrinos are almost massless.

 The three types of neutrinos in the standard model are the lightest particles with a non-zero mass ever discovered.
 The upper limit on the mass of the heaviest neutrino is still more than 4 million times lighter than the electron, the next lightest particle.

Neutrinos x Quarks mass scale: new symmetries ??





- Neutrinos may have altered the course of the universe. Why we have predominance of matter over antimatter?
 - Cosmologists think that at the start of the universe there were equal parts of matter and antimatter.
 Neutrino interactions may have tipped this delicate balance, enabling the formation of galaxies, stars and planets like our own Earth.





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- Neutrinos are the key particle in the heavy-element forges of the universe.
 - Neutrinos dissipate more than 99 percent of a supernova's energy.
 Supernovae eject heavy elements to the cosmos in a recycling matter mechanism.
 - "Core collapse" supernovae end as either a black hole or a neutron star. Neutrinos are key particles to understand how supernovae explode and tell us more about other astronomical objects like active galactic nuclei.







Mixing angles = $(\theta_{12}, \theta_{23}, \theta_{13})$, δ_{CP} is the CP-violation phase $U_{\rm PMNS} = \begin{pmatrix} 1 & 0 & 0 \\ 0 & c_{23} & s_{23} \\ 0 & -s_{23} & c_{23} \end{pmatrix} \begin{pmatrix} c_{13} & 0 & e^{i\delta_{\rm CP}}s_{13} \\ 0 & 1 & 0 \\ -e^{i\delta_{\rm CP}}s_{13} & 0 & c_{13} \end{pmatrix} \begin{pmatrix} c_{12} & s_{12} & 0 \\ -s_{12} & c_{12} & 0 \\ 0 & 0 & 1 \end{pmatrix}$ III atmospheric reactor solar

where: $\mathbf{c}_{\alpha\beta} = \cos \theta_{\alpha\beta}$; $\mathbf{s}_{\alpha\beta} = \sin \theta_{\alpha\beta}$

The relationship between the three mixing angles θ_{12} , θ_{23} , and θ_{13} and the mixing between the neutrino flavor and mass states can be described as follows :

> $\tan^2 \theta_{12}$: $\frac{\text{fraction of } \nu_e \text{ in } \nu_2}{\text{fraction of } \nu_e \text{ in } \nu_1}$ $\tan^2 \theta_{23}$: ratio of ν_{μ} to ν_{τ} in ν_3 $\sin^2 \theta_{13}$: fraction of ν_e in ν_3

Nonzero δ_{CP} \implies neutrinos and antineutrinos oscillate different



DUNE oscillation physics

Neutrino and antineutrino appearance and disappearance studies



- More E and baseline → more sensitivity to mass ordering
- 1285 km baseline for DUNE: optimised for ordering and δCP



slide from

Alexander Izmaylov



DUNE sensitivity: metrics

$$\begin{split} &\Delta \chi^2_{MH} = \chi^2_{IH} - \chi^2_{NH} \text{ (true normal hierarchy),} \\ &\Delta \chi^2_{MH} = \chi^2_{NH} - \chi^2_{IH} \text{ (true inverted hierarchy),} \\ &\Delta \chi^2_{CPV} = Min[\Delta \chi^2_{CP} (\delta^{test}_{CP} = 0), \Delta \chi^2_{CP} (\delta^{test}_{CP} = \pi)], \text{ where} \\ &\Delta \chi^2_{CP} = \chi^2_{\delta^{test}_{CP}} - \chi^2_{\delta^{true}_{CP}}. \end{split}$$



DUNE oscillation physics





DUNE oscillation physics



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SN: the end point of stellar evolution

• Thermonuclear Evolution: Sequential fuel burning producing heavier elements $H \rightarrow He \rightarrow C \rightarrow O (Ne) \rightarrow Si \rightarrow Fe$





DUNE sensitivity: disappearance spectra

	Expected Events (3.5 years staged)
ν mode	
$ u_{\mu}$ Signal	6200
$\bar{\nu}_{\mu}$ CC background	389
NC background	200
$\overline{\nu_{ au}} + \bar{\nu}_{ au}$ CC background	46
$ u_e + \bar{\nu}_e \ \overline{\text{CC}} \text{ background}$	8
$\bar{\nu}$ mode	
$\bar{ u}_{\mu}$ Signal	2303
ν_{μ} CC background	1129
NC background	101
$\overline{\nu_{ au}} + \bar{\nu}_{ au}$ CC background	27
$\nu_e + \bar{\nu}_e$ CC background	2



DUNE sensitivity: appearance spectra

	Expected Events (3.5 years staged)
ν mode	
$ u_e$ Signal NO (IO)	1092 (497)
$\bar{ u}_e$ Signal NO (IO)	18 (31)
Total Signal NO (IO)	1110 (528)
Beam $ u_e + ar{ u}_e$ CC background	190
neutral current (NC) background	81
$\overline{ u_ au}+ar{ u}_ au$ CC background	32
$ u_{\mu} + \bar{\nu}_{\mu} \overline{CC} \text{ background}$	14
Total background	317
$\bar{ u}$ mode	
$ u_e$ Signal NO (IO)	76 (36)
$\bar{ u}_e$ Signal NO (IO)	224 (470)
Total Signal NO (IO)	300 (506)
Beam $ u_e + \bar{ u}_e$ CC background	117
NC background	38
$\overline{ u_{ au}} + \overline{ u}_{ au}$ CC background	20
$ u_{\mu} + ar{ u}_{\mu} \ \overline{CC} \ background$	5
Total background	180



DUNE: SN v event rates



